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Phase II - Production Practices Interviewers Manual

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# **Chapter 1 - ARMS Purpose**

Data collected in the Agricultural Resource Management Study (ARMS) is the primary source of information to the U.S. Department of Agriculture on a broad range of issues about agricultural resource use and costs, and farm sector financial conditions. The ARMS is the only source of information available for objective evaluation of many critical issues related to agriculture and the rural economy.

Specific commodities are rotated every 5-6 years to focus on resource use and production costs for those commodities. Other commodities appear from time to time to address policy relevant resource use or financial issues. National irrigation use, animal waste management, risk management strategies, genetically-modified crops, and nitrogen fertilizer use are current topics of interest.

The ARMS is conducted in three phases. Phase I (Screening), conducted from May through July, collects general farm data such as crops grown and value of sales. Screening survey data are used to identify in-business operations, multiple operating arrangements, and operations having the targeted commodity. With screening data, we can choose respondents for Phases II and III based on whether they have commodities of interest.

The second phase (Phase II), is conducted from October through December. This phase focuses on chemical use and other production practices for target commodities. The target commodity for the 2001 Phase II Production Practices and Costs Report (PPCR) is corn. Chemical use and pest management practices data for potatoes, soybeans, and cotton will be collected in conjunction with the Objective Yield Survey interviews.

Phase III, conducted from February through April, collects data to examine farm sector financial conditions, including income, assets, and debt.

For 2001, all Phase II respondents completing the Corn Production Practices and Costs Report (PPCR) will be asked to complete a Phase III follow-on report to obtain financial data for the entire operation. It is vital that both the Phase II and Phase III questionnaires be completed for these operations. Data from both phases provide the link between agricultural resource use and farm financial conditions. This is the cornerstone of the ARMS design.

## **Uses of ARMS Data**

Generally, farmers benefit from ARMS data indirectly. They see the information through contact with extension advisors, in reports issued by State colleges and universities, in farm magazines, newspapers, and on radio or TV spots. Most respondents probably do not realize the data come from this study.

Farm organizations, commodity groups, agribusiness, Congress, and the USDA use information from ARMS to evaluate the financial performance of farm/ranch businesses and to make policy decisions affecting agriculture. Producer associations and the USDA Farm Service Agency (FSA) use for ARMS data on the costs of production, particularly when developing proposals for commodity programs.

### Specifically, the ARMS:

- gathers information about the relationships among agricultural production, resources, and the environment. ARMS data provide the necessary background information to support evaluations of these relationships. The data are used to understand the relevant factors in producing high quality food and fiber products while maintaining the long term viability of the natural resource base.
- determines what it costs to produce various crop and livestock commodities, and the relative importance of various production expense items.
- helps determine net farm income and provides data on the financial situation of farm and ranch businesses, including the amount of debt.
   ARMS data provide the only national perspective on the annual changes in the financial conditions of production agriculture.
- provides the farm sector portion of the Gross Domestic Product (GDP) for the Nation. If ARMS data were not available, the Bureau of Economic Analysis (BEA) would have to conduct their own survey of farm operators to collect this data.
- helps determine the characteristics and financial situation of agricultural producers and their households, including information on management strategies and off-farm income.

## **Pesticide Data Program**

NASS has collected agricultural fertilizer and pesticide use data for major field crops and selected fruit, vegetables, melons and strawberries for several years. These data have been used in building a database for the USDA Pesticide Data Program (PDP). The PDP is used by USDA to evaluate the safety of the Nation's food supply.

In 1996, the implementation of the Food Quality Protection Act (FQPA) increased the need for actual, reliable chemical use data. FQPA requires the Environment Protection Agency to conduct an accelerated review of tolerance levels for re-registration of pesticide products.

Part of the EPA review includes using actual chemical usage data. **Only the grower can provide these data.** If these data are not available, EPA could assume maximum label rates are being applied on all crop acreage. This would likely over count the true amount of pesticides being used to produce field crops. The result could be cancellation of the product registrations for chemicals farmers rely on.

Other USDA agencies are closely involved in the PDP and the FQPA with NASS. The other agencies are the Agricultural Marketing Service (AMS), Economic Research Service (ERS), and Human Nutrition Information Service (HNIS). These agencies collect and analyze agricultural chemical use and residue data to estimate potential human exposure to pesticide residues in the U.S. food supply. The results of their analysis will be used to help make decisions concerning product registration issues, risk assessments, benefit assessments, and for marketing commodities at the State, National and international level.

Field crop growers have a vested interest in the risk analysis because many pesticides they rely on are classified as "minor use". Growers often have no alternatives to these chemicals. If re-registration is not allowed on products used on speciality crops, such an action could have serious consequences for both farmers and consumers.

The important benefits gained from responding to the survey are:

- Growers have a chance to tell how they use chemicals responsibly to maintain a safe and abundant food supply.

- The survey results are official USDA estimates and help to establish the facts about chemical use. Accurate data can be used to lessen concern relating to marketing and exports to other countries.
- Accurate and timely information on actual usage can be used in the decision making process for product registration, re-registration and product alternatives.

#### **Natural Resource Data and Farm Practices**

To guide policy makers in the decision-making process, it is necessary to have reliable information about production practices used and the relationship of the practices to changes in water quality and changes in the rate of erosion. Decisions affecting agricultural policy and producers will be made with or without data. It is much better to have factual information to guide the decision process. Farm production covers a major share of the natural resources of the country and, as policy about how to manage production is formed, a better understanding of the production process can prevent uninformed choices.

The agricultural community is currently faced with many complex issues concerning the environment, such as fertilizer and pesticide use, soil erosion, and pesticide residue and restriction. ARMS data is useful in addressing some of these concerns. For instance, fertilizer and pesticide data are used to study water quality. Data on production practices such as machinery use and crop rotation help to identify tillage systems and crop residue levels affecting soil erosion. Pesticide data help measure the economic impact on agricultural production from restricting use or cancellation of a pesticide product or to determine the human and environmental risk of continued use. Data measuring the extent and intensity of pesticide use will aid in the development of residue monitoring programs to improve food safety.

#### **Cost of Production**

Congressional or USDA mandates exist for the development of annual estimates of the cost of producing wheat, feed grains, cotton, peanuts, rice, tobacco, sugar, and dairy commodities. Corn is the cost of production commodity targeted for the 2001 ARMS Phase II.

To assure accurate and reliable estimates, a comprehensive survey is needed to obtain data on production practices and on the amounts of inputs used. Crop and livestock costs and returns estimates provide a basis for understanding changes in the relative efficiency of crop and livestock production and the break even prices needed to cover all costs.

ARMS provides data needed to develop commodity accounts showing costs and input use by size and type of farm in different regions of the country. Commodity accounts show the costs of resources provided by the operation and any landlords involved with producing a specific commodity. Exhibit 1 contains an example of a commodity account.

Detailed information is needed for several farm inputs to estimate commodity costs. Most farm operations produce more than one commodity, such as corn and soybeans. This diversity causes special problems in determining commodity costs. For example, seed corn can easily be allocated to commodity costs for corn because it is only used to grow corn. However, machinery such as tractors and implements can be used for many activities on the farm, and costs for a commodity like corn cannot easily be separated from whole farm costs. Therefore, it is necessary to collect detailed data on each field operation in order to estimate machinery costs for the commodity being surveyed.

USDA is required to update commodity costs annually. However, ARMS focuses on a specific commodity only once every five or six years. With ARMS data for physical inputs (such as seed, fertilizer, and chemicals used), analysts can update cost estimates using input prices from other annual surveys. For example, state-level seed prices from the NASS Prices Paid Survey are used with seeding rates from ARMS to update estimates of seed expense. To estimate fuel costs, annual fuel prices are updated yearly, while fuel use estimates from the survey year are kept constant. Minor adjustments can be incorporated each year based on changes in acreage and yields.

#### Income, Financial, and Household Data

In addition to Phase II resource management and cost of production data, Phase III of the ARMS obtains detailed information about farm finances, debt, assets, and household characteristics. ARMS is the only national data source for determining the effect of price, debt, and other financial variable changes on different types and sizes of operations on an on-going basis. Responses to questions about farm assets and debts are used to develop a balance sheet for the farm as well as to provide a variety of financial ratios for use in measuring financial performance.

The 1996 Farm Bill includes a provision establishing the Commission on 21st Century Production Agriculture. This commission is charged with

conducting a comprehensive review of effects of the Agricultural Market Transition Act, the future of production agriculture, and the appropriate role of the Federal Government in production agriculture. Results from the ARMS data are being used by the Commission to address these issues.

### **Publication of ARMS**

Most State offices use information from several NASS and ERS reports in preparing publications for their State.

NASS reports are available on the Internet at: http://www.usda.gov/nass/

ERS reports are available on the Internet at: http://www.ers.usda.gov/with:

Farm Income at http://www.ers.usda.gov/Data/FarmIncome/

Farm Balance Sheets at http://www.ers.usda.gov/Data/FarmBalanceSheet/

Cost of Production at: http://www.ers.usda.gov/Data/CostsAndReturns/

The NASS publication, <u>Agricultural Chemical Usage - Field Crops</u>, provides estimates of acreage treated with fertilizer and chemicals and total amounts applied, using data from the ARMS Phase II. The results of the 2001 Phase II will be released in May 2002.

NASS publishes <u>Farm Production Expenditures</u> using data from Phase III. This report shows expenditures for the U.S., 10 farm production regions, 5 U.S. economic sales classes, and U.S. crop and livestock farms. The 2001 survey results will be released in July 2002.

ERS also prepares or updates several state, regional, and national reports using ARMS data. These reports show operating and financial characteristics by type of farm, and by income and debt/asset categories. Some of the ERS publications resulting from ARMS include:

Agricultural Resources and Environmental Indicators. Research report issued September 1997. This ERS basebook contains a wealth of information covering a broad range of subjects in resource and environmental economics. Topics include land use, quality, and ownership; farm real estate values; water use and quality; fertilizer,

pesticides, energy, and farm machinery; technology; and the conservation reserve, compliance, wetlands, and non-USDA programs that affect

agriculture. (see on the Internet at: http://www.ers.usda.gov/Emphases/Harmony/issues/ari2000/)

Genetically Engineered Crops for Pest Management in U.S. Agriculture. Research report issued May 2000. Adoption of genetically engineered crops with traits for pest management has risen dramatically since their commercial introduction in the mid-1990's. The farm-level impacts of such crops on pesticide use, yields, and net returns vary with the crop and technology examined. Adoption of herbicide-tolerant cotton led to significant increases in yields and net returns, but was not associated with significant changes in herbicide use. On the other hand, increases in adoption of herbicide-tolerant soybeans led to small but significant increases in yields, no changes in net returns, and significant decreases in herbicide use. Adoption of Bt cotton in the Southeast significantly increased yields and net returns and significantly reduced insecticide use. (see at http://www.ers.usda.gov/publications/aer786/)

Pest Management in U.S. Agriculture. Research report issued October 1999. This report describes the use of pest management practices, including integrated pest management (IPM), for major field crops and selected fruits and vegetables. The data came chiefly from the 1996 Agricultural Resource Study (ARMS). Because different pest classes may dominate among different crops and regions, requiring different pest management techniques to control them, the extent of adoption of pest management practices varies widely. For example, insects are a major pest class in cotton production, while minor for soybeans. As insect management has a wider variety of nonchemical techniques than weed control, cotton growers are expected to be further ahead on the IPM continuum than soybean producers. (see at http://www.ers.usda.gov/publications/ah717/)

Annual Report to Congress on the Status of Family Farms

Financial Performance of U.S. Farm Businesses

Farm Operating and Financial Characteristics

Characteristics of Farms with Sales of \$50,000 or more

The Economic Well-Being of Farm Operator Households

National Financial Summary

Productivity & Efficiency Statistics

### **Exhibit 1: Example of a Commodity Account**

The example on the next page shows that in 1999, corn growers, on average, produced \$230.70 worth of corn grain and silage per acre. This is the gross value of producing an acre of corn.

The value of corn production is offset by expenses. There are two parts to expenses: Operating Costs and Allocated Overhead. Operating costs represent direct costs paid to produce an acre of corn. These include seed, fertilizer, pesticides, fuel and electricity, repairs, and other cash expenses resulting directly from producing corn. These amounted to \$153.49 for corn production in 1999. Subtracting operating costs from the gross cash value of production, a **cash return** of \$77.21 is estimated in 1999 for each acre of corn grown. Unfortunately, this isn't the end of the story.

Added to the operating costs are allocated overhead costs. These represent the portion of a farm's overhead costs 'charged', or allocated, to corn production. Overhead costs are expenses paid by an operation regardless of what crops or livestock are produced. In 1999, each acre of corn was 'charged' \$207.81 as a share of the entire farm's overhead expenses.

Included in the allocated overhead are values for many expenses that are not 'cash expenses'. These expenses are not paid by the operation in the common sense of the term 'paid'. The value of these items, commonly called 'non-cash' expenses, are measures of the economic cost of producing the commodity. For instance, if a farm operator's labor is given a value of \$18.00 per hour, and he spends an average of ½ hour over the course of the year working to grow an acre of corn, then his labor contributes \$9.00 to the cost of growing the corn, even if he is self-employed and thus, not paid directly in the traditional sense. In addition to the cost of unpaid labor, non-cash expenses such as depreciation, the fair rental value of land, and the cost of capital required to farm, must be charged to the commodity.

When the 'non-cash' expenses are added to cash expenses, the economic cost of producing an acre of corn rises to \$361.30. Subtracting this from the value of production results in an **economic loss** of -\$130.60 per acre of corn in 1999. In this simple example, the value of the operator's management and risk to produce corn in 1999 was -\$130.60.

However, this is not the final word on the costs and returns of corn production. Government payments, including transition and loan deficiency payments, are not included in the account but are received by most corn producers. These helped to offset the economic loss measured in 1999. Also, the corn production is valued at the harvest-period price, typically the lowest price during the year. Costs and returns associated with storing and marketing the corn are not included in this accounting of corn production, and may also offset some of the economic loss in 1999.

Commodity Account, Corn				
Source: Economic Research Service (ERS), USDA				
U.S. Corn 1999 Gross value of production				
(excluding direct Government payments)	Dollars per	Planted acre		
Harvest-period price (dollars/bu.) 1.69	Ī			
Yield (bu./planted acre) 135.00				
Corn Grain, gross value of production	228.15			
Corn Silage, gross value of production	2.55			
Total gross value of production		230.70		
U.S. Corn production cash costs and returns, 1999				
Operating Costs:	7			
Seed	30.29			
Fertilizer, lime, and gypsum	38.75			
Soil Conditioners	0.17			
Manure	0.49			
Chemicals	28.40			
Custom operations	11.37			
Fuel, lube, and electricity	23.04			
Repairs	17.17			
Other variable cash expenses 1/	0.31			
Interest on operating capital	3.50			
Total operating costs	153.49			
Allocated overhead:	•			
General farm overhead	10.88			
Taxes and insurance	6.96			
Hired labor	3.28			
Opportunity cost of unpaid labor	31.43			
Opportunity cost of land (rental rate)	86.77			
Capital recovery of machinery and equipment	68.49			
Total allocated overhead	207.81			
Total costs listed		361.30		
Gross value of production less operating costs	=	77.21		
Gross value of production less costs listed	=	-130.60		

<sup>1/</sup> Cost of purchased irrigation water.

# **Chapter 2 - Terms and Definitions**

Enumerators working on the ARMS should be familiar with the definitions of the terms listed below. Appendix A of the "Interviewer's Manual" provides definitions for these terms. Descriptions of irrigation systems are also provided at the end of Appendix H. Those systems not described in that appendix have been described in Chapter 5 of this manual.

actual nutrients fertilizer

active ingredients fertilizer analysis

adjuvant field beneficial insects fungicide

Bt gallons per minute carryover grassed waterways commodity harvested acres

confidentiality hay

conservation tillage highly erodible land (HEL)

contour farming herbicide

Cooperative State Research, hundredweight (cwt)

Education, & Extension idle land
Service (CSREES) implement
cost of production improvements
cropland inaccessible

crop insurance input

crop rotation input provider date, due insecticide

date, reference integrated pest management (IPM)

date, release irrigation set
defoliant landlord
double crop lime
dryer, batch military time

dryer, batch military tim dryer, bin N-P-K

dryer, continuous flow Natural Resources Conservation

drying, high temperature

Service (NRCS)

drying, low temperature nitrogen (N)
editing nitrogen crediting
EIN nonresponse
electronic information service no-till

fallow operator

farm out-of-business

partner seed pesticide SSN

 $\begin{array}{ll} \text{phosphate } (P_2O_5) & \text{strip cropping} \\ \text{plant tissue test} & \text{sub-irrigation} \end{array}$ 

potash (K<sub>2</sub>O) surface water sources

questionnaire surfactant refusal survey rent survey period

rent, cash tank mix terrace

respondent underground outlets

sample, list wetting agent sample, probability worker sampling frame wetlands sampling unit yield map scouting yield monitor

# **Chapter 3 - Survey Procedures**

This chapter provides an overview of the questionnaire and other materials for ARMS, and general guidelines for collecting data. The NASDA Enumerator Handbook covers administrative matters.

#### As a minimum, the State Office will provide the following:

- Copies of pre-survey publicity materials mailed to each respondent
- Questionnaires with labels identifying assigned operations
- Extra questionnaires without labels
- Screening Survey Information Forms from the Phase I Survey
- Respondent Booklets containing code tables and a burden statement
- Supplements for questionnaires
- Maps for marking field locations
- Envelopes for mailing completed questionnaires
- Several copies of NAS-011 (Time, Mileage, and Expense Sheet) and envelopes for mailing them

#### You should already have these items on hand:

- Interviewer's Manual
- Highway and street maps
- Black lead pencils
- Name tag
- NASDA Identification Card
- NASDA Enumerator Handbook
- Ball point pens for completing NAS-011
- Calculator

### Questionnaire

For 2001, there will be only one questionnaire version (version 2) used to obtain chemical use and other production practices along with associated cost of production data: the Corn Production Practices and Costs Report (PPCR).

Some questionnaires will have a Screening Supplement form inserted in the questionnaire by the State Office. Chapter 4 of this manual provides instructions for completing the Face Page and Screening Supplement.

Sections of the questionnaire are identified by letter and title. For example, Section D is "Pesticide Applications -- Selected Field." Chapter 5 discusses these sections. To help find instructions, the page number shows the letter of the section discussed on that page (i.e., A-5003).

# **Respondent Booklet**

You will use one Respondent Booklet for each interview. The Respondent Booklet provides information respondents need to reference when answering some survey questions, such as Code Lists. Often, this information does not appear in the questionnaire. Using the Respondent Booklet can prevent confusion and save interview time.

Occasionally, the respondent may need help in becoming familiar with how to use the booklet. This is especially important when using the longer Code Lists, such as the Chemicals and Pesticides list. While conducting the interview, take a moment when first turning to a questionnaire section to show respondents how to reference the appropriate code lists in the booklet. This should help the interview go more quickly.

Some lists in the Respondent Booklet are there to let the respondent know what type of response we are looking for to certain questions. For example, in Section C or D, when asking the respondent "How was this (fertilizer or pesticide) product applied?", show the respondent the Fertilizer/Pesticide Application Method Code List printed in the Respondent Booklet. Otherwise, the respondent may take additional time explaining in detail how he applied the material, when all you really wanted to know was that the material was "banded in the row" (method code 7.)

# **Respondent Burden**

You will reduce the burden on the respondent if you are thoroughly familiar with the questionnaire and instructions. Pay close attention to skip instructions in the questionnaire to avoid asking questions needlessly. When skip instructions are not printed after an item, you will continue with the next item.

Also, be aware of the estimate of average completion time in the Burden Statement. The estimated average completion time is based on the length of pretest interviews, experience with previous ARMS Phase II surveys, and the judgement of NASS and the Office of Management and Budget (OMB). OMB is an agency that approves all surveys conducted by the federal government. The expected average interview length for the Corn PPCR is 75 minutes.

Burden statements are printed on the back cover of the Respondent Booklet. At the end of the interview, call the respondent's attention to the Burden Statement on the Respondent Booklet.

## **Questionnaire Format**

The following formatting conventions apply to the ARMS Phase II questionnaire.

#### Interviewer Instructions

Interviewer instructions are printed in italics, and enclosed in square brackets. These instructions will provide important directions you will need to pay attention to when completing the questionnaire.

**Figure 1** Example of interviewer instructions.

#### Include Statements and Check Lists

Include statements and check lists are used to ensure that items sometimes forgotten are not missed. These include statements and check lists should **NOT** be considered complete lists of items to be included in the response.

#### **Data Fill-ins**

When the reference to a previous item number is printed in italics and enclosed in brackets, take the <u>data</u> entered in that previous item and use it to FILL IN when you read the question. In the example below, if 110 was the data reported in Item 1, you would read the question saying "How many of the 110 acres were owned by this operation?".

Figure 2 Example of 'data fill-in' questions.

	ACRES
How many of the [Item 1] acres were-	
a. owned by this operation?	•

#### **Text Fill-ins**

Questions in table headers frequently refer to <u>text</u> in the rows used to FILL IN the wording of the question. In this example, the question to ask is "In 2001, did your land-use practices for this field include-?"

Figure 3 Example of a 'text fill-in' question.

In 2001, did your land-use practices for this field include	established in this field? YEAR	operator began	In 2001, did (or will) the Federal or State
COD	티		
a. terraces? YES=1			

### **Instructions for Respondents**

Prompts, "includes and excludes," and other <u>instructions for respondents</u> are in italics and enclosed in parentheses. These prompts are to help you and the respondent when a question arises as to the intent or meaning of the question. Read these when needed to clarify the meaning of the question.

Figure 4 Example of instructions to read to respondents.

What was the <b>total</b> quantity of water applied	INCHES PER ACRE
to this field during the entire growing season?	
(Include ALL water used from both on-farm and off-farm sources.)	

## **Optional Wording**

Optional wording is in plain print enclosed in parentheses. Usually, reading optional wording is not necessary. However, if the respondent hesitates or shows uncertainty after hearing the initial question, you may want to reread the question completely, including the optional wording.

Figure	5	Example	Ωf	'ontional	auestion	wording!	į
riquie	J		Οı	optional	question	wording	

Did you alternate pesticides (use pesticides with different	
mechanisms of action) to keep pests from becoming resistant	
to pesticides in this field?	YES=1

#### **Item Code Boxes for Interviewer Use**

Code boxes for interviewer use generally have thin solid lines.

Figure 6 Example of code boxes for interviewer use.

	CODE
Have you (the operator) completed courses leading to certification for applying "Restricted Use" pesticides? YES = 1	

#### Item Code Boxes with Decimal Points

Some code boxes have a <u>printed decimal point</u> followed by one or two marked spaces. They show that you should record data to the tenth or hundredth place. When entering data into these cells, place the number correctly in relation to the decimal points, and fill every space printed after them. Fill in zeros when the respondent does not give answers to the number of decimal places needed, or when he gives answers in whole numbers.

For example, if a cell has a decimal point followed by one underlined space, you should record responses in TENTHS. Record an answer of "18" as "18.0."

Figure 7 Example of code boxes for recording data to one or more decimal places.

		DOLLARS & CENTS PER ACRE
[If field is CASH RENT What was the cast re	TED, ask] nt paid per acre for this 2001 corn crop?	•
Item Code B	oxes for Recording Dates	
	Some item code boxes are set up for recording dates in format. These cells have six preprinted underlines. Me two digits that refer to the month, DD is for the two digits and YY is for the two digits for the year. For example should be entered as <u>0</u> <u>5</u> <u>0</u> <u>1</u> <u>0</u> <u>1</u>	IM stands for the git date for the day,
Figure 8 Example of a	code box for recording a date value.	
On what date was this	Field planted?	MM DD YY
Office Use E	Boxes	
	Shaded boxes with thick solid lines are for Office Use make entries in office use boxes.	only. You will not
Figure 9 Example of ar	o 'office use' box.	
		OFFICE USE
Yes / No Qu	estions	

Questions that can be answered YES or NO are of one of the following two formats. If the respondent doesn't know if the answer is YES or NO, then record DK next to the code box. If the respondent refuses to answer, then record "REFUSED" in notes outside the box.

### **YES/NO Check Boxes**

One format for YES/NO questions is to use <u>check boxes</u>. Check boxes are used when there is a "GO TO" instruction associated with either the YES or NO answer.

was the corn c	crop on this field covered by (	•	in 2001?		CODE
YES - [Et	nter code 1 and continue.]	□ NO	- [Go to Section C.]		
YES	S=1 Boxes				
	printed next to the enter code 1. If the question was asket	e code box. It is answer is It is and the rest for NO, this	uestions is the response to a YNO, then enter a day spondent answered is is the only way to NO.	ES/NO qu sh in the l NO. Sind	nestion is YES, box to show the re you are not
ure 11 Examp	ole of a 'Yes = 1' question.				
	·				CODE
					CODE
Has harvest of the	his field been completed?			YES = 1	CODE
	Multiple choice quanswer from sever category is given a	with Coded uestions allo ral possible a a code numb with a solid		gories choose cored. Each	nly ONE 1 <u>response</u> 10 ices are
Multiple	Multiple choice quanswer from sever category is given a enclosed in a box answer as a code in	with Coded uestions allowal possible as code numb with a solid number.	We the respondent to answer choices offer and the group of outline. You will e	gories choose cored. Each	nly ONE 1 <u>response</u> 10 ices are
Multiple Figure 12 Exa	Multiple choice quanswer from sever category is given a enclosed in a box	with Coded uestions allo ral possible a a code numb with a solid number.	I Response Cate w the respondent to answer choices offer er and the group of outline. You will e	gories choose cored. Each	nly ONE 1 <u>response</u> 10 ices are

## **Questions with More than One Sub-part**

Questions with more than one sub-part are separate questions. The main question (the "stem") has an item number. Sub-parts to the question are identified with a lower-case letter. Each sub-part is a separate question and must be asked separately. You should read the question stem followed by the ending sub-part associated with the letter. If there are lots of sub-parts, you will probably only need to read the stem for the first two or three sub-parts. Once the respondent understands that the stem is repeated, though unspoken, then continue reading only the sub-parts.

Figure 13 Example of a question with multiple subparts.

## **Direction Through Tables Showed by an Arrow**

In some tables, <u>arrows show the direction to go through the table</u>. A vertical arrow pointing down means to go down each column in the table, completing all the rows for the column before moving to the next column. A horizontal arrow pointing right means to complete all the columns for a row before moving to the next row. In this example, you would complete all columns for a row before continuing with the next row.

Figure 14 Arrows indicate the direction to follow when completing a table.

L I N E	2 → → →  MATERIALS USED  [Enter percentage analysis or actual pounds of plant nutrients applied per acre.]			[Leave this column blank if actual	4 [Enter material unit code.]  1 POUNDS 12 GALLONS 19 POUNDS of ACTUAL NUTRIENTS	5 When was this applied?  1 Before seeding (fall) 2 Before seeding	6 How was this applied?  1 Broadcast, ground without incorporation 2 Broadcast, ground with incorporation 3 Broadcast, by air 4 In seed furnow	How many acres were treated in this applicatio
	N Nitrogen	P <sub>2</sub> O <sub>5</sub> Phosphate	K <sub>2</sub> O Potash	nutrients were reported.]		(spring) 3 At seeding 4 After seeding	5 In imigation water 6 Chisel, Injected or Knifed in 7 Banded/Sidedressed in or Over Row 8 Foliar or Directed Spray	ACRES

# **Entering Data**

Use a black lead pencil to record data and notes; never use ink on a questionnaire. Make all entries clear, and easy to read. Entries in check boxes and item code boxes must be entirely inside the boxes.

Record responses in the unit shown in the questionnaire (such as acres, bushels, or dollars). If a respondent gives an answer in a different unit, write the answer outside the printed box, convert it to the required unit, and record the converted data in the box.

If the respondent answers "none" to a question, enter a **dash** (----) in the box, and **not** a zero.

For questions answered with a code number, enter the number that goes with the respondent's answer. If the respondent answers using only the code number, verify that the code is correct by repeating the answer in words.

For YES/NO questions, enter code 1 if the answer to the question is YES. If the answer is NO, most often you must enter a dash in the box to show that you asked the question and the respondent answered NO. Since you are not entering a code number for NO, this is the only way to show that the answer was NO.

The State Office must be able to tell the difference between questions asked and the answer was NO or ZERO, and questions asked, but the respondent could not answer (DK) or did not answer (REFUSED). For any question, if the respondent doesn't know the answer, then record DK or "DON'T KNOW" next to the question. If the respondent refuses to answer, write "REFUSED" next to the question.

Record data to the nearest whole number, unless a decimal point is in the box. Place numbers correctly in relation to decimal points, and fill in every space printed after them. Use zeros as fill when answers are not given to as many decimal places as required by the data cell.

If answers appear unusual, but really are correct, make notes in the margins to explain. Do not write notes or make unnecessary entries in answer boxes.

# **Planning Your Work**

The operator or operation name, mailing address, and ID number are on the questionnaire label. The State Office may provide other information, either on the label or on separate forms, that might be helpful to you in finding the selected operation.

Mark the location of each operation assigned to you on a highway map before you start to interview. Show the location by a small circle with the ID number written beside it. Use this map to plan your daily travel; this will help keep travel expenses down and save time.

You may need to ask Post Office or Farm Service Agency employees for directions to some operations. Try to do this early in the survey so you can put the information on your map when possible. Tell your Supervisor about any operator whose home or office you cannot find.

## Interviewing

Interview the farm operator, if possible, because information collected from other people is often less accurate. If the operator says someone else is more knowledgeable, interview that person.

If the operator is not present when you visit, but is expected soon, wait for the operator, or make other contacts nearby and return a little later.

If the operator is too busy to be interviewed at that time, set up an appointment at his or her convenience. Be sure to keep the appointment, and be on time! If an emergency prevents you from keeping the appointment, inform the operator beforehand and reschedule the interview.

If the operator will not be available before the survey is over, try to interview someone who is well informed about the operation. A partner, family member or an employee may know enough about the aspects of the farm operation covered in the questionnaire to give you the information needed.

The NASS rule-of-thumb is to make up to three visits (the first visit plus two call backs), if necessary to get an interview. If you have an appointment or information from a neighbor on when to try to reach the operator, you should return then. If not, make each visit at a different time of the day or evening.

Respondents often ask how long the interview will take. Never contradict the Burden Statement printed on the Respondent Booklet; however, adding to it is okay. For example, you might say something like this: "The official nationwide average for this survey is 63 minutes, but the interviews I have done in this area averaged about \_\_ minutes." Be honest about the average time, even if your interviews average longer than the time estimate in the Burden Statement.

Encourage respondents to have farm records at hand. Using records encourages accurate information and completing the interview will take less time.

The first time you ask a question, always read the question exactly as worded in the questionnaire. If the respondent did not hear or did not understand the question, repeat it using the same wording. Use any optional wording or explanations printed with the question in the questionnaire. If the respondent still doesn't understand, or asks you to explain, use what you learned in training and information from this manual to explain what we need.

Ask questions in the order they appear in the questionnaire. Do not skip any questions unless skip instructions printed in the questionnaire allow you to do so.

Sometimes, a respondent will volunteer information before you ask a question. When you get to a question the respondent already answered, take the opportunity to verify the information. Say something like, "I think you told me this earlier, but let me just be sure I got it right." Then ask the question exactly as worded. This doesn't make you look like you weren't listening. On the contrary, it emphasizes to the respondent the need to get things right.

Sometimes you will need to probe to get an adequate answer to a question. You should probe when the respondent cannot answer the question, when the answer isn't exact enough to record, when you think the answer may be incorrect because it doesn't fit with information you've already obtained, or when you think the respondent didn't understand the question.

The purpose of probing is to verify unusual data or to correct misreported data. You must be careful when you phrase your probing questions that you do not influence the respondent's answers. Probes should be "neutral," that is, they should not suggest one answer over another.

For example, don't say things like, "Use beneficial organisms in this field, you didn't do any of that, did you?" Instead, say, "Did you use any beneficial organisms to control pests in this field?" If the respondent asks for more information, explain that, "Beneficial organisms include insects like green lacewings or ladybugs that are natural enemies of crop pests."

As another example, if a respondent tells you that a value is between two amounts, such as, "Oh, I used a seeding rate of between 1 and 2 bushels per acre," you should ask, "Would you say it was closer to 1 bushel per acre or 2 bushels per acre, or what amount exactly?"

Probes should also be "nonthreatening." Be careful that you don't appear to be questioning or challenging the respondent's answers. Don't say, "That can't be right, three bushels of seed per acre is way too much!" Instead, say, "Does that three bushels include reseeding? I only want the seeding rate for the first time the field was seeded." Then make corrections to data items if necessary or make notes of the respondent's answer if it is correct.

Be sure to make good notes. This is especially important when you find unusual situations or the respondent explains why information that seems incorrect is correct. Also write down any complicated calculations you have to make to come up with an answer.

The notes you record in the questionnaire will help the survey statistician understand this operation when reviewing the questionnaire. Make sure the notes are clear and can be read. Notes can be the single most valuable editing tool available to the office statistician.

#### Never erase a note unless it is wrong!

After completing each interview, be sure to review the questionnaire while the interview is still fresh in your mind. Make sure you recorded all answers correctly and the questionnaire is complete. Check your calculations. Make sure all notes are clear.

# Framework and Reference Period for Reporting Data

The ARMS questionnaire is designed to collect information about production practices used and expense items associated with the 2001 corn crop of the randomly selected field. Many of these expense items should be reported in the dollar per acre cost for the selected field.

Fertilizer and pesticide data cover a period of immediately **after** harvest of the most recent crop (before this year's target crop), and continue through all applications made for this target crop. Post-harvest pesticide applications to the harvested crop are excluded.

Field operations data are reported **beginning** with the first tillage operation **after** removal of the most recent crop before the 2001 target crop from this field, and **ending** with the harvest and hauling of the 2001 crop to storage or first point of sale.

# **Nonresponse**

If you are unable to conduct an interview, note the reason on the questionnaire. Also, make a note about whether the operation is a farm, whether it appears any of the target commodities were grown, and any other information you think might be helpful to the State Office.

Most farmers are willing to furnish the information asked for in NASS surveys, but in every survey some will refuse to do so.

The key to reducing the chances of getting refusals is to be courteous and friendly, but persistent. Try to get cooperation by explaining the purpose of the survey, the need for accurate agricultural statistics, and the confidentiality of the data. Make use of materials explaining the survey purpose provided by your State Office.

Above all, do not become discouraged when you get a refusal. Continue to meet farm operators with ease, friendliness and optimism as you contact other assigned operators.

# **Supervision**

Your Supervisor will set up an appointment to meet with you early in the survey. This visit will help you get off to a good start by spending time reviewing a few of your completed interviews. Hold all your completed work until this review takes place, unless your supervisor tells you to do otherwise.

Your Supervisor or someone from the State Office will contact a few of your respondents to conduct a quality check. The quality check will verify that you spoke with the person named in the questionnaire and that the respondent understood the survey procedures.

# **Completed Questionnaires**

Turn in your completed questionnaires according to the instructions you receive from you supervisor. If you think the last few questionnaires you complete might not reach the State Office before the final due date, call your supervisor.

Keep a record of when you complete each questionnaire and when you passed it on to your supervisor or mailed it to the State Office. This will help the State Office find survey materials if they are delayed.

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# **Chapter 4 - Screening**

## **Face Page**

#### Introduction

Before beginning data collection, develop an introduction you are comfortable using. In the introduction include who you are, whom you represent and the purpose of the visit. You should be familiar with the information in Chapter One of this manual.

Some operators may have already heard about the ARMS on radio or television farm show broadcasts or short spots. They may also have read about the survey in a pre-survey letter from the State office or in newspaper or farm magazine articles.

When making your introduction, remind the respondent that data they report will be kept strictly confidential. All information they provide will only be used to make state, regional, and national estimates. Mention that some farm records, particularly records of fertilizer or pesticide applications, will be useful along with any notes or records of when field operations took place.

Be prepared to answer questions the respondent may have about the purpose of the survey and uses of the data.

# **Response Codes**

Upon completion of the interview, enter the response code in cell 0910 on the Face Page of the questionnaire. Response codes are:

Code 3- **Complete**: The questionnaire is complete, including questionnaires for respondents that are no longer in business. Also, use Response Code 3 for operations that you have determined DID NOT grow the target commodity this year, even if the operator refused to complete the interview or you were unable to locate the operator during the data collection period. **Complete** means you have obtained all of the data needed for the questionnaire.

Code 5 - **Out of scope**: Operations, such as prison farms, private or university research farms, high school FFA farms, not-for-profit farms operated by religious organizations, and Indian reservations produce

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agricultural commodities, but do not meet the ARMS definition of a farm or ranch. These types of operations are considered "out of scope" for the survey. Production practices, costs, and income characteristics of these operations are not representative of the general farm population. Assign Response Code 5 to these types of operations, and describe the specific type of operation on the face page with a note. No other information is required for these types of operations. **Do not** use response code 5 for operations that are out-of-business (use code 3).

Code 8 - **Refusal**: The respondent refused to cooperate or grant an interview. If you determine that the target operation does not produce the selected commodity, code the questionnaire complete (code 3) and indicate the source of your information with a note.

Code 9 - **Inaccessible / Incomplete**: The operator was not available throughout the survey period (inaccessible). You will also use code 9 if the respondent gave an interview but could not or would not answer a lot of the questions (incomplete questionnaire). If you determine that the target operation does not produce the selected commodity, code the questionnaire complete (code 3) and indicate the source of your information with a note.

## **Starting Time**

Record the **starting time** (military) of the interview when the respondent agrees to cooperate on the survey and you actually start the interview. We use interview times to find out how much respondent time we are using (as a measure of respondent burden) in collecting data. We are trying to reduce interview times as much as possible and still collect the high quality data that we need.

## Name, Address, and Partners Verification

All questionnaires will have one or more labels. If the first line (primary name line) of the label under the ID number line shows an individual's name (JOHN SMITH), this is the target name (unless the opDomStatus is 99). If the first line contains a combination of individual names (JOHN AND BILL SMITH) or an operation name (SMITH FARMS), then the individual's name on the next line down is the target name.

If the opDomStatus is 99, then the name of the operation shown below the ID line is the target name.

Remember: The target name NEVER CHANGES. The person operating the farm (the farm operator) may change, but the target name is always the person identified on the label.

The first thing you will do is verify the name and address for the target name. If there are partner labels, be sure that partner names and addresses are correct, and that all partners are listed. Mark through the names of any partners no longer involved in the operation. Record the names and addresses of any partners who are not listed.

## **Screening Survey Information Form**

Operations sampled for ARMS Phase II were interviewed during the Screening Survey. The State Office will insert a Screening Survey Information Form inside the questionnaire with information collected during the Screening Survey interview.

The Screening Survey Information Form shows:

- acreage of the target commodity reported in Screening Survey.
- type of operation reported (individual, partnership, managed).
- who reported in Screening Survey.
- how the screening data was obtained.
- the ID for the enumerator who conducted the screening interview.
- the sequence (sample) number. This number also appears on the ID label. This sequence (sample) number is used in marking field locations on maps.

Verify the type of operation listed on the Screening Survey Information Form is still correct, particularly if you made corrections to the name, address, or partners on the Face Page.

## **Screening Box on Face Page**

The State office may want you to rescreen the target operation by asking the screening questions again. This may be because the respondent to the Screening Survey may have been someone other than the operator, or incomplete information was obtained on the Screening Survey (for example, partner information was not collected).

If the Screening Box (cell 0006) on the Face Page is coded with a "1", the Office will include a Screening Supplement for you to complete. Complete this supplement after verifying the name and address labels on the questionnaire, but before you begin asking questions in Section A of the questionnaire.

## **Completing the Screening Supplement**

Farm operations in each State are sampled for the screening phase of the ARMS based on list frame information about crop acreage and gross value of farm sales. Agribusiness firms and agricultural services that do not produce crops or livestock of their own should have been excluded from the sample, but it is possible some names were misclassified. Screening questions help determine if the selected name is eligible for this survey.

Institutional farms such as prison farms, private or university research farms, high school FFA farms, not-for-profit farms operated by religious organizations, and Indian reservations are excluded from the study. Production practices, costs, and income characteristics of these operations are not representative of the general farm population. If your assignment includes any of these farms, notify your supervisor or the survey statistician.

If an operation was in business during part of 2001 but went out of business during the year, **complete a questionnaire for the part of the year during which the operation did business**. If the operation was taken over by another operator or operation when it went out of business, <u>make a note of this</u>. This note should include a name, address, phone number, and any other pertinent information about the new operation.

There are two versions of the Screening Supplement. The version used for opDomStatus 99 records only determines if the selected operation is in-business for 2001. The second version, used for operations that are NOT opDomStatus 99 operations, determines if the selected operation is in-business, and obtains additional information about other operations the target name is involved in. The State Office will insert the correct version into the questionnaire for you to use if the operation requires rescreening in Phase II.

#### **Item 1: Other Operation Name**

Even though you have already verified the label, you need to ask this item to avoid duplication and to make sure the State Office sampling list is up-to-date.

#### Item 2: Crops, Livestock or Poultry

Check YES if the operation grew **any** crop (field crops, fruit/nut crops, vegetables, oilseeds, specialty crops, hay) or had cattle, hogs, sheep, poultry or other livestock during 2001 on the total land operated. If YES, go to Item 6. If NO, continue with Item 3.

For an operation to qualify as growing a crop, it must have made the decisions on planting, caring for and harvesting the crop.

**Include:** field crops, fruit and nut crops, vegetables, mushrooms, flowers, nursery stock, greenhouse crops, hay, Christmas trees, etc.

**Exclude:** home gardens, crops received in the 2001 crop year as payment for land rented to someone else, and crops grown by anyone other than the target name on land this operation rents to others.

This screening question would also be checked YES if the target name had any livestock or poultry, <u>regardless of ownership</u>, on the total acres operated at any time during 2001.

**Include:** All cattle, hogs, sheep, mules, goats, chickens, turkeys, ducks, geese, bees, rabbits, mink or other fur bearing animals, and fish that are raised commercially or for home consumption. FFA and 4-H livestock projects should also be included.

**Exclude:** Horse boarding operations, riding stables, or race horse training operations that do not have other agricultural items. Also exclude slaughter or packing houses, auction barns, stockyards or order buyers. These operations have livestock which are committed for slaughter. The presence of these livestock alone does not qualify an operation for the survey.

## Item 3: Sales of Agricultural Products or Receipt of Government Agricultural Payments

Include sales of crops, livestock, fish and other products from the total land in the operation. Include any government payments received under the 7-year market transition program, conservation programs, etc.

This item should be answered NO when the respondent is a landlord who only sells agricultural products from, or only receives government farm payments for, land which was rented out.

If this item is checked YES, go to Item 6.

If Items 2 and 3 are both NO, continue with Item 4.

#### Item 4: Out-of-Business Determination

If both items 2 and 3 are NO, then the selected operation is considered to be out-of-business for 2001.

Determine if anyone else is now operating the land formerly operated by the target name on the Face Page. Ask this item only if the respondent answered NO to questions 2 and 3. If another operation has taken over from the target name on the label, record the name of the operator or operation now operating the land.

This item gives us the information we need to update the List Frame when operations have gone out of business. Record the name, address, and phone number (if available) of the individual or operation now operating land that used to be operated by the target name. If the respondent answers NO to this item, probe to determine what happened to the land, and make notes.

#### Item 5: Enumerator Action

These instructions only apply in rare cases and the interview will not be conducted based on information recorded on the screening supplement.

If the operation is out-of-business, any data obtained in the questionnaire would be excluded from the summary process. Therefore, the interview should be ended before burdening the respondent to complete the questionnaire.

- 1) On the Screening Supplement, enter code "9" for the reporting unit in item 6 (cell 0921).
- 2) Go to the questionnaire Face Page and enter code "3" in cell 0910.
- 3) Go to the questionnaire Back Page and complete the administrative items inside the large black box at the bottom of the back page, including Respondent code, Ending Time, Date, and Enumerator ID.

## Item 6: Decision-Maker For This Operation

This item is only completed if the operation is in-business for 2001 (item 2 or 3 is checked 'YES').

We are interested in how the operation was **managed** on a day-to-day basis. We **do not** care what the *LEGAL* definition of the operation is.

Definitions of individual, partnership, and managed land are printed in the *Interviewer's Manual*. Landlord-tenant, cash-rent and share crop arrangements should not be considered partnerships.

When an individual operation is reported, enter code 1.

When a partnership is reported, enter the number of partners. Include the person listed on the Face Page and all of the other partners.

When a manager is reported, enter code 8.

#### **Item 7: Other Operations**

This item is only completed for non-opDomStatus 99 operations that are in-business during 2001.

If the State Office already knows about additional operations associated with the target name, there should be labels for Operation 2 on the Screening Supplement. There will be an additional Screening Supplement for Operation 3, if there is a third operation.

This question determines if the target name made day-to-day decisions for any other operations in 2001. Each additional operation must be listed or verified on the back side of the Screening Supplement. Additional copies of the Screening Supplement should be used if there is more than one additional operation. The information collected on the Screening Supplement will be used to update your State's list sampling frame and to adjust the data collected in the questionnaire to represent multiple operations.

#### If the Operator Does Not Have Other Operations

If there were not any other operations, enter '1' in cell 0923, then go to Section A of the questionnaire and begin the interview.

#### If the Operator Has Other Operations:

#### **Item 7a - Total Number of Operating Arrangements**

Enter the TOTAL number of operating arrangements, *including the* sampled operation labeled on the face page of the questionnaire in cell 0923.

Entering a "2" for this item indicates the operator makes day-to-day decisions for two operations (the one labeled on the Face Page of the questionnaire and one additional operation).

#### **Item 7b - Identifying Additional Operating Arrangements**

Complete or verify the names and addresses, including partners, for each additional operation. If the operator had a third operation, complete or verify the information on an additional Screening Supplement for this operation.

Mark out any operations the target name was not associated with in 2000. If any partner names are not listed, add them.

If the target name is involved (either as individual operator or as a partner) with any other operations which are not listed on a Screening Supplement, record these. In the partner space record the names of all of the partners other than the target name associated with each additional operation.

#### **Item 7c - Day-to-day Decisions for Additional Operations**

For each of the additional operations, check the appropriate box to explain how the day-to-day decisions were made in 2001.

We are interested in how day-to-day decisions were made for this additional operation, <u>not</u> the legal definition of the operation.

After obtaining names and addresses for all individuals involved in all additional operating arrangements, begin the interview with Section A.

## **Special Situations**

Do not include operations not already listed for which the target name is a hired manager.

A special situation exists if the operation on the Face Page of the questionnaire is a managed operation. If the target name is still the hired manager, there is no problem; handle it as you would normally.

If the label for the operation on the Face Page is a managed operation and was still in business in 2001 under a new hired manager, you will contact the new hired manager and collect data for the operation named on the Face Page. You will also need to contact the original target name to verify any other operations listed, and if that originally selected target individual has additional operations you will list them on one or more Screening Supplement(s).

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## **Chapter 5 - Completing the Questionnaire**

#### **Overview**

This section provides an overview of how Chapter 5 is organized. For 2001, corn is the only target commodity, and therefore only a single questionnaire version will be used: the Corn Production Practices and Costs Report (PPCR).

Chapter 5 contains question-by-question instructions for every item in every section of the Corn PPCR questionnaire for Phase II. The ARMS questionnaire sections are listed in Exhibit 5.1 below.

#### **Exhibit 5.1: Questionnaire Sections**

Section	Section Title
A	Corn Field Selection
В	Field Characteristics
C	Fertilizer and Nutrient Applications
D	Pesticide Applications
Е	Pest Management Practices
F	Field Operations
G	Irrigation
Н	Drying
I	Landlord/Contractor Costs

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#### Section A - Corn Field Selection

## What is Section A for anyway?

Field level samples supply the specific details needed for the economic and chemical use analysis for field crops. Each field must be randomly selected from all of the operation's fields of the target crop of interest for the field sampled to be representative of all fields of the target commodity of interest. Simple random sampling procedures are used for field selection.

Beginning with Section B, questions in the questionnaire refer only to the field selected in Section A.

## **Screening Survey Information**

Your State Office should have inserted a **Screening Survey Information Form** into the questionnaire. This form will provide you with information reported during the ARMS Phase I Screening survey. Information contained on the Screening Survey Information Form includes operation characteristics, total acres operated, and total target crop acres. The form is intended to assist you (and the respondent) in making sure the correct operation is reported for Phase II. You should review this form prior to conducting the Phase II interview.

## Item 1: Total Acreage of Target Commodity

Enter the total number of acres of corn that this operation **planted for any purpose** for the 2001 crop year.

Acres should be recorded in tenths (1/10) of acres. For example, 180 acres should be entered as 180.0.

#### **INCLUDE:**

- 1) all acres planted to the target crop, even if they were abandoned, grazed off, or cut for forage or silage. The reason we include these acres is that the operator usually has expenses and chemical applications associated with them.
- 2) acres planted to corn and later replanted to the same crop. Even if the operator had to replant some of the corn acres (poor seed germination

and weather are common causes of replanting), count these acres only one time.

3) corn acres which were later plowed down and planted to some other crop for harvest.

#### **EXCLUDE:**

 Acres planted on land operated by someone else. For instance, exclude acres planted by someone else who rents cropland from this operator.

Each sampled operator was screened for inclusion in ARMS Phase II based on data reported in a screening interview in May, June, or July. The number of corn acres reported in the Phase I Screening Survey was very important in determining which strata the operator would be sampled for Phase II and how estimates of production practices are ultimately made. If there are big differences between the corn acres reported during the Phase I Screening Survey and the Phase II corn acres, make notes on the Screening Survey Information Form to assist the survey statistician in editing the questionnaire.

There are many good, logical reasons why the Item 1 acreage may be different from the screened acreage. The information on the Screening Survey Information Form is useful for determining likely reasons for any differences. For example, the respondent to the Screening Survey may have been a different person from the respondent you are interviewing, or the acreage reported in the Screening Survey may have represented intentions to plant, and not acreage already planted.

Don't assume that something is wrong if the Screening Survey acreage differs from the acreage reported in Item 1. It may not be wrong, just different. You may tell the operator your notes from the Screening Survey conducted in May, June and July show the operation with "X" acres, and ask the operator to explain the difference. Make a note of the explanation on the questionnaire, or make corrections to Item 1 acreage, if necessary.

If no corn acres are reported in Item 1, review the information on the Screening Survey Information Form. Make explicit notes about the reason why the current report of zero acres is different from the information reported on the Screening Survey Information Form. If the operator has no corn acres, then go to Item 4 of the Conclusion, and conclude the interview. This is considered a 'complete' interview.

#### Item 2: Tenure of Total Corn Acres

Item 2 asks tenure arrangements for the total corn acres. Acres should be recorded in tenths (1/10). The sum of the acres reported in Items 2a, 2b, 2c & 2d must equal the acres reported in Item 1.

#### Item 2a: Corn Planted on Owned Acres

Record the number of acres of corn planted on land the operation owned.

#### Item 2b, 2c, & 2d: Corn Planted on Land Rented

Record the total acres of the corn planted on rented acres, by type of rental arrangement.

#### Include:

- 1) all land for which the operator paid cash rent on a per acre basis (Item 2b).
- 2) all land for which the operator paid the landlord a share of the crop (either standing or harvested). The respondent may need to add all the share rented units together to get a total share rented figure (Item 2c).
- 3) all land belonging to others (private individuals, federal, state, railroad, etc.) which the operator used rent free (Item 2d).

#### Item 3: Total Number of Fields

Item 3 asks for the number of fields planted to corn on the operation for the 2001 crop. Do not skip this question, rush through it, or accept vague estimates of the number of fields. It is <u>absolutely essential</u> that this question be enumerated correctly. The accuracy with which statisticians can make estimates from the one selected field to represent the whole commodity enterprise is seriously jeopardized if this item is incorrect. This total number of fields will be used to expand the field level data collected in this questionnaire.

If the operator had only 1 field of corn, enter a "1" in Item 3 and a "1" in Item 5. Then go to Item 6 and explain to the respondent that the remainder of the questions will be about this specific field.

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If the operator has more than 1 field of corn, enter the number of fields in Item 3 and continue with Item 4.

In some instances the respondent may begin listing the corn fields in order to determine a count of fields. If this happens, you should be prepared to enter the fields in Item 4 and then return to Item 3 to record the total number of fields. The important things to remember are: All fields must be listed to ensure a random selection; and the total number of fields must be accurate to ensure the data are correctly expanded.

#### Item 4: Identification of Fields

Item 4 uniquely identifies every corn field. It is <u>extremely important</u> that all fields are listed and that the actual number of fields are accurately recorded in Item 3.

Ask the respondent to list the corn fields for the operation. If there are more than 18 fields, list only the 18 fields closest to the operator's permanent residence. Record each field on the lines provided in the questionnaire.

After listing all individual fields, the operator may report a more accurate number of total fields. In this situation, update the number of fields in Item 3 to reflect the correct number.

You may list fields in any order. Do not skip any lines when completing this listing.

Operators can list fields using any description that is meaningful to them. Some operations have a formal field numbering or naming system, but others may use informal names or descriptions for their fields. Many operators identify fields of crops using some combination of their location and acreage. Many refer to their fields by the name of the current or previous property owner. It does not matter what kind of field identification system is used, as long as the respondent can list the fields by these names, numbers, or other description and knows which field is which.

If the operator is unable to list the corn fields by name, number, or other description, use the field selection grid to draw off (up to 18 of) the operation's fields closest to the operator's permanent residence. The field selection grid is printed on a separate Field Selection Supplement.

Prior experience has shown the grid to be very beneficial in the rare cases when the respondent cannot adequately describe the fields without drawing them.

#### Item 5: Random Number Selection

If there is only ONE corn field (Item 3 is 1), enter "1" for Item 5 and go to Item 6.

If there is more than one field, you must use the **Random Number Label** to randomly pick one field to focus on for the rest of the interview.

The State Office will place a Random Number Label (see Figure 2 on page A-5010) on the Field Selection page in each questionnaire. Read across the FLD (field) line to match the number of fields you listed in Item 3. On the SEL (selected) line immediately below the FLD line is the number of the randomly selected field.

Circle the pair of numbers on the label associated with the last numbered field line in Item 4. Write the randomly selected field number in Item 5. Circle the randomly selected field in the Item 4 listing. This helps both you and the respondent identify the randomly selected field.

Practice this procedure until you are comfortable with using the Random Number Label. Randomness of field selection is another essential element in making accurate estimates for the whole corn enterprise from just one selected corn field.

#### Item 6: Informing Respondent of Field Selection

Tell the respondent which corn field is selected, and be certain that both of you can identify that field.

For the remainder of the interview, the respondent must be able to focus on the selected field, and provide you with information for only that field.

#### **Field Selection Grid Supplement**

This procedure is rarely necessary, but be prepared to use it so that the interview begins smoothly. The reason we use the field selection grid is to be able to list the respondent's fields systematically so that a single field may be randomly selected.

You will not need this procedure if the respondent has names or numbers for the fields, or is able to describe them. The exception may be when the operator has more than 18 fields, and it is difficult to identify the 18 fields closest to the operator's permanent residence.

## Mapping Fields on the Field Selection Grid Supplement

Beginning with the corn field closest to the operator's residence, draw off the operation's fields. There is no need to draw off more than 18 fields, since the Random Number Label accounts for up to 18 fields. Sketch in any boundaries such as roads and rivers which may help you and the respondent locate the fields accurately. It may be helpful to use a county map along with the grid.

Do not spend a lot of time trying to make your map a work of art. Drawing to scale is not important, but the relative location of fields to the operator's permanent residence is important. The field furthest north should be nearest the top of the grid, and the field furthest west should be at the far left of the grid.

Once fields are drawn on the grid, you are ready to begin numbering them. Number the (up to 18) fields drawn from 1 to 18, and then continue with the random field selection with the random number label.

## **Using Farm/Ranch Maps**

If the respondent has a farm or ranch map for you to write on, locate and mark (an X is fine) up to 18 of the operation's corn fields. Begin numbering the fields as you mark them. Remember, we are locating the fields closest to the operator's permanent residence. Continue marking and numbering up to a maximum of 18 fields per operation.

Some operators have copies of maps or aerial photos from their local county office of USDA's Farm Service Agency (FSA). The operator's fields are drawn off on these maps or aerial photos and identified with letters and numbers. These maps may also be helpful in the field selection process for this survey. On these FSA field maps, identify the operation's fields of the target commodity, mark them and number them beginning with number 1. Or you may use the FSA letters and numbers when listing the fields in Item 4.

Whatever method you (and the respondent) use to number the fields, it is important that you and the operator can identify the selected field when you've completed field selection. Do not proceed with the interview until you are sure he can specifically reference the selected field.

#### **Numbering the Fields**

Begin numbering the fields. If there are 18 or less fields, you can number in any sequence you want. If there are 19 or more fields, number the 18 fields closest to the operator's permanent residence. However, the field closest to the residence does **not** have to be "1", and the next closest "2". You only need to list the closest 18 fields to the residence.

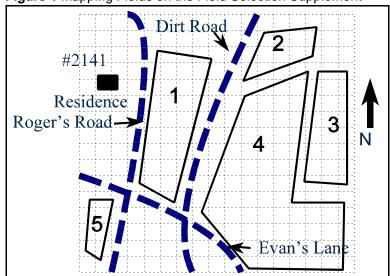


Figure 1 Mapping Fields on the Field Selection Supplement

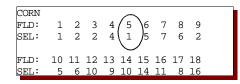
#### **Example 1: Random Field Selection**

The respondent tells you that there are 5 fields of corn in the operation, but does not have identifying names or numbers for them. The respondent does not feel confident about describing them very well, but says drawing them would help. Refer to Figure 1.

- 1) For Item 3, enter "5".
- 2) Get a Field Selection Grid Supplement. Copy the ID, Tract and Subtract from the questionnaire to the supplement and enter the commodity name.
  - OR You may use a map of fields supplied by the respondent.
- 3) Draw the 5 fields on the grid (or map) in relation to the operator's residence.

- 4) Number the fields drawn on the grid (map). Continue with Item 4, and list the 5 fields as the respondent identifies them.
- 5) Locate the number 5 on the FLD line of the Random Number Label (Figure 5.2).
- 6) Circle the pair of numbers on the label associated with the number 5. For this example, the random number selected is '1'. The corn field listed on

**Figure 2** Example of a Random Number Label



- line 1 of Item 4 is selected as the random field. Circle field 1 in the Item 4 listing and on the grid (or map).
- 7) Record the randomly selected field number, number 1, in Item 5.
- 8) Identify this field for the respondent as the selected field for this interview. Be sure that the respondent knows which field this is. Tell the respondent that most of your questions will be about this selected field, and that these questions should be answered with information about this field only.

It may help the respondent to stay focused on the selected field if you refer to it occasionally during the interview using the same description reported to you. For example, when you originally listed the operation's 5 fields of corn, the respondent called field #1 "45 acres on Smitty's." Several times during the interview, refer to this field using these same words. For example, when you ask Item 1 in Section C, say, "Were commercial fertilizers applied to these 45 acres on Smitty's for the 2001 corn crop?"

## **Section B - Field Characteristics**

#### What's Section B for anyway? How is the information used?

Section B obtains information used to calculate cost of production per planted acre on the selected field. If a crop is planted, some costs are incurred, regardless of whether the crop is harvested or not.

Data from Section B are also used to study land tenure, conservation practices, and adoption of new technologies such as genetically modified seed technology.

In some parts of the country, it is common to let land lie fallow (no crop harvested) for an entire season to conserve moisture and/or improve soil quality. In calculating cost estimates, fallow land incurs a cost which is assigned to the crop following the fallow period. If the fallow acres are planted to a cover crop, the cost of the cover crop seed is also considered in updating cost of production estimates. In non-survey years, knowing the cover crop allows ERS to adjust cover crop seed costs using NASS' annual seed prices.

Seeding rate is needed to determine the cost of planting corn. The seeding rate allows ERS to adjust seed expenses between survey years using NASS' annual seed prices.

Previous crop data provide information on cropping patterns, important in analyzing fertilizer and pesticide use. In addition, USDA is required to evaluate conservation tillage systems. The previous crop is used in conjunction with the machinery data collected in Section F to estimate residue levels and determine tillage systems. The resulting information is used to evaluate soil erosion losses and water quality.

## Item 1: Field Acres

Enter the number of acres **planted** in the selected corn field. Round to nearest tenth (1/10) of an acre. Exclude areas of waste, roads, and ditches that are not planted to the corn crop.

#### **Item 2: Tenure Arrangement**

This item is used to determine the cost of land for crop production, and whether production practices used for owned fields differ from those on rented fields. In most cases the entire field will either be owned, rented or used rent free and you will record the appropriate code for the type of tenure arrangement. If the field contains acreages of different tenure types, record the code that reflects the tenure of the majority of the acres in the field.

#### **INCLUDE:**

- 1) acres in the field for which the operator paid cash rent on a per acre basis (**CODE=2**).
- 2) acres in the field for which the operator paid the landlord a share of the crop (either standing or harvested), (**CODE=3**). Include acres of corn planted on share rented land, even if the crop was plowed under or abandoned and, therefore, the landlord's share was zero, as long as the rental agreement specified the rental fee was to be a share of the crop grown.
- 3) acres in the field belonging to others (private individuals, federal, state, railroad, etc.) which the operator used rent free (**CODE=4**). If the rental agreement specifies the landlord only receives a share of the government payments, and no share of the crop, then this should be counted as land used rent free.

#### Item 3: Cash Rent Paid

If the selected field is cash rented (Item 2 = 2), ask how much was paid in cash rent. Record cash rent in dollars and cents per acre. If this figure cannot be obtained, ask for the total dollars paid in cash rent for the field and write in a comment.

#### Item 4: Landlord's Share of the Crop

If the selected field is share rented (Item 2 = 3), record the percent of total production from the selected field that belonged to the landlord.

If the crop failed or the field was abandoned, then the landlord's share would have been zero, regardless of the original rental agreement. In this case, note in the margin that the crop failed in the field, and record the percent of the crop the landlord would have received (based on the original rental agreement) had the crop not failed in Item 4.

## Item 5: Year Began Operating Field

Analysts are interested in the effect of land ownership on the adoption of long-term practices such as terracing and building levees. These items, along with information on wetland notification by NRCS, provide information needed for this analysis.

Record the year this operator began operating land inside the field. If part of the field is owned, and part is rented, enter the earliest of either the year of the lease arrangement or when the field was purchased. If 2001 was the first year the field was farmed by the operator, enter '2001'.

#### Item 5a: Long-term Expectations

Analysts are interested in the relationship between the expected length of the operator's use of the field and the application of long-term land improvements to the field. This item will help answer the question of whether or not operators who do not intend to operate a field for the long term are more or less likely to apply more costly long-term conservation improvements to the field.

Enter a code "1" if the operator expects to be operating this field for the next five years (through the 2006 crop year).

#### **Item 6: Planting Date**

Record the date the selected field was planted. If the field was reseeded or replanted to corn, record the date the field was planted the first time. If more than one day was needed for planting the field (the first time), enter the date planting was completed.

If the operator does not know the planting date, ask what week the field was planted. Then enter the date for the WEDNESDAY of that week.

Record month, day, and year, in digits. For example, May 16, 2001, will be entered as 0 5 1 6 0 1.

#### Item 7: Corn Row Width

Enter the average row width in whole inches.

#### Item 8: Seeding Rate

Determine the initial (first) seeding rate per acre for the selected field. Do NOT include any reseeding or over seeding (full or partial) as part of this rate.

Enter the RATE of seeding and also the UNIT for the seeding rate. Record the units to the nearest TENTH (1/10). For example, if the operator responds in bushels per acre, be sure to record the tenths of bushels.

Valid codes for the seeding rate units are:

- 1 =**Pounds**
- 2 = **Cwt** (hundredweight, 100 pounds)
- 4 = Bushels
- 25 =Kernels / Seeds

For example, a seeding rate of 1 bushel for each 3 acres of corn (i.e. one-third bushel per acre) would be recorded as 0.3 units per acre with 4 as the unit code.

#### Item 9: Acres Reseeded

Record the total number of acres of the selected field that were reseded to corn. Enter acres to the nearest TENTH of an acre.

If some acres were reseeded more than once, count them again: number of acres reseeded times number of times reseeded. Example: In a 30 acre field, if 10 acres were reseeded three times and 10 acres were reseeded once, the total acres reseeded would be 40.0 acres.

#### Item 10: Seed Brand

**New question this year.** Seed industry consolidation can be monitored by identifying the market share of major seed companies and their regional subsidiaries. Combined with seed cost data, analysts are looking at the possibility of measuring market power when concentration is present. Show the respondent the Brand/Company code list in the Respondent Booklet as an aid, the brand and companies are listed in alphabetical order.

#### Item 11: Seed cost

Record the per unit cost of the purchased seed for the selected field. Corn may be purchased in bags that are measured by the number of kernels in the bag. When such a measure is used, the number of kernels in a seed corn bag may vary from 60,000 to over 90,000. If the measure of the bag size is in kernels, enter code '23' for APPROX. 80,000 KERNEL BAG.

**Include** landlord and contractor costs and costs for seed treatments and any technology fees charged by the seed corn company .

Record the cost in dollars and cents per unit and enter the code for the appropriate unit.

Example: The seed cost \$11.90 per bushel.

		DOLLARS AND CENTS PER UNIT	1=POUNDS 2=CWT 4=BUSHEL 22=ACRE 23=50 POUND BAG
11.	What was the total cost per unit of purchased seed for this field? [include landlord's share] [include cost of seed treatment any seed technology fee.]	1 1. <u>9 0</u>	4

#### Items 12a & 12b: Resistant Seed Varieties

Show the operator the Seed Variety Code List in the Respondent Booklet. The Code Lists printed in the Respondent Booklet identify various types of seed varieties. The operator may need this visual aid as a reminder that such a variety was planted. It may also help him properly categorize the variety. Determine if one of the TYPES of seed listed was used for the 2001 crop. If a non resistant seed type was used, enter a "6" in the code box.

If the same crop was planted **in the selected field** for the 2000 crop, find out the type of variety planted for 2000. For example, if corn was planted in the selected field in both 2000 and 2001, you would ask item 12b.

If more than one type of variety was used on the field, select the variety used on the most acres in the field.

For corn, code 3 is for seed varieties containing a Bt gene for insect resistance. "Bt" means *Bacillus thuringensis*, which is a bacteria that is

UNIT CODE

used to control many larva, caterpillar, or insect pests. Some new seed varieties contain genes from the bacteria Bt, which provides resistance to certain insect pests as the plants grow.

#### Item 13: Bt Trait

**New question this year.** One aspect of the GM seed corn market is that some companies purchase licenses to use traits that other companies have patented. In order to reflect the ownership of traits it is necessary to identity of the specific Bt trait. If the type of corn seed used in 2001 (12a) is a Bt variety (12a=3) or a Stacked gene variety (12a=4), then ask—

Was the Bt trait in this variety-

1 = YieldGard;

2 = NatureGard;

3 = **KnockOut**:

4 = Other?

## Item 14: Reason for Not Using Bt Variety

**New question this year.** The purpose of this question is to gain some insight as to why corn producers are apparently reducing their use of Bt seed. Ask only if the seed planted in the selected field in 2001 **WAS NOT** a Bt variety, (12a=1,2,or 6). Ask the respondent to refer to the Respondent Booklet and choose the code the best describes his reason for not using a Bt variety in 2001.

#### Item 15: Reason for Use of Resistant Seed

Ask only if the seed planted in the selected field in 2000 WAS a resistant variety (Item 12a is NOT = 6).

Resistant seed varieties usually add to the cost of producing a particular crop. For this reason, analysts are interested in the reason a producer would incur the additional cost. This item is intended to determine if the decision to use a resistant variety was driven **primarily** by economic reasons (the extra cost of the variety is offset by reduced herbicide costs because a lower cost herbicide can be used), environmental reasons (the variety is resistant to an overall less toxic herbicide), or some other reason.

If the producer indicates some other reason led to the choice of the resistant variety, record a '8' in the answer cell and write a note to describe the producer's reason.

Show the operator the list of Reasons to use Resistant Seed in the Respondent Booklet and ask for their primary reason for choosing resistant seed. If the respondent indicates that more than one reason led to the choice of the variety, probe to obtain which reason was the most important in making the decision.

#### Item 16: Refuge for the Corn Borer

**New question this year.** In order to comply with Bt corn insect resistant management guidelines, farmers planting Bt corn were required to plant a minimum proportion of their total corn acreage in non-Bt corn and not to treat these acres for corn borers. This question will allow researchers to determine if the practices used on this field were done because it was a refuge field as opposed to other possible reasons.

Insect refuges can be designed such that rows planted with Bt corn are interspersed with rows planted with non-Bt corn in the same field. Record the operator's best estimate of the percent (between 0 and 100 percent) of the total acres in the field that was used as a refuge for corn borers.

#### Item 17: Intention of Harvest

**New question this year.** Production practices may vary depending on the operator's intentions for harvest. Regardless of the seed type, ask the following – Was the corn on this field planted with the intention of being harvested as: 1- Grain, 2 - Silage, 4 - Seed, or 25 - Other?

#### Item 17a: Specialized Corn Type

New question this year. Specialized types of corn usually require more intensive labor and management, as well as special handling. Specialized corn types are usually grown under production contracts where the contractor provides the seed, and sometimes the chemicals. In return for more intensive management, the farmer often receives a premium price. This question is needed to help determine which corn producers are producing the traditional yellow dent corn and what are the typical returns from the yellow dent corn production versus specialized corn varieties and also how production methods differ among the corn varieties. This question is asked of all producers that indicate in Item 16 their harvest intentions (at the time of planting) was for grain.

#### Item 17a(1): Specialized Corn Types and Their Uses

**Waxy Corn** used as a stabilizer or thickener for the food industry and as an adhesive in the paper industry. Field must be isolated from other corn.

**High-Amylose Corn** is used in textiles, candies, and adhesives. Requires special management and cultural practices. Yields may be lower than dent corn and production fields must be isolated.

**High-Oil Corn** has enhanced protein quantity and quality. Yields may be slightly lower than dent corn.

**High-Lysine Corn** has increased levels of amino acids that are needed by non-ruminant animals, such as swine, and humans. High-Lysine corn must be isolated from other corn and may have lower yields than dent corn.

White Dent Corn is used for human consumption, frequently in breakfast cereals and grits.

**Low Phytate Corn** is used to decrease the amount of phosphorus in livestock waste. One of the reasons for the high phosphorus levels in the feces of monogastric animals is that up to 80 percent of the phosphorus in feed grains and oilseed meals is stored as phytic acid (phytate-P). Phytate-P is indigestible for momogastric animals since their digestive tracts lack the enzyme phytase.

Hard Endosperm/food Grade Corn refers to yellow or white corn with high percentages of vitreous (hard) endosperm relative to the amount of floury endosperm, pericarp nearly fully intact, and easy pericarp removal. Hard endosperm corn is used primarily in dry milling to produce human food products and in alkaline cooking for masa, tortilla chips, and snack foods. Food grade corn hybrids are typically corn hybrids with special quality traits such as hard endosperm or white cobs.

Nutritionally Dense/Enhanced Corn (sometimes called high protein), is corn with one or more of the following quality attributes: higher protein content, higher oil content, altered amino acid or fatty acid profiles, and nutraceuticals. Technically, nutritionally enhanced corn includes high oil corn. However, since high oil corn is listed as a separate value-enhanced corn, then nutritionally enhanced corn would exclude high oil corn. Nutritionally enhanced corn refers to a group of corn hybrids that primarily have their applications in animal feed.

**Organic Corn** is corn that has been certified by a third party as produced according to organic standards. Organic farming standards differ

fundamentally from conventional ones in their primary focus on management practices that promote and enhance ecological harmony.

#### Item 18: Harvest Complete at Time of Interview

Determine if harvest of the selected field has been completed at the time of the interview. If harvest has not been completed, use alternative wording in parentheses in the next few questions about what the operator expects to be the result of harvest.

If the crop in the selected field was abandoned, leave this item blank.

#### Item 19: Acres Harvested and Yield

This item obtains the disposition of the corn acres planted in the selected field and actual or expected yields.

#### Item 19a: Acres Harvested for Grain

Determine acres in the selected field harvested for grain. If harvest of the field has not been completed at the time of the interview, use the alternative wording in parentheses and ask how many acres **will be** harvested or used for the corn crop. Record acres to the nearest TENTH of an acre.

Count high moisture shelled and high moisture ear corn as corn for grain.

#### Yield per acre

If the selected field has been harvested, record the average yield per acre for the purpose indicated. Record the yield per acre to the nearest tenth of a unit.

If harvest of the selected field is not complete, use the alternative wording in parentheses and ask the operator what yield per acre is expected at harvest.

#### Item 19b: Acres Harvested for Silage or Greenchop

Determine acres in the selected field harvested for silage or greenchop. If harvest of the field has not been completed at the time of the interview, use the alternative wording in parentheses and ask how many acres **will be** harvested. Record acres to the nearest TENTH of an acre.

Do not include *high moisture* corn as silage. Count *high moisture* corn, whether shelled or ear, as corn for grain in Item 19a.

#### Yield per acre

If the selected field has been harvested for silage or greenchop, record the average yield per acre to the nearest tenth of a **ton** per acre.

If harvest of the selected field is not complete, use the alternative wording in parentheses and ask the operator what yield per acre is expected at harvest.

#### Item 19c: Acres Harvested for Seed

Determine acres in the selected field harvested for seed. If harvest of the field has not been completed at the time of the interview, use the alternative wording in parentheses and ask how many acres **will be** harvested or used for seed. Record acres to the nearest TENTH of an acre.

#### Yield per acre

If the selected field has been harvested for seed, record the yield per acre to the nearest tenth of a unit and the appropriate unit code for the reported yield.

If harvest of the selected field is not complete, use the alternative wording in parentheses and ask the operator what yield per acre is expected at harvest.

#### Item 19d: Acres Abandoned

Determine acres in the selected field that were abandoned before harvest. Record abandoned acres to the nearest tenth of an acre. Indicate with a note why the acres were abandoned. Abandoned acres are different than acres for 'other purposes.' Include as acres abandoned **only** acres that were planted with the <u>intention</u> of harvest, but were not harvested for any reason.

Do not count acres for other uses as acres abandoned and vice versa. Acres abandoned are those that were planted with the intention of harvest, but then abandoned prior to harvest for any number of reasons.

#### Item 19e: Acres Used for Other Purpose

Determine acres in the selected field that were used for some purpose other than those covered in Items 19a, 19b, or 19c. This includes acreage harvested for hay and acres used for a cover crop. Record these acres to the nearest tenth of an acre.

Acres planted for cover crop, hay, or for a purpose other than those covered in 19a,19b, or 19c should be recorded in 19e, Acres used for some other purpose.

Acres for other purpose are those acres that were planted and used for some purpose other than those listed in Items 19a, 19b, or 19c.

## Item 20: Crops Planted in Previous Years

This item obtains the crop planted in the selected field for the previous 3 crop years. Information about previous crops grown, along with tillage practices, allow researchers to assess the residue of previously-harvested crops and determine common crop rotation patterns. For example, corn for grain leaves the field 85% covered, while corn for silage essentially strips the field bare.

In the series of Items 20a-f, you will ask the operator to identify the crops that were previously **planted** on the selected field during the time periods working backwards to 1998.

Include cover crops planted during the indicated period.

The **action** of planting the crop must have occurred during the time period named in each individual item.

If a crop was growing on the field during a particular time period, but it was not planted during that period, then code 318 (no crop planted during time period) should be entered in the appropriate cell. Perennial crops, such as alfalfa, clover, or other grasses, should only be captured in the time period during which they were actually seeded. The **one exception** to this rule is Item 20f (SPRING/SUMMER of 1998). If a perennial crop

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was growing on the field at that time, it should be recorded, even if it was not planted at that time.

Completing this question has presented some difficulties, especially when double-cropping occurs. To address these problems, we have defined the planting periods as Spring/Summer and Fall.

The reason for including summer in the spring planting period is that in some States when double cropping occurs, the second crop may not be planted until late June or early July. Thus, the spring/summer period really extends up to the fall planting period. The fall period would be for planting winter crops, such as winter wheat or cover crops.

#### **General Instructions for Completing Item 20**

Enter the crop code for the crop previously planted on the selected field for **each** of the designated time periods. Use the Partial Crop Code List printed in the questionnaire. For any crops not listed in the Partial Crop Code List, write the crop name in the space provided, and leave the code box for the crop code blank. The survey statistician in the Office will fill in the correct crop code for that crop.

If the operator did not operate the field in any of the previous time periods and doesn't know what crops were planted, note this in the margin.

Record crops if they were **planted** during the time period, even if the crop was abandoned before harvest because of drought, hail, or some other event.

If the current field was subdivided into two or more fields in a previous period, record the crop that occupied the largest portion of the current field. For example, if the current field is 100 acres and last year 60 acres were fallow and 40 acres were wheat, record fallow (Code = 318) as the previous crop.

After recording the crop planted during each period, ask if that crop was irrigated. **New question this year.** After asking if the crop was irrigated, ask if that crop was no-tilled. If no crop was planted, then leave the irrigated and no-tilled cells blank.

No-till is a tillage system in which crop residue is left on the soil and the soil is left undisturbed from prior harvest to no-till planting, except for nutrient injection.

#### Item 20a: Crop Planted Fall 2000

Record the code for the crop **planted** on the selected field in the fall of 2000. If a crop was planted, it would likely be a cover crop or a winter crop. If a crop was planted during the fall of 2000, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

#### Item 20b: Crop Planted Spring/Summer 2000

Record the code for the crop **planted** on the selected field in the spring/summer of 2000. If a crop was planted during the spring/summer of 2000, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

#### Item 20c: Crop Planted Fall 1999

Record the code for the crop **planted** on the selected field in the fall of 1999. If a crop was planted, it would likely be a cover crop or a winter crop. If a crop was planted during the fall of 1999, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

## Item 20d: Crop Planted Spring/Summer 1999

Record the code for the crop **planted** on the selected field in the spring/summer of 1999. If a crop was planted during the spring/summer of 1999, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

#### Item 20e: Crop Planted Fall 1998

Record the code for the crop **planted** on the selected field in the fall of 1998. If a crop was planted, it would likely be a cover crop or a winter

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crop. If a crop was planted during the fall of 1998, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

## Item 20f: Crop Planted Spring/Summer 1998

Record the code for the crop **planted** on the selected field in the spring/summer of 1998. If a crop was planted during the spring/summer of 1998, ask if that crop was irrigated. Also, ask if the crop was no-tilled.

Use code 318 if **no** crop was planted during that period. If no crop was planted, then leave the irrigated and no-tilled cells blank.

If a perennial crop, such as alfalfa, clover, or other grasses, was growing on the selected field in the spring/summer of 1998, enter the code for the perennial crop, even if it was not planted during this period.

## **Examples of Completing Item 20 Crop Codes**

## **Example 1: Crop Rotation: Continuous crop example**

Continuous corn for grain, not irrigated and using a tillage system other than no-till (i.e., columns 2 and 3 are left blank)

Items 20b, 20d, and 20f are coded with '6' for grain corn.

Items 20a, 20c, and 20e are coded with 318, even though this is only a normal time period between continuous crops. No crop was **PLANTED** on the selected field during these fall periods.

**Figure 1:** Previously planted crops, continuous crop corn.

Next I need to know what crops were previously PLANTED on this field,  $including\ cover\ crops$ .

# What crop was planted on this field – a FALL of 2000?

a.	EATT (2000)	Crop Name	none
	FALL of 2000?	Crop Code	318
b.	SPRING/SUMMER of 2000?	Crop Name	corn for grain
		Crop Code	6
c.	FALL of 1999?	Crop Name	none
		Crop Code	318
d.	SPRING/SUMMER of 1999?	Crop Name	corn for grain
		Crop Code	6
e.	FALL of 1998?	Crop Name	none
		Crop Code	318
f.	SPRING/SUMMER of 1998?	Crop Name	corn for grain
		Crop Code	6

## **Example 2: Crop Rotation: Perennial (hay) crop example**

Alfalfa crop planted prior to the spring 1998. Target crop planted in spring of 2001.

Figure 2 Previously planted crops, perennial crop example.

Next I need to know what crops were previously PLANTED on this field, **including cover crops**.

#### What crop was planted on this field -

a.	Crop	Name	alf growing
	FALL of 2000?	Code	318
b.	Crop	Name	alf growing
	SPRING/SUMMER of 2000? Crop	Code	318
c.	Crop	Name	alf growing
	FALL of 1999?	o Code	318
d.	Crop	Name	alf growing
	SPRING/SUMMER of 1999?	Code	318
e.	Crop	Crop Name	alf growing
	FALL of 1998? Crop	Code	318
f.	Crop	Name	alf. hay
	SPRING/SUMMER of 1998? Croj	o Code	1

#### Item 21: Land-Use Practices

Analysts are interested in the relationship between land tenure (owned vs. rented) and adoption of long-term capital improvements. To obtain the required information, you will ask when certain practices were established

Determine whether the land use practices in Items 21a-h were used on the selected field for the target commodity, corn. Include land not planted to corn if the operator considers it to be part of the selected field. For example, corn may be strip cropped with alfalfa in the same field. Only the acres planted to corn were counted in Item 1. However, since the entire field features strip cropping, the answer to Item 21f described below would be code 1= YES.

Each of the individual Items 21a, 21b, 21c, 21d, 21e, 21f, 21g and 21h must be asked. The operator may use more than one of the land use practices listed. Enter code "1" = YES for each practice the operator used.

## Item 21a: Terraces

Terraces are ridges of soil that channel or divert water to tile intakes or grassed waterways.

Determine if the selected field has terraces.

If yes, ask when (what year) the terraces were first established. In the case of redesigned terraces, record the year of the most recent redesign. The operator may not know the year if the terraces were in place when he first began to operate the field. In this case, leave the year cell blank and enter a code '1'.

#### **Item 21b: Temporary or Permanent Levees**

Levees are walls or banks of soil spaced across or around the field that identify points of equal elevation. Levees are used for water control for irrigation, seasonal drainage, flood management, and ponding for weed control. Levees are used in some types of flood irrigation systems to control the water level throughout the field, most often with rice and the crops grown in rotation with rice. Gates may be installed in levees to assist in water control.

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Levees may be permanent structures of the field (typically around the outside), or rebuilt each year during field preparation and leveled at harvest.

Determine if the selected field has temporary or permanent levees.

If yes, ask when (what year) the temporary or permanent levees were first established. The operator may not know the year if the temporary or permanent levees were in place when he first began to operate the field. In this case, leave the year cell blank and enter a code '1'.

## **Item 21c: Grassed Waterways**

Grassed waterways are water drainage channels in a field. Often they have been shaped or graded, and a permanent cover of vegetation has been established. Include waterways that are used as outlets for terraces and for disposing of runoff from diversion channels, stabilization structures, contoured rows, and natural depressions.

Determine if grassed waterways are in the selected field.

If yes, ask when (what year) the grassed waterways were first established. The operator may not know the year if the grassed waterways were in place when he first began to operate the field. In this case, leave the year cell blank and enter a code '1'.

**Column 3 -** Ask if they have received or expect to receive an annual rental payment from the federal or State government for keeping these grassed waterways in place. If Yes, enter a code "1" in column 3.

#### Item 21d: Filter Strips or Riparian Buffers

A grass filter strip is an area of grass or other permanent vegetation used to reduce sediment, organics, nutrients, pesticides, and other contaminants from runoff and to maintain or improve water quality. Filter strips slow the velocity of water, allowing the settling out of suspended soil particles, infiltration of runoff and soluble pollutants, adsorption of pollutants on soil and plant surfaces, and uptake of soluble pollutants by plants.

A riparian buffer is an area of trees and shrubs located adjacent to streams, lakes, ponds, and wetlands. Riparian buffers of sufficient width intercept out-of-bank flood flows. In addition, the vegetation closest to the stream or waterbody provides litter fall and large woody debris important to aquatic organisms. Also, the woody roots increase the resistance of streambanks and shorelines to erosion caused by high water flows or waves.

Determine if filter strips or riparian buffers are on or adjoining the field. If 'Yes', enter a code '1'.

If yes, ask when (what year) the filter strips or riparian buffers were first established. The operator may not know the year if the filter strips or riparian buffers were in place when he first began to operate the field. In this case, leave the year cell blank and enter a code '1'.

**Column 3 -** Ask if they have received or expect to receive an annual rental payment from the Federal or State government for keeping these filter strips or riparian buffers in place. If Yes, enter a code "1" in column 3.

Responses to these new questions (21c & 2d - column 3) will identify operators who participate in the continuous signup of the CRP or similar State programs. With this information, we will be able to analyze characteristics of continuous CRP participants and producers who install conservation practices but choose NOT to participate in programs. Knowing these characteristics will help target resources to enhance enrollment in the continuous CRP and the EQIP.

## **Item 21e: Contour Farming**

Contour farming is when producers perform tillage operations and plant crop rows across the slope of the land. Furrows and crop rows across the slope help retain water so that it can seep into the soil, instead of running off, taking loose topsoil with it. Contour farming can often be present when terraces are also in the field.

Determine if the operator uses contour farming in the selected field. If 'Yes', enter a code '1' and continue.

#### **Item 21f: Strip Cropping**

Strip cropping is when strips of row crops and other cultivated crops alternate with grasses or other close growing crops. These alternating strips are planted across the slope of the land. Water runoff from the row crop is slowed down by the grasses, allowing it to seep into the soil better.

Determine if the operator uses strip cropping in the selected field. If 'Yes', enter a code '1' and continue.

### Items 21g & 21h: Agricultural Drainage

Agricultural drainage is the removal of excess water from the soil surface and/or soil profile of cropland, by either gravity or artificial means. *Subsurface or tile drainage* is designed to remove excess water *from the soil profile*. The water table is controlled through a series of perforated drainage pipes (tile or plastic tubing) that are installed below the soil surface, usually just below the root zone. Subsurface drainage pipes are typically installed at a depth of 30 to 40 inches, and at a spacing of 20 to 80 feet. A subsurface drainage network for a field generally outlets to an open ditch or stream. A *channel or diversion (ditch) drainage system* is designed to remove standing water *from the soil surface*. A ditch drainage system involves the construction of open ditches within and/or around the field perimeter to catch and direct the flow of excess surface water.

# **Item 21g: Underground Outlets**

Underground outlets control water runoff by carrying water through underground pipe or tile to areas where it can run away without disturbing the soil.

Determine if the operator uses underground outlets such as tile drainage or drainage pipe in the selected field. If 'Yes', enter a code '1' and continue.

# Item 21h: Other Drainage or Diversions

Other drainage channels or diversions include any other types of structures used to control or dispose of surface water runoff. Their purpose is to prevent or reduce soil erosion.

Determine if the operator uses other drainage channels or diversions in the selected field. If 'Yes', enter a code '1' and continue.

## Item 22: NRCS Classification of Highly Erodible Land

The Natural Resource Conservation Service (NRCS) would have evaluated the selected field and notified the operator of its classification if the operator had requested any kind of federal program benefits for the selected field.

Whether a field is classified as Highly Erodible depends on rainfall, the potential for soil erosion, and the length and slope of the field. NRCS uses these characteristics and other information to classify fields as Highly Erodible or not.

Enter code "1" if the NRCS has notified the operator that the selected field has been classified as "Highly Erodible" or HEL land.

### Item 23: Wetland Designation

Wetlands are areas where the normal condition of the soil is to be wet enough for long enough to support the continued growth of the kinds of plants that prefer wet soil conditions.

Enter code "1" if the NRCS has notified the operator that the selected field has been designated a wetland or contains a wetland.

#### General Information on Assistance and Cost-sharing

NRCS, Conservation Districts, Extension, State Agencies, consultants and contractors assistance in planning and installation of a vast array of resource conservation projects, many of which could be overlooked by producers. Specific practices and systems include:

Access Road Conservation Crop Rotation

Animal Trails and Walkways Contour Farming

Bedding Contour Orchard and Other Fruit Area

contour orenard and other Fruit Area

Brush Management Controlled Drainage

Channel Vegetation Cover and Green Manure Crop

Chiseling and Subsoiling
Clearing and Snagging
Critical Area Planting
Cross Wind Ridges

Commercial Fishpond Cross Wind Strip cropping
Composting Facility Cross Wind Trap Strips

Contour Buffer Strips Dam (diversion, floodwater, multi-purpose)

Conservation Cover Dike

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Diversion Fence Field Border Filter Strip

Firebreak
Fish Raceway or Tank
Fish Stream Improvement
Fishpond Management
Floodwater Diversion

Floodway

Forage Harvest Management Forest Harvest Trails and Landings

Forest Site Preparation Forest Stand Improvement Grade Stabilization Structure

**Grassed Waterway** 

**Grazing Land Mechanical Treatment** 

Heavy Use Area Protection

Hedgerow Planting Herbaceous Wind Barriers

Hillside Ditch

Irrigation Canal or Lateral Irrigation Field Ditch Irrigation Land Leveling

Irrigation Pit or Regulating Reservoir Pit

Regulating Reservoir Irrigation Storage Reservoir

**Irrigation Systems** 

Irrigation Water Management

Land Clearing
Land Reclamation
Land Reconstruction
Land Smoothing
Manure Transfer

Mine Shaft and Audit Closing

Mole Drain Mulching

Nutrient Management Obstruction Removal

Open Channel

Pasture and Hayland Planting

Pest Management

Pipeline Pond

Pond Sealing or Lining Precision Land Forming

Prescribed Burning Prescribed Grazing Pumped Well drain

Pumping Plant for Water Control

Range Planting

Recreation Area Improvement Recreation Trail and Walkway

Regulating Water in Drainage Systems

Residue Management Riparian Forest Buffer

Rock Barrier

Roof Runoff Management

Row Arrangement

Runoff Management System

Sediment Basin

Soil Salinity Management

Spoil Spreading Spring Development

Streambank and Shoreline Protection

Stream Channel Stabilization

Strip cropping

Structure for Water Control

Subsurface Drain Surface Drainage Surface Roughening

Terrace

Toxic Salt Reduction
Tree/Shrub Establishment
Tree/Shrub Pruning
Trough or Tank
Underground Outlet
Use Exclusion
Vertical Drain

Waste Management System Waste Storage Facility Waste Treatment Lagoon

Waste Utilization

Water Harvesting Catchment
Water and Sediment Control Basin

Waterspreading Water Table Control Well Decommissioning

Wetland Development or Restoration Wildlife Wetland Habitat Management Windbreak/Shelterbelt Establishment Windbreak/Shelterbelt Renovation

#### Item 24: Assistance Provided for Conservation Practices

Enter a code "1" if the operator received *technical* assistance from any source in the last year for planning, installing, establishing, maintaining, or using conservation practices or systems in the selected field. Be sure to include assistance from any source whether paid or free, public or private.

### **Item 25: Cost Sharing Assistance for Conservation Practices**

Enter a code "1" if either the operator or the landlord received cost-sharing or incentive payments from any source in the last year for conservation practices or systems on the selected field. Exclude rental payments for keeping land in grassed waterways, filter strips or riparian buffers as reported in Items 21c & 21d column 3, but include cost-sharing for establishing these practices.

## **Item 26: Management Plans**

This question will determine which, if any, management plans are in effect on the selected field. This information will contribute to analysis of adoption of improved systems and to the effects of approved plans on conservation, nutrient, pest, and irrigation management practices based on other data in the survey.

For each of the Items 26a, 26b, 26c, 26d and 26e enter a code "1" if a written plan of the type described covered the selected field during 2000. If a written plan was in place, enter the year that the plan was first implemented on this field.

A written plan is a plan prepared in accordance with government program requirements and standards. **Exclude** recommendations by commercial dealers, consultants, or advisors that are not specifically directed toward meeting government program requirements.

## **Item 27: Crop Insurance**

This information will be used in adoption studies and in analyses of the relationship between risk management practices and cropping practices. For example, one question that will be addressed is whether farmers who purchase crop or revenue insurance use different production practices from those farmers who do not purchase insurance.

If the selected field is covered by Crop Insurance in 2001, enter "1" and continue with Items 27a, 27b, 27c and 27d. Otherwise, continue with Section C. The questions in this item relate to the types of insurance the operation purchased in 2001 for this field. For each of the Items 27a, 27b, 27c, and 27d enter a code "1" if the selected field is covered by the type insurance described.

# **Item 27a: Basic Catastrophic Insurance (CAT)**

Crop insurance is offered by the USDA Office of Risk Management as two components; basic catastrophic coverage and buy-up catastrophic coverage. The premium for basic catastrophic coverage is fixed for all farms and covers 50 percent of the yield at 55 percent of an established price for the commodity grown on the area covered. This is sometimes called CAT or 50/55 coverage.

Enter a code "1" if the operation purchased basic catastrophic insurance (CAT coverage) in 2001 for this field.

# **Item 27b: Buy-up Catastrophic Insurance**

Additional crop insurance can be purchased to supplement the catastrophic coverage. This coverage is bought to protect crops at higher yields and/or prices (for example: 65 percent yield at 100 percent of expected price). It can only be purchased from private insurance companies but is subsidized by the USDA. When farmers purchase this insurance, they sometimes use the term "buy-up" or "add-on".

Enter a code "1" if the operation purchased buy-up on catastrophic insurance for higher levels of yield and price protection that covered this selected field.

**Note:** Multiple Peril Crop Insurance (MPCI) may be either Basic Catastrophic Insurance (CAT) or Buy-up Catastrophic Insurance.

#### Item 27c: Revenue Insurance

Enter a code "1" if the operation purchased Revenue Insurance such as Income Protection (IP), Crop Revenue Coverage (CRC), and Revenue Assurance (RA) that covered this field.

#### Item 27d: Other Federal Insurance

Enter a code "1" if the operation purchased other Federal insurance such as Group Risk Plan, Adjusted Gross Revenue, Risk Income Protection, etc. that covered this field.

#### **Item 27e: Other Private Insurance**

Enter a code "1" if the operation purchased other private crop insurance such as hail or freeze insurance that covered this field.



# **Section C - Fertilizer and Nutrient Applications**

#### What is Section C for? How is the Information Used?

The purpose of this section is to identify fertilizers and nutrients used to produce the 2001 corn crop on the selected field.

USDA is responsible for publishing estimates of the amount of fertilizer used in crop production. Accurate data on fertilizer application rates are needed for conducting sound economic analyses to address many complex issues concerning water quality. These analyses enable policy makers to make informed decisions.

Specifically, fertilizer application data are used to analyze water quality and agricultural productivity issues and policies. Fertilizer data enable a determination of the geographic extent and intensity of use.

Nutrient management practices help farmers adjust fertilizer application to crop needs, and reduce losses to the environment. Legume production, storage and use of livestock and poultry manure, soil, plant, and tissue testing are all methods for computing nutrient balances that establish the basis of sound nutrient management.

ERS uses cost data to estimate fertilizer expense for the year of the survey. For non-survey years, data for actual materials applied and application rates are used with data from other surveys to create a cost index that is then applied to the expense estimates from the previous cost of production survey.

#### **Use of Supplements**

You will use a FERTILIZER SUPPLEMENT if more lines are needed to record fertilizer applications than the number of lines available in the table.

Copy the identification as it appears on the questionnaire to the identification box on the supplement. Assign the next Table number (002, 003, 004, etc.) to each additional supplement used. You begin numbering the supplements with Table 002 because Table 001 appears in the questionnaire. Use as many supplements as you need.

### Item 1: Screening for Fertilizer Applications

Determine if COMMERCIAL chemical fertilizers (nitrogen, phosphate, and/or potash) were applied to the selected field. If any commercial fertilizers were applied, enter Code "1" for YES.

#### **Include:**

- all chemical fertilizer materials applied specifically for the 2001 crop,
- fertilizer applied in the fall of 2000,
- fertilizers applied during the summer of 2000 or earlier years if the selected field was fallow in 2000,
- fertilizers applied by custom applicators,
- nitrogen products applied with herbicides to make the herbicide more effective,
- commercially prepared manure products.

#### **Exclude:**

- micro-nutrients, such as iron, zinc, and boron,
- lime and gypsum,
- non-purchased manure and manure produced and used on the operation.
- fertilizers applied to previous crops planted in this field (even if the carryover was beneficial to the crop currently in the field).

#### **Enumerator Action**

If commercial fertilizers were applied to the field for the 2001crop, continue. If no commercial fertilizers were applied to the selected field, skip to Item 5.

### Item 2: Number of Commercial Fertilizer Applications

The number (and timing) of fertilizer applications is one of the key indicators of an operator's attempt to manage nutrients. Split applications are typically recommended as one way to enhance yields while reducing environmental risks.

There has been some anecdotal evidence that the number of acres treated with fertilizers may be under-estimated, when acres are treated with multiple applications. This stems from the fact that operators may readily know the total nutrients applied per acre per year but are not able to quickly calculate the amount applied during each application. Hence, we only get one line of data even though one or more applications were made.

Ask the operator how many applications of commercial fertilizer were made to the selected field. Include aerial applications. The application can be made to all or part of the field.

### **Item 3: Fertilizer Applications Table**

#### Column 2: Materials Used

Record the plant nutrients (nitrogen (N), phosphate  $(P_2O_5)$ , and potash  $(K_2O)$ ) of each fertilizer material applied to the selected corn field. These nutrients can be reported in either of two ways:

1. **Percent analysis**: This is the percentage composition of the product expressed in terms that the law requires and permits.

Percent analysis is the preferred method of obtaining the data. Use actual plant nutrients only if absolutely necessary. Percent analysis is preferred because products used can be more easily identified this way.

2. **Pounds of actual plant nutrients**. Use pounds of actual plant nutrients only if absolutely necessary.

Record the fertilizer data in terms of pounds, gallons, or pounds of actual plant nutrients applied PER ACRE. Be careful that the respondent does not give you the total amount of fertilizer applied to the entire field. If a respondent knows only the total pounds of fertilizer or plant nutrients applied to the field, you must calculate rate per acre and enter it in the table. Rate per acre is calculated as the total quantity applied divided by the acres to which the application was made. Show the computations for deriving the rate per acre in the margin of the form.

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For some crops, farmers may say that fertilizer applied to the previous crop grown on the field was partly for the benefit of the selected field. Only part of this fertilizer was actually carry-over for the corn. Watch out for this because we **DO NOT** want to include these fertilizer applications in the fertilizer table.

**Important**: Record each individual fertilizer application made to the selected field on a separate line.

When fertilizer materials are bulk blended for application (for example, 10-10-10 combined with 18-46-0), record each product on a separate line in the fertilizer table, even though the fertilizer blend was applied in one trip over the field.

# **Percent Analysis**

The most common method for reporting fertilizer materials is by percent analysis of their content of Nitrogen (N), Phosphate ( $P_2O_5$ ) and Potash ( $K_2O$ ), in that order. For example, 13-13-13 is 13 percent Nitrogen, 13 percent Phosphate and 13 percent Potash. This means that thirty-nine (13+13+13) out of every one hundred pounds of this fertilizer is active ingredients (N,  $P_2O_5$  and  $K_2O$ ). Sixty-one (100 - 39) pounds of every one hundred pounds of this fertilizer is carrier material (inert ingredients).

Two of the more common fertilizers used in crop production are 18-46-0 (diammonium phosphate or DAP) and 82-0-0 (anhydrous ammonia). If 18-46-0 were reported, you'd record 18 in Column 2 under N (nitrogen) and 46 under  $P_2O_5$  (phosphate). The  $K_2O$  (potash) column would be dashed since there is no potassium (potash) in the mixture. For anhydrous ammonia, you'd record 82 under N. Since there is no phosphorus or potash in anhydrous, the phosphate and potash columns should be dashed.

Some fertilizer materials are applied in liquid form. A common liquid fertilizer material used in crop production is 32-0-0 (nitrogen solution). For this material you would record a 32 under N for nitrogen and dash the columns for phosphate and potash.

No fertilizer reported by analysis will have total N- $P_2O_5$ - $K_2O$  analysis of more than 85. Carrier or filler material makes up the rest of the total weight for commercial fertilizers. If a farmer reports 35-45-20, he's probably reporting pounds of actual nutrients instead of analysis since the three amounts (35 + 45 + 20) add up to more than 85 percent.

For fertilizer applications reported by percent analysis, record the quantity applied per acre (including carrier) in Column 3 and the appropriate unit of measure, pounds (code 1) or gallons (code 12), in Column 4.

For bulk blended fertilizer materials, use a separate line for each of the fertilizers that the dealer blended in the mixture. If the dealer mixed 150 pounds of 18-46-0 and 250 pounds of 0-0-60 together, record each on a separate line. DO NOT just add it up and record it on one line as 400 pounds of 18-46-60. This would be a major error, because the correct analysis of this fertilizer is 7-17-38, calculated by:

N 
$$(150 \div 400)$$
 x  $.18 = .068$  (or 7%)

because there were 150 pounds of 18-46-0 in the mixture and of those 150 pounds, 18% was Nitrogen.

$$P_2O_5$$
 (150 ÷ 400) x .46 = .173 (or 17%)

because 46 percent of the 150 pounds was available Phosphorus.

$$K_2O$$
 (250 ÷ 400) x .60 = .375 (or 38%)

because 250 pounds of the total 400 were 0-0-60 and this material is 60 percent Potash.

#### **Actual Plant Nutrients**

Another way farmers might report fertilizer use is in terms of Actual Plant Nutrients (APN) applied per acre. This may also be called pounds of active ingredients. If the farmer knew he applied 60 pounds of nitrogen; 35 pounds of phosphorus; and 40 pounds of potash PER ACRE, record this information in Column 2 and record code 19 in Column 4. In this case, no entry is needed in Column 3 because we know the actual amount applied for each of the three materials so we don't need to calculate it from percentages.

When farmers report "units" of N, P<sub>2</sub>O<sub>5</sub>, or K<sub>2</sub>O, this is usually a clue that they are reporting pounds of actual nutrients. Fertilizer materials will amount to more than the actual nutrient contents of the products applied, because part of the material applied is carrier material, just like when the farmer reports by percent analysis.

For example, if the farmer reported that he applied 100 units of Nitrogen in the form of anhydrous ammonia, he would have applied about 122 pounds of 82% nitrogen.  $(100 \div .82 = 122)$  If this were reported by percent analysis, 82 would be recorded in the N column, 122 in Column 3 and 1 in Column 4. If it were reported as pounds of actual nutrients it would be recorded as 100 in the N column and 19 in Column 4. Column 3 would be left blank.

When actual plant nutrients (active ingredients) or "units" of a fertilizer are reported, you should probe to be sure the quantity applied is correct. One way to do this is to ask (when units were reported) if the actual weight of material applied was more than the number of units reported.

For example, "You said you put down 100 units of UAN32 per acre. Did the material you applied actually weigh more than 100 pounds per acre?"

# Other Methods of Reporting Fertilizer Use

Farmers may also report fertilizers by name. Exhibit 5.2 on page C-5060 (and in the Respondent Booklet) shows some of the more common fertilizers with their usual analysis.

**Anhydrous ammonia** is the strongest nitrogen fertilizer available. It must be stored in a tank under pressure. It is applied by injection into the ground or into irrigation water. Anhydrous is a liquid when under pressure, but turns into a gas when released and is lost if not injected into the soil. Anhydrous ammonia is a very popular fertilizer because it is often cheaper (per pound of nutrient) than other forms. It may be reported as "anhydrous", "gas", "NH<sub>3</sub>", "82-0-0", "units of nitrogen", or as "pounds of actual nitrogen" (N).

**Aqua ammonia** is one of the more common types of liquid nitrogen fertilizers. It is made up of anhydrous ammonia and water and is often used in Western states. It may be reported in pounds (actual N) or gallons (material or product). Although it is a liquid, it is usually reported in pounds of actual N.

**Urea** is another commonly used nitrogen fertilizer because it has a high nitrogen analysis. It may be added through an irrigation system, usually as a nitrogen solution.

**Calcium nitrate** contains not less than 15 percent nitrogen and 19 percent calcium. Limestone or lime contains 40 percent calcium and 12 percent carbonate. Approximately 100 pounds of limestone contains the calcium equivalent of 210 pounds of calcium nitrate (100 \* .4 = 40) pounds and 210

\* .19 = 40 pounds). For example, if 200 pounds per acre of calcium nitrate were applied to the selected field, "15" would be recorded in column 2 (Nitrogen), "200" in column 3 (quantity) and a "1" in column 4 (pounds). *Don't forget* that you must also report the limestone amount in Item 15b. In this example, .475 (19/40) \* 200 = 95 so, 95 would be added to the total quantity of lime applied and reported in Item 15b.

With many of the other fertilizers listed in the Exhibit 5.2, the analysis may vary. Probe to find out if the farmer knows the analysis or the pounds of actual nutrients applied. If he doesn't know the analysis but knows the name, use the analysis shown in this section of the manual.

# **Column 3: Quantity Applied per Acre**

If percent analysis is reported, record the amount of material applied to the selected field in terms of pounds or gallons applied per acre. If pounds of actual nutrients were reported in Column 2, leave this column blank.

Be careful that the respondent does not give you the total amount of fertilizer applied to the entire field. If a respondent knows only the total pounds of fertilizer or plant nutrients applied to the field and not the rate per acre, you must calculate rate per acre and enter it in the table. In the margin of the form, show the computations for deriving the rate per acre.

 $Total\ Pounds \div Acres = Rate\ per\ Acre$ 

For example, if the farmer applied a total of 1200 pounds to a sixty acre field, the rate per acre is calculated as:

 $1200 \text{ pounds} \div 60 \text{ acres} = 20 \text{ pounds per acre}$ 

#### Column 4: Material Unit Code

If percent analysis is reported in Column 2, record either pounds of material (code 1) or gallons of material (code 12). If pounds of actual plant nutrients are reported in Column 2, enter code 19 in Column 4 and leave Column 3 blank.

# **Column 5: When Applied**

Ask the respondent whether the **fertilizer application** was made before seeding in the fall, before seeding in the spring, at seeding, or after seeding.

Always record each application on a separate line. Do not combine multiple applications of the same fertilizer product on one line.

# **Column 6: How Applied**

Show the respondent the Fertilizer/Pesticide Applications Method Codes in the Respondent Booklet. Ask the respondent which of the application methods was used to apply the fertilizer to the selected field.

The Application Method codes are:

Code 1 - **Broadcast, Ground without Incorporation**: Fertilizer material is applied to the entire surface area by land application equipment. Application may occur either before or after planting, usually before crop emergence. No mixing of the fertilizer material into the upper soil surface is needed or planned as part of the application.

Code 2 - **Broadcast, Ground with Incorporation**: Fertilizer material is applied to the entire surface area by land application equipment. Application usually occurs before planting, and a planned mixing of the fertilizer into the upper soil surface is completed at the time or shortly after the time of application. Incorporation of the fertilizer into the upper soil surface is often performed with a field cultivator, disk, or other tillage implement.

Code 3 - **Broadcast by Aircraft**: Fertilizer material is applied to the entire surface area by air application equipment. Include only those applications made by airplane or helicopter.

Code 4 - **In Seed Furrow**: Fertilizer material is placed in the seed furrow **at planting** time, generally through a separate attachment on the grain drill.

Code 5 - **Irrigation Water**: Fertilizer material is mixed with water in either sprinkler or gravity fed irrigation systems. The term used for this procedure is fertigation. The product is metered into the water delivery system (generally a sprinkler irrigation system) and is distributed across the field in the irrigation water.

Code 6 - **Chisel, Injected or Knifed-in**: Fertilizer material is injected under pressure into the soil. This application method (using high pressure) is often used to apply anhydrous ammonia.

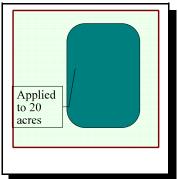
Code 7 - **Banded or Sidedressed in or over Row**: Fertilizer material is placed in or over the crop row. This method is mainly used for row crops. Products are applied **at or after planting**. The area between the rows is not treated.

Fertilizer products applied at-planting are generally granular formulations and are placed in a 3 to 4 inch band on either side or above the seed. Early growing-season applications are also applied (either liquid or granular) on either side of the crop row.

Code 8 - **Foliar or Directed Sprays**: After planting, fertilizer material is sprayed on or under the plant foliage.

Occasionally, only a portion of the field area is treated **partial field treatment** All land areas within the indicated area were treated with the fertilizer application. For example, if the farmer applied 320 pounds of 18-46-0 to 20 acres in the center of the 40 acre field after the crop emerged, the line of the fertilizer table would be completed as follows. Note the amount per acre is calculated as 320 pounds  $\div$  20.0 acres treated = 16 pounds per acre.

Figure 6 Partial field fertilizer treatment



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Figure 7 Recording a partial field fertilizer treatment

L I N E	[Enter p	2 → TERIALS Usercentage and option of plant option per acres	alysis or nutrients	What quantity was applied per acre?  4  [Enter material unit code.]	material unit code.] 1 POUNDS	before seeding	1 Broadcast, ground without incorporation 2 Broadcast, ground with incorporation	7 How many acres were treated in this application?
	<b>N</b> Nitrogen	P <sub>2</sub> O <sub>5</sub> Phosphate	K <sub>2</sub> O Potash	[Leave this column blank if actual nutrients were reported.]	12 GALLONS 19 POUNDS of ACTUAL NUTRIENTS	before seeding  At seeding  After seeding	3 Broadcast, by air 4 In seed furrow 5 In irrigation water 6 Chisel, Injected or Knifed in 7 Banded/Sidedressed in or Over Row 8 Foliar or Directed Spray	ACRES
01	18	46		16	1	4	7	20.0

#### Column 7: Acres Treated

Record the number of acres in the selected field that were treated with the fertilizer materials recorded in Column 2. If only part of a field was treated, record only those acres. For example, if the operator made a particular application of fertilizer to only 25 acres in a 40 acre field, enter 25.0 in Column 7. Since each individual application of fertilizer must be recorded on separate lines, the figure entered in Column 7 can never be greater than the number of acres in the field.

Acres and tenths of acres must be reported in Column 7. Zero must be recorded after the decimal point if whole acres are recorded. For example, if the operator treated exactly 25 acres, the entry in Column 7 must be 25.0. Otherwise the summary will consider the entry to be 2.5 and serious errors will result when we summarize the amount of nutrient applied per acre.

Applications done at seeding will normally cover the entire planted acres. However, it is possible for the application to only cover a portion of the field, for different application rates to be used, or for different products to be applied to different areas at planting. When the acres covered by "at seeding" applications does not equal the planted acres, verify this with a note in the margin.

Figure 8 Example of a completed fertilizer appl	lication table.
---	-----------------

L I N E	2 → → →  MATERIALS USED  [Enter percentage analysis or actual pounds of plant nutrients applied per acre.]  P <sub>2</sub> O <sub>5</sub> N Nitrogen Phosphate Potash			applied per acre?	1 POUNDS 12 GALLONS 19 POUNDS of ACTUAL NUTRIENTS	When was this applied?  1 In the fall before seeding 2 In the spring before seeding 3 At seeding 4 After seeding	6 How was this applied?  1 Broadcast, ground without incorporation 2 Broadcast, ground with incorporation 3 Broadcast, by air 4 In seed furrow 5 In irrigation water 6 Chisel, Injected or Knifed in 7 Banded/Sidedressed in or Over Row 8 Foliar or Directed Spray	7 How many acres were treated in this application?  ACRES
01	18	46		100	1	1	1	50.0
02	82			120	1	1	6	50.0
03	28			125	1	4	1	50.0
04	60	35	40		19	4	7	50.0

# In the above example:

Line 1 shows an application of 100 pounds per acre of diammonium phosphate broadcast without incorporation in the fall, before seeding to 50 acres.

Line 2 shows an application of 120 pounds per acre of anhydrous ammonia injected in the fall, before seeding to 50 acres.

Line 3 shows an application of a 125 pounds per acre of a 28 percent nitrogen solution broadcast without incorporation after seeding to 50 acres.

Line 4 shows an application of 60 pounds of nitrogen, 35 pounds of phosphate, and 40 pounds of potash per acre, banded/sidedressed after seeding to 50 acres.

# **Item 4: Custom Fertilizer Application Cost**

Record the cost of custom application of fertilizers to the selected corn field. Record only the application cost. DO NOT include the cost of fertilizer materials. **Include** landlord and contractor costs. **Exclude** costs for custom application of lime. If material and application costs can't be

separated, record the total in Item 5 and skip Item 4. Enter dollars and cents per acre or total dollars for the field.

#### Item 5: Total Fertilizer Materials Cost

Record the TOTAL COST of MATERIALS for all fertilizer, soil conditioners, micronutrients, etc., applied to the selected field for the 2001 crop of the commodity. If the field was fallow during 2000, include materials applied during the fallow period. **Include** landlord and contractor costs. **Exclude** the cost of lime or purchased manure. If custom applied, include the cost of materials ONLY, unless materials and application costs cannot be separated.

**Note:** Even in cases where no fertilizers were applied, you must still ask Item 6. Though micronutrient applications are excluded from the Fertilizer table, the cost of applying them is included here in Item 5.

## Items 6 & 7: Nitrogen Prices

New questions this year. During the winter and spring of 2000/2001, prices of several commercial nitrogen fertilizer products increased to record levels. Analysts are interested in obtaining the producer's response to increased fertilizer prices and gaining understanding in how the data collected about corn production in 2001 may differ from a year with more typical fertilizer prices, and to predict actions precipitated by future price shocks.

#### Item 6: Fertilizer Under Contract or Pre-Purchased

The purpose of this question is to distinguish fertilizer application decisions of those producers that pre-purchased fertilizer prior to January 2001 (perhaps at lesser cost) from those producers that purchased after January 1, 2001. If the fertilizer applied to the field was purchased under contract prior to January 2001, enter code '1' for YES then go to item 8. If commercial fertilizer was not purchased under contract or otherwise prepurchased, then continue.

# Alter the Amount or Management of Commercial Nitrogen

The purpose of this question is to determine if producers altered the amount of commercial nitrogen fertilizer or the management of commercial nitrogen fertilizer as a result of increased prices in the latter part of 2000 and 2001. If YES, continue; else go to item 8.

# Item 7: Farmer's Response to Increased Nitrogen Prices

The objective of adding this question about producers' response to increased fertilizer prices is to understand how the data collected about corn production in 2001 may differ from a year with more typical fertilizer prices.

### **Item 7a: Reduce Application Rate**

If the application rate of commercial nitrogen fertilizer on the selected field was reduced due to increased prices, enter code '1' for YES then continue.

### Item 7a (1): Percent of Reduction

If the application rate was reduced due to increased prices, then ask the operator by what percent did he reduce the amount of commercial fertilizer for the selected field in 2001.

### Item 7b: Increase Use of Other Nitrogen Sources

If the operator increased the use of manure or other organic sources of nitrogen due to increased commercial nitrogen fertilizer prices, enter code '1' for YES then continue.

## Item 7c: Changed the Type of Nitrogen Fertilizer Products

If the operator changed the type of nitrogen fertilizer products used in the selected field (such as less anhydrous ammonia and more urea) due to increased commercial nitrogen fertilizer prices, enter code '1' for YES then continue.

## Item 7d: Managed Nitrogen Fertilizer More Carefully

If the operator managed nitrogen fertilizer on the selected field more carefully in 2001 due to increased commercial nitrogen fertilizer prices, enter code '1' for YES then ask items 7d(1) - 7d(4).

#### Items 7d(1) - 7d(4): Field Practices Used

If the operator managed nitrogen fertilizer on the selected field more carefully in 2001 due to increased fertilizer prices, then a series of questions regarding the practices used on the selected field to manage fertilizer will be asked.

## **Item 8: Yield Goal**

Recommended fertilizer application rates are often based on the yield goal of the producer. Estimates of producer yield goal compared with actual yield gives some indication of how realistic producer's expectations are. It also gives an indication of how unexpected conditions, such as droughts or pest infestations, may have affected yields. Furthermore, assessing the impact of adopting different nutrient practices requires information on the producers pre-season expected yield or yield goal which can be much different from actual yield.

Enter the respondents yield goal for this field to tenths and the appropriate unit code.

### Item 9: Phosphorus Soil Test

If a SOIL test for phosphorus was done on the selected corn field, enter code "1" for YES then ask Item 9a. If no phosphorus soil test was done, go to Item 10. The test may have been done in 2001 or in the Fall of 2000 for preparing for the 2001 crop on the field.

# Item 9a: Pounds of Phosphorus Recommended

The results of the phosphorus test usually will be in the form of "recommended pounds of Phosphorus per acre". Ask the respondent what rate of phosphorus application was recommended by the phosphorus soil test. If the respondent reports in a method other than in 'pounds per acre', make notes on the questionnaire so that the office can convert the recommendation to a standard pounds per acre value.

## Item 10: Nitrogen Soil Test

If a SOIL test for nitrogen was done on the selected commodity field, enter code "1" for YES then ask Item 10a. If no nitrogen soil test was done, go to Item 11. The test may have been done in 2001 or in the Fall of 2000 for preparing for the 2001 crop on the field.

#### Item 10a: Pounds of Nitrogen Recommended

Some nitrogen may be applied as "extra" or "insurance" nitrogen fertilizer. We want to quantify the extent of extra nitrogen applied as "insurance", and whether the number of operators who apply "insurance" nitrogen are offset by the number of producers who respond that they apply less than the amount recommended.

The results of the nitrogen test usually will be in the form of "recommended pounds of Nitrogen per acre". Ask the respondent what rate of nitrogen application was recommended by the nitrogen soil test. If the respondent reports in a method other than in 'pounds per acre', make notes on the questionnaire so that the office can convert the recommendation to a standard pounds per acre value.

#### Item 11: Plant Tissue Test

Plant tissue tests are done on plants during or at the end of a growing season. Analysis of plant tissues provide information on how plants are using soil nutrients and help the operator adjust fertilizer applications up or down the following year.

If a plant tissue test was done on plants from the selected field to determine the needs of the current crop, enter code "1" for YES. Tests may have been performed on the harvested crop (such as the 2000 corn crop) to determine the needs for this year's crop (the 2001 corn crop).

#### Item 12: Cost of Soil/Plant Tests

Record the total cost in dollars of the soil or plant tissue tests performed on the selected field for the 2001crop. These tests are typically charged on a per sample basis. The number of samples taken per field will vary depending on the precision the farmer needs for making decisions about nutrient and plant management. Include the cost of tests done in 2000 for the 2001 crop on the selected field. Include landlord and contractor costs.

Sometimes, the farmer is unable to separate the costs of these tests from the cost of fertilizer or custom application charges, especially if the fertilizer dealer or custom applicator does the test. If the fee was included in the cost of the materials or custom applications reported in Section D, leave the cell blank and **record a note to explain**.

#### **Item 13: Enumerator Action**

Refer back to the fertilizer application table (Item 3). If the table is complete, and no Nitrogen was applied (column 2 contains no entries for N for the selected field), skip to Item 16.

If the table was refused or coded incomplete, ask the respondent if any nitrogen was applied for the 2001 target crop in the selected field.

If nitrogen was applied, complete Items 14 and 15.

# Item 14: Reason for Nitrogen Application Rate

Items 14a-f obtain the reasons the operator had for deciding how much nitrogen to apply to the selected field. Each of these must be asked. If nitrogen was **not** applied, do not ask this question.

This is not a multiple choice question -- that is, there may not be just one single answer. The operator may have more than one reason for deciding how much nitrogen to apply. Enter code "1" = YES for each reason the operator used.

It is also possible for the operator to say NO to all Items 14a-f. If this happens, it will be apparent that the operator based decisions on some reason besides those named in Items 14a-f, because these are all NO.

**In Item 14a**, if the operator based the decision on the results of a soil or plant tissue test, enter code "1" for YES.

**In Item 14b**, if the operator followed the recommendation of a crop consultant, enter code "1" for YES.

**In Item 14c**, if the operator followed the recommendation of a fertilizer dealer, enter code "1" for YES.

**In Item 14d**, if the operator followed the recommendation of an Extension Service agent or publication, enter code "1" for YES.

**In Item 14e**, if the operator based his decision on the expected economic return (the increased return from higher production more than offsets the cost of the nitrogen applied), enter code "1" for YES.

**In Item 14f**, if the operator decided how much nitrogen to apply based on his own past experience or it was a routine practice for this crop in this field, enter code "1" for YES.

# Item15: Use of Product to Slow Breakdown of Nitrogen

If nitrogen was applied to this field either by itself or combined in a mixed fertilizer product (any entry under N in Column 2 of the Fertilizer Table), then determine if any product was used to slow the breakdown of the nitrogen. If nitrogen was **not** applied, do not ask this question.

#### Item 16: Lime Applications

Determine if the operator ever applies lime to the selected corn field. Enter code "1" for YES and continue.

### Item 16a: Years Between Lime Applications

Record the average number of years between lime applications to this field. If lime is applied every year, enter "1". If this is the first time lime was ever applied, enter "1".

#### Item 16b: Lime Rate

Record tons of lime applied per acre to the selected commodity field the last time lime was applied. Enter tons to the nearest hundredth (for example, 2.50). If the operator responds in another unit, such as pounds or hundredweight, convert the rate to tons.

For example, if the respondent reports 300 pounds per acre, then the number of tons applied per acre is  $300 \div 2000 = .15$  tons. Enter .15 in Item 16b.

#### Item 16c: Lime Cost to Landlord

If the selected field was rented, the landlord may have paid some of the cost of lime and its application. This is more common with share-rented land, but it can happen in cash and rent-free arrangements, too.

**Note:** Since lime is not typically applied to fields every year, this item asks for landlord's share of costs *the last time it was applied*-- not necessarily the cost in the calendar year of this survey

## **Item 17: Sulfur Applications**

If sulfur (S) was applied as a specific nutrient application to the selected commodity field for the 2001 crop, enter code "1" for YES and ask Item 17a. If no sulfur was applied, go to Item 18.

Sulfur may be contained as part of a chemical fertilizer. In chemical fertilizers containing sulfur, it is the fourth number of a percent analysis. For example, the percent analysis for diammonium phosphate-sulfur is 16-40-0-13, which means that for every 100 pounds of this fertilizer, 16% is nitrogen (N), 40% is phosphate ( $P_2O_5$ ), none was potash ( $K_2O$ ), and 13 percent was sulfur (S).

Some common chemical fertilizers containing sulfur are ammonium sulfate or potassium sulfate. Other fertilizers containing sulfur are listed in the Exhibit 5.2 at the end of this section.

# Item 17a: Sulfur Application Rate

If sulfur was applied to the selected field (Item 17 is code "1" = YES), then record pounds of sulfur applied per acre to the nearest hundredth (for example, 2.50). If the response is in other units, convert the figure to pounds or make notes for the State Office.

If the producer does not know the quantity of sulfur but knows that a chemical fertilizer mix containing sulfur was applied, then determine the quantity of that product and record a note on the questionnaire. Sulfur is indicated as the fourth number of a percent analysis of chemical fertilizers containing sulfur.

The quantity of sulfur can be estimated from the analysis shown in the Exhibit 5.2. For example, ammonium sulfate contains 24 pounds of sulfur per hundred pounds of material, ammonium thiosulphate contains 26 pounds of sulfur per hundred pounds of material applied, and potassium sulfate contains 18 pounds of sulfur per hundred pounds of material applied. The percent analysis and application rate per acre can be used to calculate the quantity of sulfur applied per acre, which is entered in Item 17a. Be sure to record in notes all the necessary information for the State Office to make calculations.

Record the amount applied this season, even though the sulfur may be used by the plant over several years. Do not allocate the amount applied this year across several seasons.

# Item 18: Gypsum

Crops, especially peanuts, use gypsum as a source of calcium. Calcium contained in gypsum is relatively water-soluble and enters into soil solution. In peanuts, calcium is not transported from leaves to pegs, and to the developing pods. Pegs and pods absorb calcium directly from the soil solution; therefore, calcium must be readily available in the pegging zone.

Determine if the operator ever applies gypsum to the selected field. Enter code "1" for YES and continue.

### **Item 19: Manure and Raw Nutrient Applications**

Determine if livestock or poultry manure, biosolids, or other raw nutrient was applied to the selected field.

Exclude commercially prepared manure. Commercially prepared manure will have a nutrient analysis and should be included in the Fertilizer Table, Item 3. Commercially prepared manure can be manure composts, DRIED manure, bagged manure, etc. Very little is used in the production of major crops (corn, soybeans, cotton, etc.). Some farmers received manure from brokers, but the overall amount is very small according to past surveys.

If any type of unprocessed livestock manure (beef, dairy, hog, sheep, poultry, etc.), biosolid, or other raw nutrient was applied to this field, enter code "1" for YES and continue.

Exclude manure 'applied' by animals grazing on the field. Respondents would not be able to accurately quantify the amount of manure deposited by grazing animals. However, you should note on the questionnaire if the field was grazed.

# Item 19a: Acres on Which Manure Applied

Record the number of acres of the selected field on which manure was applied. Enter acres to the nearest TENTH of an acre.

# Item 19b: Amount Applied

Record the amount of manure applied to the selected field. Enter the code for either gallons **OR** tons **AND** total units **OR** the unit per acre. All figures must be entered to the nearest hundredth (example: 10.85). Only one unit should be completed. If the operator tells you that part of the total amount applied was dry, measured in tons, and part of the amount applied was liquid, measured in gallons, one of these units must be converted. Record this in notes so that the total amount of manure applied to the field can be calculated in the State Office.

If the operator does not know the amount of manure applied to the field and it cannot be estimated, instead find out the type and number of animals that produced the manure, and for what time period (all or just part of a year). Also find out how many other acres besides the acres of this field were covered with manure produced on the operation. Make good notes of all this information. The State Office can estimate the amount of manure applied using this information.

# Items 19c & 19d: Manure Transport

It is important to collect information about the distance between the site where manure is stored and where it is applied, and about the capacity of the manure spreader to determine the cost of transporting manure. The cost of transporting manure for field application is an important factor that determines the value of manure applied.

Record the number of miles the hauling distance is between the manure storage and the selected field in Item 19c. Enter miles to the nearest TENTH (example: a half mile must be entered as:  $0 \cdot \underline{5}$ ).

Enter the total capacity for the manure spreader in either gallons **OR** tons **AND** total units **OR** the unit per acre. All figures must be entered to the nearest hundredth (example: 10.85). Only one unit should be completed. Item 19d.

# Item 19e: When Manure Applied

Timing of manure applications is also an important factor that determines the value of manure applied to the crop and the potential losses of manure nutrients to the environment. This information is important for the policy maker to assess the current timing practices and if needed, to provide incentive for farmers to apply the manure at the time of crop need to protect the environment.

Enter the percent of manure applied in the fall before planting of the target crop in Item 19e(1).

Enter the percent of manure applied in the spring before planting of the target crop in Item 19e(2).

Enter the percent of manure applied after planting of the target crop in Item 19e(3).

The total of Item 19e(1) + 19e(2) + 19e(3) must equal 100.

# **Item 19f: Application Method**

Since dry or liquid application and immediate incorporation affects runoff and nutrients available to the soil, specify whether the manure was applied dry or liquid form with or without incorporation. Also, liquid manure may be injected directly into the soil.

If manure was applied more than once and using different methods of application, record the primary method used. For example: The operator applied 70 % of the manure prior to planting by dry broadcast with incorporation and liquid broadcast without incorporation the remaining 30% after planting...you would code item 19f = 2.

The manure application method codes are:

- Code 1 **Dry Broadcast without Incorporation**: Dry manure is applied to the entire surface area by land application equipment.
- Code 2 **Dry Broadcast with Incorporation**: Dry manure is applied to the entire surface area by land application equipment. Incorporation of the manure into the upper soil surface is often performed with a field cultivator, disk, or other tillage implement.
- Code 3 **Liquid Broadcast without Incorporation**: Liquid manure is applied to the entire surface area by land application equipment.
- Code 4 **Liquid Broadcast with Incorporation**: Liquid manure is applied to the entire surface area by land application equipment. Incorporation of the manure into the upper soil surface is often performed with a field cultivator, disk, or other tillage implement.
- Code 5 **Injected or Knifed-in**: Manure is injected under pressure into the soil.

# Item 19g: Type of Raw Nutrient

Different types of raw manure have different nutrient content. Determine whether the major source of the manure applied to the selected field was from beef cattle, dairy cattle, hogs, sheep, poultry, equine, a biosolid (such as municipal waste), or some other livestock.

When the **same** amount of two types have been applied, use the code for the type with the higher nitrogen value. The highest value is for poultry,

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followed by hogs, dairy, sheep and beef. Beef has the lowest nitrogen value. The code list for the type of livestock manure is:

Code 1 - Beef Cattle

Code 2 - Dairy Cattle

Code 3 - Hogs

Code 4 - Sheep

Code 5 - Poultry

Code 6 - Equine (horse, mule, etc.)

Code 7 - **Biosolids** (food waste, municipal sludge, etc.)

Code 8 - **Other** (record the type of manure or nutrient in a note.)

#### Item 19h: Source of Manure

Determine if the manure was produced on this operation (code 1), purchased (code 2), or obtained at no cost from some other source (code 3).

### **Item 20: Manure Application Rate Restrictions**

Because of the increasing regulation of manure applications by Government (State and Local) and because regulations are not implemented uniformly, it is important to quantify the extent of farm operators experience with manure regulations. Responses will identify which entity is the most likely to be doing the regulating, what differences might exist between locales, and to what extent manure applications, when regulated, are being governed by nitrogen or phosphorous application rate protocols.

If the application rate applied to this field was influenced by State or Local restrictions enter a code "1" and continue with Item 20a.

If nitrogen requirement of the crop was the basis of the restriction which influenced the manure application on this field, enter code "1" in Item 20a(1).

If phosphorus requirement of the crop was the basis of the restriction which influenced the manure application on this field, enter code "1" in Item 20a(2).

**Exhibit 5.2: Common Fertilizers and Their Percent Analysis** 

		Per	cent		
Name					
-	N A	ctive In P <sub>2</sub> O <sub>5</sub>		S	RANGE
Anhydrous ammonia	82	F <sub>2</sub> O <sub>5</sub>	<b>K</b> <sub>2</sub> <b>O</b>		KANGE
Aqua ammonia	20				16-30% N
Ammonium nitrate	33				33-34% N
Ammonium sulfate	21				20-21% N
Nitrogen solutions	28				7-58% N
Sodium nitrate	16				7-30 /0 11
Urea	46				45-46% N
Urea ammonium nitrate	32				45-40 /0 11
Super phosphate (22 % & under)	<i>32</i> 	19			18-22% P
Super phosphate (22 % & under)		30			23-39% P
Triple Super Phosphate		46			40-54% P
Ammonium phosphate	11	48			40-54701
Di-ammonium phosphate	18	46			
Mono-ammonium phosphate	11	52			
Potassium chloride		32	60		50-62% K
Potassium nitrate	13		44		30-02 / 0 IX
Potassium sodium nitrate	15		14		
Mixed Fertilizer	2	6	12		
	3	9	18		
	3	10	30		
	5	10	15		
	5	10	30		
	5	15	30		
	6	24	24		
	8	24	24		
	9	18	9		
	10	34			
Soil sulfur				92	
Sulfur-bentonite				90	
Sulfur dioxide				50	
Ammonium polySulfide	20			45	
Ammonium sulfate	21			24	
Ammonium thiosulphate solution	12			26	
Diammonium phosphate-sulfur	16	40		13	
Potassium sulfate			50	18	48-52% K
Potassium-magnesium sulfate			22	22	

# **Section D - Pesticide Applications**

#### What is Section D for? How is the Information Used?

Pesticide data are needed because USDA is responsible for publishing estimates of pesticide use in crop production. NASS is charged with collecting these data so that issues related to food safety, water quality, and pesticide cancellation can be evaluated. The Economic Research Service conducts research on the impact of alternative regulations, policies, and practices.

This section is similar to the fertilizer section. Chemical mixes are described and application practices are enumerated. The mix information is used in non-survey years to create a cost index for updating survey responses. Chemical costs are a large part of the variable production costs for most crops, so getting correct information on chemical usage is important.

Include all chemicals applied for the 2001 crop on the selected field.

#### **Exclude:**

1) Chemical applications to fence rows, ponds, canals and ditch banks should not be recorded.

This land should not be considered part of the survey acres of interest. Often the chemicals used for killing weeds and other pests in these areas are not labeled for use on the crop in the selected field.

2) The use of adjuvants.

An adjuvant is used in a formulation to aid the operation or improve the effectiveness of the pesticide. Adjuvants include such materials as wetting agents, spreaders, emulsifiers, dispersing agents, foaming agents, foam suppressants, penetrans and correctives. A spray adjuvant may contain one or more surfactants, solvents, solubilizers, buffering agents, and stickers needed to formulate a specific type adjuvant. By using the proper adjuvant it is often possible to use certain chemical pesticides in a tank mix that otherwise would present compatibility problems. However, if you or the respondent are in doubt about whether a product should be included, record it anyway and write notes to explain the situation.

# **Use of Supplements**

The Pesticide Applications table contains a column for entering the number of applications of a specified pesticide. This column (column 11) allows you to combine multiple applications of the same pesticide, at the same rate, covering the same area and targeting the same pest into one line in the table. This procedure should help reduce the need for a supplement.

If more lines are needed than the number available in the table, use a **Chemicals and Pesticides Supplement**. Copy the identification as it appears on the main questionnaire to the identification box on the supplement. Assign the next Table number (002, 003, 004, etc.) to each additional supplement used. Begin numbering the supplements with Table 002 because Table 001 already appears in the questionnaire. Use as many supplements as you need.

#### **Use of Records**

Because of record keeping requirements for restricted use pesticides, (sometimes called RUP's), most operators will have records of chemical

applications for each field. Restricted use pesticides are identified as such on the product label (Figure 9)
Encourage the respondent to use these records if they are available.

**Figure 9** Restricted Use Pesticides are identified on the product Label

#### RESTRICTED USE PESTICIDE

(GROUND AND SURFACE WATER CONCERNS)
FOR RETAIL SALE TO AND USE ONLY BY CERTIFIED APPLICATORS OR PERSONS UNDER THEIR DIRECT SUPERVISION, AND ONLY FOR THOSE USES COVERED BY THE CERTIFIED APPLICATION.
THIS PRODUCT IS A RESTRICTED-USE HERBICIDE DUE TO GROUND AND SURFACE WATER CONCERNS, USERS MUST READ AND FOLLOW ALL PRECAUTIONARY STATEMENTS AND INSTRUCTIONS FOR USE IN ORDER TO MINIMIZE POTENTIAL FOR ATRAZINE TO REACH GROUND AND SURFACE WATER.

Respondents can answer

many of these questions without records. Where records help most is in jogging the farmer's memory about spot treatments, rare, irregular applications or mixes of chemicals, or deviations from usual spray schedules or rates of application.

## **Use of the Respondent Booklet**

Both you and the respondent should use a Respondent Booklet. Most of the pesticide products used on each target commodity are listed in the Respondent Booklet for that commodity. It is very important to obtain the trade name as well as the formulation from the operator to insure that the correct product code is recorded. In order to report the formulation and whether the product is liquid or dry, the respondent may have to look at the product label or detailed itemized receipts for the product.

Some respondents may be willing to use the booklet and to report the product code for each of the products they used. You should encourage this since it makes the job of enumeration easier as well as making reporting faster and more accurate.

To aid in identification, the products in the Respondent Booklet are categorized as LIQUID(L) or DRY(D) formulations. Ask the respondent if the product was in a liquid or dry state when it was purchased. This should help you and the respondent find and record the correct product codes.

The Respondent Booklet also lists the type or class of each product:

- Herbicide (H),
- Insecticide (I),
- Fungicide (F),
- Miscellaneous (M),
- Miscellaneous Growth Regulator (MG),
- Miscellaneous Soil Fumigant (MS),
- Miscellaneous Defoliant (MD),
- Miscellaneous Rodenticide (MR), and
- Other products (O).

Some chemicals and pesticides have more than one use. Some products with more than one use may be listed twice if the second use is associated with a separate product code. For example,

#### **Gramoxone Extra**

4314	Gramoxone Extra	Н
9037	Gramoxone Extra	MD

For products that are listed more than once, be sure to probe for what it was used for and record the product code associated with that use.

Note that each product code listed in the Respondent Booklet specifies the trade name and formulation. The numbers and letters after the product name identify the concentration and form. For example, Canopy 75DF: Canopy is the trade name and the 75DF indicates the formulation. The 75

indicates the concentration as the percent of active ingredient in a pound of product, and the DF indicates that the form of the product is Dry Flowable. For Basagran (4L): Basagran is the trade name and the 4L indicates the formulation. The 4 indicates 4 pounds of active ingredient in a gallon of product and the L indicates a Liquid Concentrate.

Also note that for several products there is more than one formulation for a given trade name: Ambush (2EC) and Ambush 25W or Diazinon 14G and Diazinon 4E and Diazinon 50W and Diazinon AG500(4E). Different formulations of a product have different concentrations of the active ingredient and inert materials.

It is extremely important that you get the correct product code because active ingredient concentrations for different products and different formulations vary greatly. Since we summarize by active ingredient in the product, recording a product or its formulation incorrectly will make a difference when the active ingredient application rate per acre is calculated. For example, if you record the code for Dyfonate II 20-G (1038) when you really should have recorded the code for Dyfonate II 10-G (1037), then we will summarize twice the amount of active ingredient than we should. That will make it look like operators apply more chemicals to crops than they actually do.

Also, if you record the Dyfonate II 10-G code when you really should have recorded the code for Dyfonate II 20-G, we will summarize half as much active ingredient as we should. This is not good either. We need the correct information listed in the questionnaire.

If you cannot find a reported product in the Pesticide Code List in the Respondent Booklet, complete the table in Item 2 to provide the information needed to classify and summarize unlisted products. The State Office will research the product and assign a new product code if necessary.

### **Item 1: Pesticide Applications**

Determine if any pesticides were applied to the selected commodity field for the 2001 crop. Include herbicides, insecticides, fungicides, defoliants, biological and botanical pesticides.

**IMPORTANT NOTE:** If the field has not yet been harvested you must be sure to probe the respondent for any pesticide applications he plans to make to this field prior to harvest and record them in this table.

**Exclude fertilizers and seed treatments.** The respondent may report foliar fertilizer sprays, especially if they were part of a tank mix. Fertilizer applications should not be recorded in this section; they should have been recorded in the fertilizer section.

Herbicide materials may be applied before weeds emerge or after weeds have emerged. Some herbicides are used to "burn down" or kill weeds prior to planting in no-till systems. Some herbicides may also be used to defoliate the crop prior to harvest.

Insecticide materials are applied to control insects that damage plants by feeding on plant tissues.

Fungicides are applied to control disease organisms which affect the growth and development of the plant, such as pod-and-stem blight, anthracnose, brown spot, etc.

Other chemicals are used to fumigate the soil, regulate the growth of the plant, defoliate the crop prior to harvest, etc.

If any pesticides were applied, enter Code "1" for YES, then complete the Pesticide Table. If no pesticides were applied, check NO and go to Section E.

#### Column 2: Product Code

Ask the operator to identify the chemical or pesticide product applied to the selected commodity field. Record the product code for each chemical from the Pesticide Code List found in the Respondent Booklet.

Many enumerators also use the NOTES column to the left of the Pesticide Table to record the product name. This makes it easier to refer to the product, by name, while asking the remaining questions across the table. It also makes it easier to identify a product and its code when the same product is reported more than once.

Recording the product name in the NOTES column also assists the State Office in editing the questionnaire and in verifying unusual applications and/or rates. Therefore, you are encouraged to record the product name in the NOTES column.

Each different product applied must be recorded on a separate line. However, if a product is applied more than once at the same rate and to cover the same area, the applications can be recorded on one line, with the number of applications recorded in Column 11.

If two or more products are applied with a single application (tank mix) a separate line must be used for each product. Use Column 4 to identify products applied as a tank mix.

To help the respondent, start by asking if any pesticide products were applied **after the previous crop was harvested or plowed down**. Next, ask about other preplant products and then follow with products applied at planting and then after planting. Remind the operator to report all types of pesticides, including herbicides, insecticides, fungicides, defoliants, growth regulators, and desiccants.

**Exclude seed treatments.** Most crop seed is treated with an insecticide/fungicide product. If the seed is purchased, seed treatment is done by the seed company prior to delivery to the operator. If the operator uses his/her own seed, it may be treated prior to going to the field or the seed may be treated in the field. Field seed treatment consists of coating the seed with the insecticide or fungicide product just prior to planting.

Herbicides applied at time of planting are generally applied to the entire soil surface (broadcast). Herbicides requiring soil incorporation may be mixed into the soil by the action of the planter or by attachments which are part of the planter. Incorporation also may be accomplished by a tandem hook-up of a tillage implement(s) behind the applicator or planter. Other herbicides are effective by being left on the surface without incorporation.

Granular insecticides are sometimes applied at planting and placed in the seed row (in-furrow) by a separate attachment.

# Column 3: Product Form (Liquid/Dry)

Ask the respondent if the product was in a liquid or dry state when it was purchased. Record an "L" or a "D" in this column to indicate Liquid or Dry. Probe for clarification if the liquid or dry designation listed by the product code selected from the Respondent Booklet does not agree with what you record here for the product.

Common form abbreviations are:

**L** (**Liquid**): These products flow like water. Concentrations are usually expressed in pounds per gallon.

**E** (**EC**): Emulsifiable concentrates. These are usually thicker than water and are mixed with water and applied as sprays. They contain

one or more active ingredients, one or more solvents and an emulsifier. Their concentrations are generally indicated in pounds per gallon.

**F** (**FL**) (**Flowable**): These products are in liquid form. They contain finely ground active ingredients suspended in the liquid. They are mixed with water for application. Their concentrations are indicated in pounds per gallon.

**D** (**Dust**): Dusts contain a low percentage of active ingredients on a very fine dry inert carrier such as talc, chalk or clay. They are usually applied directly as purchased. Their concentrations are expressed as percents.

**WP** (**W**), **SP** (**S**): Wetable or Soluble Powders. These are dry products, much like flour, which will dissolve or disperse in water. Their concentrations are indicated in percents.

**G** (**Granular**): Granular products contain active ingredients coated or absorbed onto coarse particles like clay, ground walnut shells or ground corn cobs. The pellets are about the diameter of the lead in a pencil (or larger); during shipment the granules have a tendency to break down and create dust. These are used as purchased. Their concentrations are expressed as percents.

**DF** (**Dry Flowable**), **WSG** (**Water Soluble Granules**): Also known as water dispersible granules. These are small pellets formulated to reduce the dust problem created with granules. They are like wetable powders except that the active ingredient is formulated on a granule instead of a powder. The product pours easily into spray tanks for mixing with water. Their concentrations are expressed as percents.

**Bait**: Bait products contain active ingredients mixed with food or another attractive substance. Concentrations are expressed in percents.

#### Column 4: Tank Mix

Most chemicals are applied to the field as single products. However, sometimes two or more individual products are mixed in the spray tank by the farmer/custom applicator and applied to the field as a tank mix.

Products applied in a tank mix must be identified as tank mixes. Since there is only space in the table for one product per line, the separate products in tank mixes must be recorded on separate lines. Identify the products in a tank mix by recording in Column 4 the line number of the first product in the tank mix.

For example, consider a tank mix where you recorded the first product on line 6, the second product on line 7 and the last product on line 8. In Column 4 of line 6 you should record 6 so we will know this was the beginning of the list of products in that tank mix. In Column 4 of line 7, you'll record 6 so we know that this product was part of the same tank mix that you started listing on line 6. In Column 4 of line 8, you will record 6 for the same reason.

For products not applied as part of a tank mix, enter a dash in Column 4.

For the first product in a tank mix, be sure to ask each question in Columns 5 - 14. For each additional product in the tank mix after the first product, be sure to ask the questions in Columns 6, 7, 8, 12, and 13 because the answers may be different than for the first product. Information recorded in Columns 5, 9, 10, 11, and 14 should be the same as for the first product in the tank mix. These data can just be copied from the entries in line for the first product.

DO NOT confuse tank-mixes and packaged premixes. A tank mix is any pesticide spray which is prepared immediately before use by mixing two or more chemicals and water in the spray tank. Packaged premixes are brand name products that contain two or more active ingredients. These are products where the manufacturer has taken individual active ingredients and combined them in a container. Examples include Ramrod/Atrazine, Lasso/Atrazine and Bicep (Dual & Atrazine). These manufactured mixes have their own code in the Respondent Booklet, so they don't have to be listed with separate codes for the chemicals included in the product.

New technologies such as variable rate applications that rely on Global Positioning Satellite (GPS) information to control the precise application of chemicals create unique situations. It is possible for an applicator to have more than one chemical product tank, and to apply more than one product unequally across a field depending on the specific needs of each small area. Some areas of the field may be treated with only one of the multiple products. Since the multiple products are not mixed and applied consistently together across the field, these are not considered tank mixes. This use of GPS is still very rare.

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Figure 10 Recording pesticide tank mix information

				APPLICATION CODES for column 9							APPLICATOR CODES for column 14			
				incorpora	ground with		3 Broadcast, I 4 In Seed Fur 5 Irrigation w	TOW	7 Banded I	jected or Knif or Over Rov Directed Spray ment	V	mei mei	rator, Partner, Family mber? om applicator? oloyee/Other?	
	Ţ										Ţ			
		2	3	4	5	6 (	or 7	8	9	10	11	12	13	14
NOTES	L I N E	What products were applied to this field? [Use product codes from Respondent Booklet.]	Was this product bought in liquid or dry form? [Enter L or D]	Was this part of a tank mix? [If tank mix, enter line number of first product in mix.]	When was this applied? 1 Before planting 3 At planting 4 After planting 5 Defoliation prior to harvest	How much was applied per acre per appli- cation?	What was the total amount applied per application in this field?	[Enter unit code.]  1 Pounds 12 Gallons 13 Quarts 14 Pints 15 Ounces 30 Grams	How was this product applied? [Enter code from above.]	How many acres in this field were treated with this product?	What was the number of times applied?	the	Prior to this application was this years pest problem— 1 worse than normal? 3 normal? 5 less than normal? 7 unknown? 9 not applicable?	Were these appli- cations made by- [Enter code from above.]
Far-go granular 10%	01	4211	D		1	12.00	•	1	6	5.0	1	171	5	2
Banvel (4L)	02	4136	L	2	4		1. <u>0</u> <u>0</u>	14	1	5.0	1	174	1	2
Express DF	03	4205	D	2	4	.13	•	15	1	5.0	1	173	3	2

In this example,

- Product lines 2 and 3 are in a tank mix.
- For products mixed in a tank mix, columns 4, 5, 9, 10, 11, and 14 must be the same.

## Column 5: When Applied

Ask the respondent when the product was applied to the selected field (before, at, or after planting), and enter the appropriate code.

"Before-planting" applications may occur the same day or a week or several months before planting. If a tillage implement is used to incorporate the herbicide into the soil, be sure to record this activity in Section F: Field Operations.

"At-planting" herbicide or insecticide materials are applied at the time the crop is planted. These applications may be band treatments covering a small section of the row over the seed furrow or broadcast treatments covering the entire soil surface.

"After planting" herbicide, insecticide, or fungicide materials are applied after the planting operation is completed. They could be applied a few days or several weeks later.

### Column 6 or 7: Application Rate

Column 6 (rate per acre) **or** Column 7 (total amount) may be used for each product reported. Don't use both on the same line.

### Column 6: Rate per Acre per Application

Record the chemical application rate per acre used on the selected commodity field. Rate per acre is the amount used in one application to one acre. Because rates per acre are often quite small with very toxic chemicals, rates are reported to hundredths of units. Be sure that if whole numbers are reported, zeros are entered after the decimal point.

If an application rate per acre is obtained in Column 6, then nothing should be entered in Column 7.

With variable rate technology and for spot treatments, application rates for a particular product or tank mix may vary across the field. In these cases, **Do Not Attempt to Obtain** a rate per acre instead, obtain the total amount applied to the field using Column 7.

### Column 7: Total Amount Applied per Application

If the respondent is not able to report the application rate per acre in Column 6, use Column 7 to record the total quantity applied per application to all acres treated in the selected commodity field. This figure should be a total quantity for one application if the same product was applied more than once.

If the respondent is able to give either total quantity applied per application or rate per acre, select the option which the respondent feels will give the most accurate data.

In some cases, respondents cannot report either the rate per acre per application of a product or the total amount of the product applied per application. In these cases, there is one additional way you might be able to collect the data we need. If the respondent knows

1) the amount of the product mixed in every 100 gallons of water,

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- 2) the number of gallons in each tank, and
- 3) the number of tanks used to cover the acres,

make a note of these figures. The Survey Statistician will be able to calculate the amount of product used.

Other ways of reporting include parts per million (PPM) and rate per 100 gallons of water. In these cases, try to find out the amount of actual product (before mixing with water) used, and write lots of notes.

Do not record the spray volume applied to the field. The purchased (concentrated) product is mixed with water and the diluted spray solution is generally applied at rates of 20 - 60 gallons per acre with ground equipment and 5 - 10 gallons per acre by air.

Do not record the inclusion of surfactants or crop oil in the spray solution. They are added to the spray solution to enhance the ability of the pesticide to stick to the foliage and/or aid in the absorption into the plant system.

Do not record liquid fertilizer solutions applied in conjunction with a pesticide in the Pesticide Table. The information on liquid fertilizers should be recorded in the Fertilizer Table.

#### Column 8: Unit Code

Record the units using the unit codes listed in Column 8. The unit codes are:

Code 1 - **Pounds** 

Code 12 - Gallons

Code 13 - Quarts

Code 14 - Pints

Code 15 - Ounces

Code 30 - Grams

Write notes if any unit other than the ones listed is reported.

When the reported unit is quite small, you may need to make conversions. Some conversion factors you may need to use are:

Liquid Products	Dry Products
1 Gallon = 4 Quarts	1 Pound = 16 Dry Ounces
1 Quart = 2 Pints	1  Ounce = 28.3  Grams
1 Pint = 16 Fluid Ounces	1  Pound = 453  Grams
2 Cups = 1 Pint	

Be sure to keep the unit code and product formulation consistent. If the operator purchased a LIQUID pesticide product, the unit code must be ounces, pints, quarts, or gallons. If a DRY pesticide product (granular, wetable powder, or dry flowable) was used the unit code must be ounces, pounds, or grams.

## **Column 9: How Applied**

Obtain the physical application method used to apply the pesticide product to the selected field. The application methods codes are printed in the **APPLICATION CODES** box positioned above Column 9 of the Pesticide Table. Show the respondent the Fertilizer/Pesticide Applications Method Codes in the Respondent Booklet.

Herbicides, insecticides, and fungicides are most often applied as broadcast treatments to cover the entire soil surface with the pesticide material. Band treatments, where a narrow band of pesticide is applied over the row covering about one-third of the soil surface, is also a common method of application. Less frequent methods include in-furrow, with irrigation water, or as spot treatments.

The Application Method codes are defined as follows:

Code 1 - **Broadcast, Ground Without Incorporation**: Pesticide material (herbicide, insecticide, fungicide, or other) is applied to the entire surface area by land application equipment. Application may occur either before or after planting, usually before crop emergence. No mixing of the pesticide material into the upper soil surface is needed or planned as part of the application.

Code 2 - **Broadcast, Ground with Incorporation**: Pesticide material (herbicide, insecticide, fungicide, or other) is applied to the entire surface area by land application equipment. Application usually occurs before planting, and a planned mixing of the pesticide

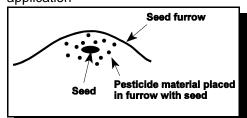
into the upper soil surface is completed at the time or shortly after the time of application. Incorporation of the pesticide into the upper soil surface is often performed with a field cultivator, disk, or other tillage implement.

Code 3 - **Broadcast by Air**: Pesticide material (herbicide, insecticide, fungicide, or other) is applied to the entire surface area by air application equipment. Include only those applications made by airplane or helicopter.

Code 4 - in Seed Furrow: Pesticide material (herbicide, insecticide,

fungicide, or other) is placed in the seed furrow at planting time generally through a separate attachment on the grain drill. This method is sometimes used for granular insecticides applications.

Figure 11 In seed furrow application



**Do not** confuse this with seed treatments where the seed surface is coated with a

pesticide product by the farmer or seed dealer before the seed is put in the planter box. **Do not record seed treatments.** 

Code 5 - in Irrigation Water: Pesticide material (herbicide, insecticide, fungicide, or other) is mixed with water in either sprinkler or gravity fed irrigation systems. The term used for this procedure is chemigation. The product is metered into the water delivery system (generally a sprinkler irrigation system) and is distributed across the field in the irrigation water.

Code 6 - **Chisel, Injected or Knifed-in**: Pesticide material (herbicide, insecticide, fungicide, or other) is injected under pressure into the soil. This application method (using high pressure) is used with pesticide spray materials for nematode control.

Code 7 - **Banded or Sidedressed in or over Row**: Pesticide material (herbicide, insecticide, fungicide, or other) is placed in or over the crop row. This method is mainly used for row crops. Products are applied **at or after planting**. The area between the rows is not treated. Weed control between rows is accomplished with mechanical cultivation.

Application rates for band treatments are to be reported on a per acre basis and not the rate that was applied to the banded segment. Band treatments with the same pesticide product normally result in lower application rates than broadcast treatments. For example, if the band only covers one-third of the row, the application rate will normally be about one-third the broadcast application rate.

- At or after planting herbicides materials are applied by spraying the product in an 8 to 12 inch band over the crop row.
- At planting insecticide and fungicide applications are generally placed in a 4 to 6 inch band directly behind the planter shoe and in front of the press wheel.

Code 8 - **Foliar or Directed Sprays**: After planting, pesticide material (herbicide, insecticide, or fungicide) is sprayed on or under the plant foliage.

Code 9 - **Spot Treatments**: Pesticide material is applied only to scattered spots in the field, such that the area treated is undefined. *See the following explanation of the difference between a spot treatment and a partial field treatment.* Spot applications are generally made to control specific weed problems or insects such as grasshoppers at the edges of a field. Spot applications of fungicides are unlikely.

### **Spot Treatment vs. Partial Field Treatment**

Spot treatments should not be confused with treatment of part of a field. When an application is made only to a portion of a field, the treated acreage can be discerned. For example, the north half of a 40 acre field or ten areas throughout a field totaling 20 acres. Such partial field treatments should be reported like any other applications, including acres treated and an application code between 1 and 8.

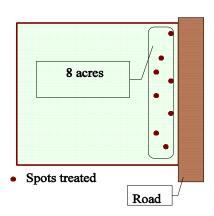
With spot treatments, acreage is impossible to determine. A spot treatment might involve walking or riding around with a tank on the applicator's back, spraying a herbicide on problem weeds. Spot treatments to field crops are rare and should be verified.

If spot treatments were made in the selected field, enter the product code (column 2), product form (column 3), when applied (column 5), the total amount applied (column 7), unit (column 8), application code '9'

(column 9), times applied (column 11), primary target pest (column 12), level of infestation (column 13), who applied (column 14), and cost per unit (Optional 4).

**IMPORTANT:** For spot treatments, <u>do not enter</u> a rate per acre (column 6) or acres treated (column 10), since acreage is, by definition, not known for spot treatments.

Spot treatment example: A farmer with a 40 acre field of cotton identified a small area along a road with severe thistle infestation, and decided to spot treat these areas with Roundup Ultra. He used 2 ounces to spot treat the thistles over 8 acres of the field next to the road (but the total area of the spots treated cannot be estimated). The line of the pesticide table for this application would be completed as shown below.



APPLICATOR CODES for column 14

Figure 13 Recording a spot pesticide treatment

						AIII	ACATION CO	ODES for commi				ATTL	ATOR CODES for Colu	11111 17
				Broadcast, g incorporat Broadcast, g incorporat	ground with		3 Broadcast, by 4 In Seed Furn 5 Irrigation wa	ow	7 Banded In	ected or Knife or Over Row Directed Spray nent	7	mer	ator, Partner, Family nber? om applicator? loyee/Other?	
									1					1
		2	3	4	5	6 (	or 7	8	9	10	11	12	13	14
NOTES	L I N E	What products were applied to this field? [Use product codes from Respondent Booklet.]	Was this product bought in liquid or dry form? [Enter L or D]	Was this part of a tank mix? [If tank mix, enter line number of first product in mix.]	When was this applied? 1 Before planting 3 At planting 4 After planting 5 Defoliation prior to harvest	was applied per acre per appli-	^per application in this field?	unit code.]	How was this product applied?  [Enter code from above.]	How many acres in this field were treated with this product?	What was the number of times applied?	the PRIMARY target pest for this application? [Use Target Pest codes from Respondent Booklet.]	problem-1 1 worse than normal?	Were these appli- cations made by- [Enter code from above.]
Roundup Ultra	01	4561	L	_	4		2. <u>0</u> <u>0</u>	15	9	•_	1	172	1	1

APPLICATION CODES for column 9

## Partial field treatment example: A

farmer with a 40 acre field of potatoes identified an area along a road with a severe blight problem, and decided to treat the area with Blite-Out. He applied 4 ounces per acre of the product as a direct spray on the foliage over 8 acres of the field next to the road. The line of the pesticide table for this application would be completed as shown below.

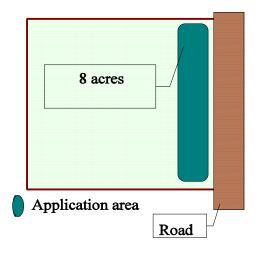


Figure 15 Recording a partial field pesticide treatment

•		0 1												
						APP	LICATION C	ODES for column	n 9			APPLIC	ATOR CODES for colu	mn 14
				incorpora	ground with		3 Broadcast, by 4 In Seed Furn 5 Irrigation wa	y air ow tter	7 Banded In	ected or Knife or Over Row Directed Spray nent	,	men	ator, Partner, Family nber? om applicator? loyee/Other?	
									1					ļ
		2	3	4	5	6	or 7	8	9	10	11	12	13	14
NOTES	L I N E	What products were applied to this field? [Use product codes from Respondent Booklet.]	Was this product bought in liquid or dry form? [Enter L or D]	Was this part of a tank mix? [If tank mix, enter line number of first product in mix.]	When was this applied?  1 Before planting 3 At planting 4 After planting 5 Defoliation prior to harvest	was applied per acre per appli-	per application in this field?	unit code.]	How was this product applied? [Enter code from above.]		of times applied?	What was the PRIMARY target pest for this application? [Use Target Pest codes from Respondent Booklet.]	problem-^ 1 worse than normal?	Were these appli- cations made by- [Enter code from above.]
Roundup Ultra	01	4561	L	_	4	4. <u>00</u>		15	8	8. <u>0</u>	1	172	1	1

Note that this application covers a measurable portion of the field and the application method can be described by an application code of 1 through 8. The portion of the field treated does not need to be contiguous to be measurable, i.e., several areas that total 8 acres would be recorded in the same way provided that the applicator adjusted his sprayer to apply at 4.00 ounces per acre.

#### Column 10: Acres Treated

Record the number of acres in the selected field that were treated with the pesticide product recorded in Column 2. This will be the same as the number of planted acres recorded for the field when the entire field was treated with the pesticide. If only part of the selected field was treated,

then enter the number of acres representing the share of the field actually treated.

Here it is important to know the difference between treated acres and treatment acres. Treated acres are the actual physical (land) acres of crop which were treated -- it doesn't matter how many times they were treated, they are only counted once. Treatment acres are the total number of acres covered by applications of a product regardless of whether they are the same acres or different acres.

If the same 40 acres are treated 4 times, the number of treated acres is 40 and the treatment acres is 160 (4 x 40). In this example, 40 acres would be recorded.

### Never record treatment acres in these questionnaires.

We account for multiple applications of the same product and formulation in one of two ways:

- 1) recording each event on a separate line, or
- 2) combining applications on one line and noting number of times in the next column, column 11.

Acres and tenths of acres must be reported in Column 10. Zero must be recorded after the decimal point if whole acres are recorded. For example, if the operator treated exactly 25 acres, the entry in Column 10 must be 25.0. Otherwise the summary will consider the entry to be 2.5 and we will get serious errors when we summarize active ingredients applied per acre.

Applications done at seeding will normally cover the entire planted acres. However, it is possible for the application to only cover a portion of the field, for different application rates to be used, or for different products to be applied to different areas at planting. When the acres covered by "at seeding" applications does not equal the planted acres, verify this with a note in the margin.

## **Column 11: Number of Applications**

If the same product is applied more than once:

- 1. At the same rate, (Column 6&7)
- 2. In the same time period before or after planting, (Column 5), and
- 3. Covering the same area, (Column 10),

then the multiple applications can be recorded on one line. Column 11 is coded with the number of applications of **this** product and at **this** rate.

If the applications were at different rates, during a different time period, or covering different areas of the field, record each application on a separate line. For example, if 2,4-D was applied before planting, record it on one line. If a second application was made after planting, record it separately on another line.

## **Column 12: Primary Target Pest**

We need to know the primary purpose for the application. In most cases, chemicals are applied to target a specific pest, or class of pests, such as 'annual broadleafs' or 'aphids'. In other cases, a product may be applied as a harvest aid such as a 'defoliant' or 'desiccant'.

Ask the operator to identify the primary target pest (or reason) for the application of the product listed in Column 2. Use the **Target Pest Code List** printed in the Respondent Booklet.

If the respondent indicates that there were several pests for which a specific application was targeted, ask him/her to select the main one, or the most important one, for that product application. Only report general pest categories, such as broadleaf weeds, grasses, etc., when the respondent cannot identify a more specific target pest.

#### Column 13: Pest Infestation Level

In this column, record the code which best describes the situation in the 2001 crop year for the target pest recorded in Column 12.

Consider a reported target of aphids; this question asks if the aphid problem was worse than normal in 2001, about normal, less problem than normal or unknown.

For applications of chemical thinners, growth regulators, etc. enter code 9 to indicate that the question is not applicable.

## Column 14: Who Applied

For each individual treatment, record who made the pesticide application on the selected field. The codes to identify who applied the chemicals are:

Code 1 - Operator, Partner, or Family Member

Code 2 - Custom Applicator

Code 3 - Employee or Some Other Person.

#### Item 2: Information for Unlisted Pesticides

If you could not find a product in the Pesticide Code List in the Respondent Booklet when completing Item 1, complete the table in Item 2 to provide the information needed to classify and summarize unlisted products. First, record the line number of the pesticide application that the information refers to. Then record what it was used for (herbicide, insecticide, fungicide). Next record the EPA registration number, if it is available, or the name and formulation of the product. Record whether the product was liquid or dry when it was purchased. Finally, record where the product was purchased. This will assist the State Office if questions arise about the product and additional information is needed.

The EPA Product Registration number (refer to Figure 16 below) is printed on the product label. These numbers are several digits long and look somewhat like many bank and credit card account numbers, such as 312-19-18713 and 2980-4. EPA Product Registration numbers are not the same thing as EPA Establishment numbers. In this example, the EPA Product Registration number is 100-673. EPA Establishment numbers indicate which companies are also licensed to market the product, but do not uniquely identify the product.

**Figure 16** EPA Numbers that appear on Pesticide Product Labels

EPA Reg. No. 100-673 EPA Est. 34704-MS-1<sup>®</sup>, EPA Est. 11773-IA-01<sup>®</sup>, EPA Est. 5905-GA-01<sup>®</sup>

If the respondent does not know the EPA product number or the trade name and formulation, record as much information about the product as you can, especially the "where purchased." This information will enable identification of the product in the State Office. The "where purchased" is important because if more information is needed, we can then call the dealer.

For example, if the operator has a pesticide applied by a custom applicator, he/she might not know the formulation of the product, but if the "where purchased" is recorded the State Office can check to get the correct formulation.

A good, complete entry for Unlisted Products in the notes portion of the section is as follows:

**Figure 17** Providing information needed for unlisted pesticide products.

2. [For pesticides not listed in Respondent Booklet, specify--]

LINE Pesticide Type (Herbicide, Insecticide Fungicide, etc.) EPA No. or Tradename and Formulation

Form Purchased (Liquid or Dry)

**Where Purchased** [Ask only if EPA No. cannot be reported.]

22 Insecticide

Danitol 2.4 EC, EPA # 39398-17

Liauid

Midland Chem Supply

## What's in a Registration Number?

All pesticide products, if properly registered, are identified by a unique number which is required to be located on the front panel of the label. This "registration number" is composed of several

Figure 18 Layout of an EPA
Registration Number

1 2 3 4
xxxxxxx - xxxxx - xxxxxx - xx

components, each of which has a specific meaning. These individual components are separated by a "-". Those products registered in California, have an extra component which identifies individual brand name registrations.

- Firm or Establishment Number This component identifies the company that is the primary registrant with the USEPA. The number may be as long as seven digits, or as short as 1.
   California assigns their own unique firm numbers to companies that register products which are not required to be registered by USEPA.
- 2. Product or Label Number This five or less digit number is generally assigned sequentially to each company's individual product as it is registered with the USEPA.
- 3. Distributor or Sub-Registrant's Number This number identifies any company that is marketing a product owned by another company, generally the primary registrant. This type of registration is called a distributor registration or a sub-registration and is allowed under existing agreement certified by the USEPA.

For a product registered in California, this number represents the company that holds the license for pesticide registration within the state.

4. California Revision Code - California requires companies to register and license individual brand names. The revision code, a sequence of (2) alphabetic letters, creates a unique identifier for each product. A single product may have many brand names registered within the state. Unique revision codes assigned to each one allows for identification of the specific brand name in question. These alphabetic letters may or may not appear on actual product labeling.

#### **Item 3: Pesticide Custom Costs**

You will know if any of the pesticide applications were made by custom applicators by looking at Column 14 in the Pesticide Table. Ask this question only if any CUSTOM applications were reported (code 2 entered in Column 14).

## Item 3a: Custom Application Charge Identifiable

First, ask the respondent if he is able to report the cost of custom applications (the charge for just the application of materials) separately from the costs of the materials applied. If yes, complete item 3b. If no, skip to Item 4 to obtain the total costs of materials, including the custom application charge.

## **Item 3b: Custom Application Charge**

Record the amount spent for CUSTOM APPLICATION of chemicals and pesticides on the selected field for the 2001 crop. Include landlord and contractor costs. Record only the application cost. Do NOT include the cost of pesticides or chemical materials. Record the cost in dollars and cents per acre or in total dollars for the field.

If material and application costs can't be separated, record the total in Item 4 and skip Item 3b.

#### Item 4: Pesticide Material Costs

Record the TOTAL MATERIALS cost for all insecticides, herbicides, fungicides, surfactants, wetting agents, defoliants and growth regulators applied to the selected field for the 2001 crop. Include landlord and contractor costs.

If the field was fallow during 2000, include materials applied during the fallow period. Include materials applied to this field before planting. If custom applied, include the cost of materials ONLY, unless materials and application costs cannot be separated. Record the cost in dollars and cents per acre or in total dollars for the field.

Many operators know the cost per acre of chemicals and pesticides applied on their fields. Some operators will have records of chemical applications and the costs of chemicals applied on each field. Encourage the respondent to use records if they are available. You should always attempt to get the best figures from the respondent using this item. If the operator is unable to report the cost per acre or the total cost for chemical and pesticide materials used on the selected field, use the Dashed Column: Optional Item 4 in the Pesticide Table.

The Dashed Column: Optional Item 4 should only be used as a last resort, because operators may have a more accurate figure available that includes materials which are not captured in the Pesticide Table (such as surfactants and wetting agents).

# **Section E - Pest Management Practices**

#### What is section E for? How is the Information Used?

This section provides data about pest management practices that growers use on their crops, either as alternatives to pesticides or practices which improve the effectiveness of pesticides. With this information, researchers can better analyze the effectiveness and performance of alternative pesticide treatment strategies, and potential impacts on the environment and public health.

Several years ago, USDA, along with the U.S. Environmental Protection Agency (EPA) and the Food and Drug Administration (FDA), presented joint testimony to Congress on a new, comprehensive, interagency effort designed to reduce the pesticide risks associated with agriculture. The threefold goal of this effort is:

- 1) to discourage the use of higher risk products;
- 2) to provide incentives for the development and commercialization of safer products; and
- 3) to encourage the use of alternative control methods which decrease the reliance on toxic and persistent chemicals.

This joint testimony also expressed support for 'integrated pest management', and set the goal of developing and implementing Integrated Pest Management (IPM) programs on 75 percent of total U.S. crop acreage by the year 2001.

Integrated Pest Management (IPM) is an approach used by farm operators to control pests in an environmentally responsible manner. IPM combines biological, cultural, and chemical methods of pest control such as monitoring of pest populations and use of natural enemies of pests. Other methods of cultural controls are used, including pest resistant crop varieties, traditional plowing and crop rotation, and use of pesticides when necessary.

Some producers may hire professionals to check their fields to determine the presence of pests. Proper identification of pest problems may reduce pesticide usage. These issues relate to and address food safety, water quality, and pesticide regulation. Data from these questions are vital to address these concerns.

#### **Introduction and Definition of Pests**

The introductory statement does two things to help the respondent:

- 1) It explains that you will be shifting gears for a while and asking the operator about pest management practices used on the selected field and how decisions are made regarding those practices.
- 2) It defines PESTS for the operators to include WEEDS, INSECTS, AND DISEASES. Many operators tend to focus on one kind of pest depending on the crop, but we are concerned about other types of pests as well.

For example, corn growers may think only about weeds as pests; cotton growers may focus only on insects as pests.

In this section, when the word PESTS is used, it refers to ALL three, WEEDS, INSECTS, AND DISEASES. If you don't introduce pests this broadly for all operators, operators may answer the questions with only one kind of pest in mind.

## Item 1: Pest Scouting Using a Systematic Method

**New question this year.** This question serves as a screening question to the pest scouting table in response to some concerns about the term scouting being too ambiguous. The term 'scouting using a systematic method' describes someone (either the operator, partner, family member, employee, farm supply or chemical dealer, or independent crop consultant or commercial scout) entering the field purposefully and regularly to monitor pests. Scouting is the primary method of monitoring pest populations which involves a periodic and systematic sampling of pests in the field in order to estimate population levels. Regular and systematic crop scouting lets growers evaluate pest populations ahead of serious problems. Scouting may be done weekly during the growing season and even daily when infestations approach economic levels or weather conditions favor rapid development of specific pests. Scouting should give a representative, objective assessment of the pest situation in a whole field, not just in the edge, center, high or low areas. If the selected field was scouted for pests using a systematic method, enter code '1' for YES and continue. If no scouting was done, go to item 7.

### **Item 2: Pest Scouting**

#### Column 1: Was Field Scouted for Pests

Determine if the selected field was scouted for weeds, insects or diseases.

For each type of pest (Item 2a - weeds, Item 2b - insects, Item 2c - diseases) for which the field was scouted, enter code "1" = YES, and then ask items 2a & 2b, column 2, who did most of the scouting for this type of pest.

### Items 2b(1) - 2b(3): Insect Categories

New questions this year. Biotech crops are being widely adopted in corn production despite uncertainties about their economic benefits and public acceptance. For example, an economic return for Bt corn is realized only if the density of Corn Borers is large enough to cause economic loss greater than the premium paid for the Bt corn. However, reliable data on Corn Borer infestation levels across the nation does not exist making it difficult to evaluate the economic impacts of Bt corn and adoption patterns. Also, Bt products to control Corn Rootworm will likely soon be available to producers. In order to assess the economic impacts from the adoption of these biotech crops and to explain current and projected adoption patterns, detailed information is needed on the problems posed by Bt target pests and the use of pest management practices, including biotech Bt crops, to control theses pests.

Enter code '1' = YES for each type of insect (Item 2b(1) - Corn Borer, Item 2b(2) - Corn Rootworm, or Item 2b(3) - Other Insects) the field was scouted and then ask column 2, who did most of the scouting for this type of insect.

## **Column 2: Who Performed Scouting for Pests**

Ask the respondent who did the majority of the scouting in the field for Item 2a (weeds) and Item 2b(1) - 2b(3) (insects). If two or more people did equal amounts and there is no clear-cut major "scouter", enter the first (lowest) code of those scouting. If the operator, a partner, or a family member did the most scouting, enter code "1". If most was done by an employee (other than the operator, a partner, or a family member), enter code "2". If most of the scouting was done by the dealer or an employee of a farm supply or chemical company, enter code "3". If a hired crop consultant or a commercial scouting service was used, enter code "4".

If a contractor provided the scouting services for the field, enter code "4" for CROP CONSULTANT OR COMMERCIAL SCOUT.

## **Item 3: Scouting Costs**

Ask this question only if a hired crop consultant or commercial scout did most of the scouting for weeds or insects (code "4" appears in Column 2 of Item 2). Be sure to enter the cost per acre in dollars and cents or the total cost for scouting services on this selected field. **Include** landlord and contractor cost.

Sometimes, the farmer is unable to separate the costs of scouting from the cost of pesticides or custom application charges, especially if the chemical dealer or custom applicator does the scouting. If the fee was included in the cost of the materials or custom application, record a note to explain.

Although scouting costs may be considered a technical or custom cost, they are reported in this item and not in Section F, Item 5.

If Column 2 of Item 2 does not contain a code "4", then go to Item 4.

# Item 3a: Scouting Services Provided at No Cost

If an independent crop consultant or commercial scout did not charge for scouting performed for the selected field, be sure to make notes to indicate why there was no fee for the services.

## **Item 3b: Insect Scouting Cost**

Record the percent of the total scouting cost entered in Item 3 that was for insect scouting. Ask the respondent to give a best estimate if exact figures are not available.

## **Item 4: Hours Spent Scouting**

Ask this question for the selected field only if the operator, a partner, a family member, or an employee did the scouting (code "1" or "2" appears in Column 2 of Item 2). Obtain the total number of hours spent scouting this field for all pests during the entire season.

If scouting was done by more than one person of the type recorded in Column 2 of Item 2, obtain the total hours spent by all of these people.

For example, if two employees scouted the selected field, one for 1 hour and the other for 2 hours, enter "3" in Item 4.

Both Item 4 and Item 3 may contain positive answers. For example, if the operator did the scouting for weeds and a scouting service did the scouting for insects, then both Items 3 and 4 would be answered.

## **Item 5: Records Kept to Track Pests**

Only organized, formal records, must be considered not just notes jotted down on scraps of paper. It doesn't matter who kept the records -- it can be the operator or someone else. <u>Important Note</u>: If scouting was performed by someone outside of the farm operation (Items 2a② or 2b(1)-2b(3)② are coded "3" or "4"), some type of formal record should be provided to the operation. If not, please make a note as to why no records were provided.

If this field was scouted for pests, determine if some type of formal or organized written, electronic, or map records were kept for this field of specific pest activity, infestation levels or numbers of each type of pest listed.

## **Example 3: Formal Pest Record**

A specific example of keeping formal pest records comes from the North Carolina Cooperative Extension Service. Three steps are recommended to scout for weeds:

- 1) make at least 10 stops in each field;
- 2) at each stop, mark off approximately 30 feet of row (10 paces);
- 3) record the type and number of weeds found within a 1-foot band in the row. Then record the scouting results on a "weed threshold worksheet" like the one below:

Figure 19 Example of information recorded in written scouting records.

Weed	Number Counted	Number of Stops	Number of Weeds per Stop

The information recorded on the worksheet is used with other information to determine whether herbicide treatment is necessary.

## Item 6: Scouting Data Used to Compare to Infestation Threshold Guidelines

New question this year for ARMS. This question asks if the operator compared scouting data against some established threshold to determine whether or not to take measures for pest control. With the presence of a pest, an operator may not choose to try to control that pest since it may not reach a damaging level. The crop could possibly outgrow the pest, or natural predators or disease may keep down the pest population. Enter code '1' for YES and continue.

#### Item 7: Enumerator Action: Were Herbicides Used?

If any HERBICIDES were recorded in the Pesticide Table in Section D, then Items 8 & 9 must be asked. Check back to responses recorded in Section D, Column 2 of Item 1. All herbicide products have a code number in the series 4000-4999.

If no HERBICIDES were used, go to Item 10.

### Item 8: Were Herbicides Applied Before Weeds Emerged?

After planting, pre-emergence herbicides are applied before weeds emerge. Ask the operator if herbicide applications were made on the selected field **before weeds** emerged. If yes, enter code "1" and ask Item 8a. If no, go to Item 9.

### Item 8a: Reason for Applying Herbicides Before Weeds Merged

**Modified question.** This question was modified to make it less ambiguous. The question is now designed to determine whether or not the operator's decision for using pre-emergence herbicides on the selected field was a direct result of scouting. Enter code "1" or "2" for the primary reason the operator used pre-emergence herbicides.

### Item 9: Were Herbicides Applied After Weeds Emerged?

Post-emergence herbicides are applied after weeds emerge. Ask the operator if herbicide applications were made on the selected field **after** weeds emerged. If no post-emergence herbicides were used, then go to Item 10.



### Item 9a: Reason for Applying Herbicides After Weeds Emerged

**Modified question.** This question was modified to make it less ambiguous. The question is now designed to determine whether or not the operator's decision for using post emergence herbicides on the selected field was a direct result of scouting. Enter code "1" or "2" for the primary reason the operator used post emergence herbicides.

#### Item 10: Enumerator Action: Were Insecticides Used?

If any INSECTICIDES were recorded in the Pesticide Table in Section D, check 'YES' for Item 10, and ask Item 11. Check back to responses recorded in Column 2 of Item 1 of Section D. All INSECTICIDE products have a code number in the series 1000-2000.

If insecticides were not used, go to Item 12.

## Item 11: Reason for Applying Insecticides

**Modified question.** Every operator decides whether or not to apply insecticides. That is, an operator may decide to apply insecticides or he/she may decide to not apply insecticides. The question is now designed to determine whether or not the operator's decision for applying insecticides on the selected field was a direct result of scouting. Enter code "1" or "2" for the primary reason the operator used insecticides.

# **Other Pest Management Practices**

Items 12 through 38 identify specific practices and strategies used on the selected field for the 2001 target crop as part of an Integrated Pest Management program. For each of these items, it is important to read each question as worded to help the respondent focus on strategies used on the **selected field** for the 2001 crop. For most of these questions, the term pest covers weeds, insects, and/or diseases.

Each of these items asks if the operator used the procedure for the purpose of controlling pests on the selected field. If the procedure was used for this purpose, enter code "1". If the procedure was not used for the purpose of controlling pests, then enter a dash for NO and continue with the next item.

In some cases, the operator may have used a particular procedure, but not for the purpose of controlling pests. If this is the case, probe to verify that

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the operator's purpose was other than to control pests, by saying, for example, "Did you do that to control pests?" If the purpose for the procedure was not for controlling pests, then the answer to the question is NO and a dashed entry should be made.

### Item 12: Protection of Beneficial Organisms

Beneficial organisms are predators and parasites and other **natural** enemies of crop pests. Naturally occurring insect predators of mites, aphids and caterpillars in corn and soybeans include predatory mites, aphid predators, green lacewings, and lady beetles.

Some producers will try to protect the beneficial organisms which occur naturally in their fields. They consider the possible impact on beneficial insects when deciding to use pesticides, and what pesticides to use.

Find out if the operator considered beneficial insects in the selection and use of pesticides on this field. If yes, enter code "1".

### Item 13: Used Beneficial Insects

Beneficial organisms are predators and parasites and other natural enemies of crop pests. Some kinds can be purchased by operators and used on their fields. An example of a beneficial organism that is used on corn pests is the *Trichogramma* wasp, which is a very tiny parasite wasp that kills pests by laying eggs inside the pest's eggs.

Find out if the operator purchased and released any beneficial species of insects on this field. If yes, enter code "1".

### **Item 14: Water Management Practices**

Water management practices include irrigation scheduling, drainage control, and other water management practices. Find out if water management practices were used to control pests in this field. If yes, enter code "1".

### **Item 15: Planting Location Practices**

Another pest management practice is to determine where to plant a particular crop. Crop location can have a negative or positive impact on pest populations. As an example, a wind break could create a pocket for a certain pest infestation such as flies or weeds. A prominent shaded area can harbor mold or a rot that could also have a negative impact. If this practice was used, enter code "1" for YES.

### Item 16: Tilling, Chopping, Mowing, Burning

Eliminating habitat where pests can breed and grow is an important pest management strategy. Farmers often mow or otherwise maintain areas immediately adjacent to fields to minimize the habitat where insects live.

Find out if practices such as mowing, burning, tilling, and chopping of field edges, lanes or roadways, were used to slow or control the spreading of pests into the field. If yes, enter code "1".

# Item 17: Cleaning of Equipment

Cleaning of equipment used in a field prevents carrying pests (such as weeds and disease) from one field to another. Find out if the operator cleaned the harvesting and/or tillage equipment used in this field to reduce or prevent the spread of pests to or from the selected field. If yes, enter code "1".

### Item 18: Cultivation for Weed Control

Determine whether this field was cultivated for weed control during the growing season after corn was planted. If YES, enter code "1".

#### Item 18a: Number of Times Cultivated

If field was cultivated, enter the number of times the field was cultivated in item 18a. This information about the number of cultivations will be useful in addressing the issue of substituting cultivation for herbicide use.

## Item 19: Considered Pest Resistance When Selecting Seed Variety

Find out if the operator considered pest resistance offered by different seed varieties in selecting the variety planted in this field. Enter code "1" if YES.

### Item 20: Used Seeds Treated to Control Disease

Find out if the operator treated seeds to control disease on this field after the seed was purchased. If the respondent treated seed for disease control after purchasing the seed, then enter code "1".

### Item 21: Adjusted Planting or Harvesting Dates

Find out if the planting or harvest date was adjusted on this field for the purpose of controlling pests. If yes, enter code "1".

### Item 22: Biological Soil Analysis to Detect Presence of Pests

Soil samples may be analyzed for the presence of soil borne pests or pathogens. Determine if the operator had such a biological soil analysis done for the selected field. Enter code "1" if YES.

### Item 23: Alternated Pesticides to Prevent Development of Resistance

Find out if the pesticide products were alternated in this field from year to year for the purpose of slowing the development of pest resistance. To alternate pesticides means to use products with different active ingredients or from different pesticide families. Enter code "1" if YES.

## Item 24: Adjusted Row Spacing or Plant Density

Find out if row spacing (width) or plant density (number of seeds planted per acre) were adjusted in this field for the purpose of controlling pests. Enter code "1" if YES.

### Item 25: Remove or Plow Down Crop Residues

**New question this year for ARMS.** By removing or plowing under crop debris after a crop is harvested, a vital habitat for pests is removed. Methods of removal could include baling, burning, and removing debris from the field. If the operator used this practice, then enter a code "1".

### Item 26: Rotated Crops to Control Pests

Find out if crops were rotated in the past 3 years **for the purpose of controlling pests**. Pest control is only one of several reasons crops could have been rotated. Be sure to probe to ensure that the control of pests was a reason for rotating crops on this field. If the control of pests was a reason crops were rotated, then enter a code "1".

### Item 27: Biological Pest Control

Biological pest control methods include beneficial organisms (pest predators and parasites) that are used to control crop pests, biochemical agents such as pheromone, microbial organisms such as Bacillus thuringiensis (Bt) and other bacteria, viruses, fungi, and protozoa. If this practice was used, enter code "1" and continue with item 27a.

## **Item 27a: Biological Pest Control Costs**

Record the TOTAL materials and custom application costs for all biological pest controls, including pheromone, pheromone traps, beneficial insects, and floral lures, attractants or repellants applied on this field for the 2001 crop.

Exclude seed technology assessment fees. These should be recorded in Section B.

Record in either dollars per acre or total dollars. Include any costs paid by the landlord or contractor.

## Item 28: Topically Applied Biological Pesticides

Determine if any biological pesticides were used. A biological pesticide is a product such as an insect growth regulator, neem, or an insect active bacteria.

**Neem** is extracted from the seeds and leaves of the neem tree. The primary mode of action of neem is to cover the plant with this natural pesticide. The insect pests refuse to eat any plant covered with neem, eventually starving to death. Another affect is that it acts as a repellent and will not permit an insect to reproduce.

The active bacteria, **Bacillus Thuringiensis** (**Bt**), a natural insecticide found in the soil and acts as a biological pesticide when it is applied to a crop. Bt has played a major role in the control of gypsy moth in the eastern US.

### Item 29: Used Trap Crop to Control Pests

Another pest management practice is planting a trap crop. A trap crop is a form of vegetation or another crop planted to provide a refuge or sanctuary

for a particular insect. These areas are often parallel to the field or along the field separated by a ditch, a road or some other physical barrier.

### **Item 30: Used Weather Monitoring**

Weather monitoring in order to predict the need for pesticide applications is another form of pest management. By using weather monitoring in some crops, the timing of spraying fields may enhance crop development. If this practice was used, enter code "1" for YES.

#### **Item 31: Maintained Ground Covers**

Determine if any ground covers, mulches, or physical barriers were maintained to reduce pest problems. If this practice was used, enter code "1" for YES.

## Item 32: Used Field Mapping of Previous Weed Problems

Ask if this operation used field mapping of previous weed problems to assist in making weed management decisions on the selected field. An operator may use a topographic map from the National Resource and Conservation Service (old Agricultural Stabilization and Conservation Service). The operator may simply draw an outline of the fields, or may use a software program that allows for field mapping. By identifying trouble spots, the map can help in future pest management program plans. If this practice was used, enter code "1" for YES.

### Item 33: Other Pest Management Strategies Used

It is possible for an operator to use many other strategies and/or practices to manage, control, or prevent pest problems. This item is used as a catch all to identify practices (not covered in items 2 through 32) used by the operator to manage pests on the selected field. If the operator used some practice other than those already listed, ask the operator to describe the practice used. Record a detailed description of the practice in item 33a. Make sure your description is clear; the office will use your recorded description to assign a practice code for each additional practice you list.

## Item 34: Yield Loss Caused by Corn Borer if Untreated

**New question this year.** This question will be used by researchers to estimate the extent to which the Corn Borer poses a problem that is perceived to be greater than the economic threshold for treatment. Also, to correlate this perceived problem with pest monitoring and management practices including the use of Bt corn. Record the bushels per acre (to the

nearest tenth of an acre) of yield loss associated with not treating the selected field for Corn Borers.

### Item 35: Yield Loss Caused by Corn Rootworms if Untreated

**New question this year.** This question will be used by researchers to estimate the extent to which Corn Rootworm poses a problem that is perceived to be greater than the economic threshold for treatment. Also, to correlate this perceived problem with pest monitoring and management practices. Record the bushels per acre (to the nearest tenth of an acre) of yield loss associated with not treating the selected field for Corn Rootworms.

## Item 36: Likelihood of Using Corn Rootworm Bt Seed if Available

**New question this year.** This question will be used by researchers to estimate the extent to which a Bt product for Corn Rootworm will be adopted among U.S. corn growers once it is made available. A Bt corn rootworm seed is likely to be available to farmers for next year. Enter one of the following codes that describes the likelihood of the respondent to plant a Corn Rootworm Bt seed if it becomes available.

1 = Very Likely

2 = Somewhat Likely

3 = Uncertain

4 = Somewhat Unlikely

5 = Very Unlikely

### **Item 37: Pest Management Information Sources**

Use the Respondent Booklet and show the operator the Pest Management Information Sources Code List. Have the respondent select the primary outside source of information on insect, weed and disease management recommendations for the 2001 crop grown in this field.

If the operator answers using the code rather than the words, verify the code by reading the associated category. For example, if the operator tells you code"2", verify that it means that the operator got pest control recommendations from a farm supply or chemical dealer.

If the operator used more than one source, probe to find out which ONE the operator considered the most important information source for helping

control pests on the selected field in 2001. The codes for Pest Management Information Sources are:

- Code 1 Extension Advisor, Publications or Demonstrations (County, Cooperative or University). Many state extension services publish detailed bulletins on local pest densities and other pest management information on a regular basis as well as annual or periodic pest management reports, and conduct regular demonstrations on new technology.
- Code 2 Farm Supply or Chemical Dealer. Many farm supply or chemical dealers offer scouting and other pest management services to the farmers that buy inputs from them.
- Code 3 **Commercial Scouting Service**. Some consulting firms provide services that are focused exclusively on pest management. These firms will offer scouting services, and may offer other insect, weed, and/or disease management services.
- Code 4 **Independent Crop Consultant or Pest Control Advisor**. In 1996, there were over 400 crop consulting firms located in over 36 states in the U.S. operating independently from chemical companies and other farm input suppliers. A wide variety of services are offered by these firms, including insect, weed, and disease management.
- Code 5 Other Growers or Producers.
- Code 6 **Producer Associations, Newsletters or Trade Magazines.** Farmer cooperatives and other producer associations sometimes provide pest management assistance, and many trade magazines offer pest management information, guidelines, and advice.
- Code 7 **Electronic Information Services** (*World Wide Web, DTN, etc.*): Information may be obtained electronically using computers. Using the Internet, producers can access the World Wide Web and obtain pest management information from a wide variety of sources. This is like a combination of a communication system and an electronic library.
  - <u>DTN</u> stands for Data Transmission Network. This is an example of an on-line market information service or market news service that provides market and other agricultural information through a data line, satellite dish, and a "dumb" terminal, which cannot be programmed to carry out computerized functions.

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Code 8 - **Other**: An outside source of information other than those already listed. If the operator didn't use an outside source of information, instead relying on experience or personal judgment, etc., use Code 9 for NONE.

Code 9 - **None**: No outside source of information was used. Use this code if the operator didn't use any other source of information for pest control decisions, besides experience or personal judgment, etc.

### Item 38: Pest Identification and Management Training

Determine if operator attended a short course, workshop, or other training session on pest identification and management sponsored by universities or the Extension Service since October 1, 2000. Do not include seminars put on by chemical dealers or pesticide applicator training. If YES, enter a code "1".



# Section F - Field Operations, Labor, and Custom Services

#### What is Section F for? How is the information used?

Machinery information is used to identify tillage systems and residue levels. This allows examination of the impact of the conservation compliance provisions of the 1995-96 Farm Bill (the FAIR Act) on tillage systems, cropping practices, and crop residue levels.

Machinery information is also used to compute the fuel, repair, and capital costs associated with producing the commodity. These items are a significant part of the overall cost of production for each commodity.

Use the checklist at the top of the field operations table to insure the proper operations are recorded.

#### Item 1: Tractor and Harvester Table

Include tractors and self-propelled harvesters owned, rented, leased or borrowed by the operation and used to produce the target crop on **the selected field**. Tractors and harvesters owned in partnership should be included if they were used for the target commodity on the selected field.

#### **Exclude**:

- equipment used by custom operators,
- equipment owned by the operation which were ONLY used for custom work,
- equipment ONLY used for other commodities,
- equipment ONLY used on other operations, and
- non-self-propelled harvesters.

Do not list the same piece of equipment on more than one line.

If more than the available number of lines are needed, continue to list the required information for the additional equipment at the bottom of the page or on a separate sheet of paper and make good notes for the State Office. The line number is used to identify tractors used for field activities you will record in Item 2.

### Column 2: Make and Model

List the make and model for each tractor and harvester used on the selected field, such as "John Deere 4050". Since PTO horsepower may need to be verified in the State Office, the make and model are important items.

List all tractors used on the selected field for the 2001 crop, not just those actually used in 2001. Be sure to use the 2001 crop year, not calendar year, because some of the fieldwork for the crop may have been done in the fall of 2000.

#### Column 3: Model Year

List the model year for each tractor and harvester recorded in Column 2, using all four digits. For example, if the model year is 1990, enter 1 9 9 0.

#### Column 4: Drive

Enter the code for the type of drive for the tractor listed in Column 2:

Code 2 - 2-Wheel Drive

Code 3 - 2-Wheel Drive With Front Wheel Assist

Code 4 - **4-Wheel Drive** 

Code 5 - Crawler or other tracked tractors

Code 6 - Other tractors

Code 7 - Self-propelled harvester

#### Column 5: PTO HP

#### Tractors only

Record the power take-off (PTO) horsepower rating. If the operator is not sure of the PTO rating, get a best estimate and write a note in the margin. Be sure the make and model are correctly listed so the PTO horsepower can be looked up in the State Office.

## Column 6: Fuel Type

## Tractors only

Enter the code for the type of fuel used by the tractor:

Code 1 - **Diesel** 

Code 2 - Gasoline

Code 3 - LP Gas (Liquefied Petroleum or Propane)

Code 9 - Other

In many states, products sold as gasoline contain ethanol. For the purposes of this survey, if the product is sold as gasoline or gasohol, record it as gasoline (code "2"). If the fuel used is ethanol or mostly ethanol, use code "9", and note so in the margin.

### **Field Operations Table**

Obtain all equipment operations starting after the harvest of the previous crop and continuing *through harvest and hauling the target crop from the field to storage or point of sale*. Custom operations and pesticide and fertilizer applications are included.

Exclude equipment used to apply lime or gypsum.

#### Where to Start?

Introduce the topic to the respondent by reading the introductory statement and instructions ("Begin with the first field operation after harvest of the previous crop," etc...).

After making sure the respondent understands which operations to report, begin by asking the respondent what happened after the previous crop was harvested from this field. In most cases, this will be the first tillage operation after harvest of the previous crop from this field.

**Abandoned crops:** If another crop was planted for the 2001 crop year, but abandoned and plowed under before the target crop was planted, begin with the operation of plowing down the abandoned crop.

**Cover crop:** If a cover crop was planted in the field after the harvest of the previous crop and plowed under before the target crop was planted, begin with the operation of plowing down the cover crop.

**New renter:** If the operator is a new renter of the field, field operations should be recorded for all operations performed by the renter since the last harvest and he should also report operations performed by another operator, if he knows what operations were done.

**Planting on newly cleared land:** Field operations should begin to be recorded with land forming and tillage after the clearing.

**Replanting the target crop:** If the selected corn field was planted, plowed up, and replanted due to poor germination, record the operations associated with both plantings, including the operation of plowing down the first planting.

Review the checklist as data are being reported and after completing the Field Operations Table (Item 2). This will insure whole categories of field operations are not omitted.

#### **Item 2: Field Operations**

Record field operations performed by equipment in the order they occurred.

- If this field was in fallow (idle, diverted) in 2000, record operations starting with the fall of 1999.
- If a crop was grown in 2000, begin with the first operation after the 2000 crop was harvested.
- If a crop was planted for 2001 but abandoned before the target crop was planted, begin with the operation of plowing down the abandoned crop.

The best way to get the information in this item is to ask the operator to describe all of the field work done for the corn field after harvesting the crop previously grown on the selected field.

Start by asking what happened after harvest of the preceding crop and then keep going in the order that the operations were performed. The sequence of operations and implements must be numbered accurately because it is very important for determining residue levels.

Try not to leave blank lines between operations because of the limited space in the table.

**NOTE**: Include field operations done by neighbors, friends, etc. on a "swap" basis. If these people use their own tractors or harvesters, the tractors and harvesters should be recorded in Item 1 in this section.

After recording operations through planting, continue to list the operations through harvest and hauling of the target crop from this field. Record operations in the order they occurred AND maintain the order of tandem hook-ups. Enter the SEQUENCE NUMBER of each operation in the order it occurred. List all implements used on this field *beginning with the first trip over the field after harvest of the preceding crop* and *continuing through hauling it out of the field* to storage or point of sale. If this field was fallow (idle, diverted) during 2000, list operations starting with the fall of 1999.

#### Include:

- plowing,
- corrugation,
- land preparation,
- planting,
- harvesting operations
- hauling operations
- custom operations, and
- fertilizer and pesticide applications.

#### **Exclude:**

• applications of lime and gypsum.

In sequential order, record all operations performed by tillage, land forming, harvesting, and hauling equipment. Include the implement used to harvest the corn from this field, and trucks, carts, trailers and wagons used to haul the crop from this field to storage or first point of sale.

Field operations for fertilizer and chemical applications should agree with those reported earlier in Section C and Section D. For example, each fertilizer or pesticide application reported in the Fertilizer Table or in the Pesticide Table should show up here in the Field Operations Table,

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unless it was applied through the irrigation water (in this case make a note). Custom applications of fertilizers or pesticides should also appear in this section.

**NOTE**: Though multiple applications of the same pesticide may be recorded on a single line in the Pesticide Table, these applications must be reported separately in the Field Operations table. For example, if the same pesticide application was made twice, (Section D, item 1, column 11 equals 2), then two field operations would be recorded in the Field Operations Table.

If any of the corn acres in the selected field were abandoned, all field work done on these acres until they were plowed under or cut should be included. **Exclude** the activity of plowing these acres under. If the operator re-seeded acres to the target corn crop, include all operations. Except where the corn was replanted, exclude field work done to prepare the field for another crop. Also exclude the operations involved with planting a replacement crop other than the target corn crop.

Include hauling to barns, grain bins, dryers or cleaners. Include hauling the crop to market directly only if the crop is hauled directly to market from this field. Exclude hauling to market from storage at a later date.

It may help to review the checklist to insure that no field operations were missed.

If the operator uses two or more different cropping practices on the selected field (for example, irrigated and non-irrigated acres) and these have different field operations, be sure to enumerate operations for each of the cropping practices. Record each operation in sequence, entering the number of acres in Column 9 for which each practice was applied.

#### **Completing the Field Operations Table**

#### **Column 2: Operation Sequence Number**

Correct sequence of the operations over the selected field must be maintained. Enter the SEQUENCE NUMBER of each operation, beginning with number "1" for the first operation after harvest of the previous crop.

Implements in tandem hook-ups should be entered on separate lines. For a tandem or multiple hookup of individual tillage implements, record the first implement of the set in Column 3 and its implement code in

Column 4. When you record the second implement on the next line, keep the same SEQUENCE NUMBER in Column 2 that was entered for the first implement in the set. If more than two implements are in such a set, list them in the appropriate hookup order, each one on its own line, and record the same SEQUENCE NUMBER for all the implements in that same set.

For example, you've just enumerated the first operation (a chisel plow) on the selected field. Then for the next operation, the operator tells you that he used a flex-tine tooth connected to a field cultivator. After this operation, the respondent reported that he planted. You would record this as follows:

**Example 4: Recording operation sequence numbers** 

2 SEQUENCE 8.	3 What operation or equipment was used?	4 [Record machine code from Respondent Booklet.]
1	chisel plow	01
2	field cultivator	21
2	flex-tine tooth	33
3	conventional planter	114

Sometimes the respondent forgets to report an operation in its right order. When this happens, just add the forgotten operation wherever you are in the table when it is remembered, and enter its correct SEQUENCE NUMBER. Then go back and change the numbers you previously entered to reflect the correct order of machine operations. BE SURE to correct all SEQUENCE NUMBERS that are affected. The cell numbers do not have to be changed to correspond to the corrected order, only the SEQUENCE NUMBER entered in Column 2.

This is much simpler than erasing and re-entering in the correct order all the operations you had already recorded in Column 3.

For example, you have entered operations 1, 2 and 3 in the previous example, when the operator recalls another operation (a soil finisher) that occurred after the tandem tillage operation and before the planting operation. Correct the SEQUENCE NUMBERS and continue recording operations in order as follows:

**Example 5: Correcting operation sequence numbers** 

2 SEQUENCE No.	3 What operation or equipment was used?	4 [Record machine code from Respondent Booklet.]
1	chisel plow	01
2	field cultivator	21
2	flex-tine tooth	33
<b>1</b> 4	conventional planter	114
3	soil finisher	66
5		
6		

# **Column 3: Equipment Used**

Record either the operation or the equipment the operator reported, such as a plow, disk, harrow, planter, etc. Continue recording operations or equipment used following planting, such as a cultivator, combine, trucks, wagon or cart, etc. If the operator reports using a machine for which a code is not available, ask the operator which one of the implements in the Respondent Booklet best describes it, or describe the machine as completely as possible in notes.

Enter the name of each implement used on a separate line. Each line entry should indicate one complete pass over the field. Obtaining the total number of passes over a field is an important factor in estimating cost differences between tillage systems.

Try not to leave blank lines due to limited line space. One of the last entries should be equipment used for hauling the harvested corn crop from the field to storage or point of first sale.

Record each implement that was used on the field. If an implement was used on only a part of the field, the number of acres it covered will be obtained in Column 9. On some large acreage, two (or more) tractor-implement sets (for example, two tractors and plows) may have been used at the same time to perform an operation. Record each tractor-implement combination on separate lines and obtain the acres covered by each one in Column 9.

#### **Include** custom operations.

For hauling operations, the size recorded in Column 6 should be in pounds, bushels, or tons, with the appropriate unit code entered in Column 7.

If more operations were completed on the selected field than there are lines available on the questionnaire, use a FIELD OPERATIONS SUPPLEMENT. Copy the identification as it appears on the main questionnaire to the identification box on the supplement. Continue enumerating operations (numbered in sequential order) on the SUPPLEMENT.

# **Column 4: Equipment Code**

For each operation SEQUENCE NUMBER in Column 2, record the appropriate implement in Column 3 and the appropriate code in Column 4. The codes are listed in the Respondent Booklet. If the implement is not listed in the Respondent Booklet, write a description of that implement in notes on the questionnaire. Probe to see if any names in the Respondent Booklet may be applicable.

For a tandem or multiple hookup of individual implements, record each implement of the set in separate lines and enter the appropriate implement code in Column 4. Maintain the order of tandem hook-ups. Retain the same SEQUENCE NUMBER in Column 2.

Treat the attachment of two implements of the same type (for example, two plows hooked side-by-side) for the purpose of allowing wider coverage with one pass over the field as one implement, not as a tandem or multiple hookup.

Implements that have several tillage components attached to a single frame should be recorded as one implement, not as a tandem or multiple hookup. For example, a "do-all" is a single implement that has disk blades, field cultivator shanks, and some type of harrow mounted on a single frame. Enter the appropriate code for the single implement from the Machinery Code List in the Respondent Booklet.

Only one code should be entered in Column 4, for example, enter code 5 for a moldboard plow.

If an implement is not included in the Machinery Code List in the Respondent Booklet, enter the implement name on the appropriate line in Column 3, and briefly describe the implement in notes. Be as complete as possible in your description. The equipment will have to be coded in the State Office based solely on what you record.

PROBE for the specific type of implement so that it can be coded correctly (for example, plow = regular chisel plow; disk = tandem disk; harrow or drag = spike tooth harrow).

For the second (third, fourth, etc..) implements in tandem operations, skip the remaining columns and go to the next operation. Columns 6, 7, 8, 9, 10, and 11 should be completed only for the first piece of equipment in tandem operations.

#### **Column 5: Equipment Operator**

Enter the code for the type of worker that performed the operation recorded in Column 3, operating the machine or equipment recorded in Column 4. This information will be used (along with the *acres per hour* and *acres covered* recorded in Column 9 and 10) to determine the labor usage on the field by type of worker. This method of collecting labor within the Field Operations Table saves us from having to count these hours again the Labor Table. The Labor Table will only account for non-machinery hours.

The types of workers are:

Code 1 - You (The Operator)

Code 2 - Partner

Code 3 - Unpaid Worker

Code 4 - Paid Part-time or Seasonal Worker

Code 5 - Paid Full-time Worker

Code 6 - Custom Applicator

These codes are also listed in the Respondent Booklet under the heading MACHINE OPERATOR LABOR CODES. Point this out to the respondent to refer to as you complete the Field Operations Table.

Include family members in the appropriate category, depending on whether they were UNPAID, PAID PART-TIME or SEASONAL, or PAID FULL-TIME. For example, if the operator's daughter operated the piece of equipment, and she is considered a PAID PART-TIME worker on the operation, then enter code "4".

If more than one worker was used to conduct the field operation, report the type of worker that actually operated the machine recorded in Column 4, such as the tractor/truck driver. Labor hours for the other workers will be obtained in Item 3, Column 6, LABOR. If two people alternated performing a single field operation, record the code for the person who operated the machine over the most acres.

For operations conducted by CUSTOM OPERATORS, with Code 6 entered in Column 5, go to Column 11. Columns 6, 7, 8, 9, and 10 should not be completed for custom operations.

Leave this column blank for the second, third, etc. equipment involved in tandem operations.

# **Column 6: Equipment Size**

Enter the width of the area covered by the equipment on a single pass over the field. Size means the swath covered by the machine, not necessarily how wide the equipment is. For instance, a broadcast fertilizer spreader may be only 6 feet wide, but it can spread fertilizer over a swath of 35 feet. In this case, "35" would be the right entry in Column 6, and code "1" for feet should be entered in Column 7. Report size in terms of swath for all machines except for the hauling operations. For hauling operations using trucks, carts, or wagons, record the size in terms of pounds, bushels, or tons.

Leave this column blank for custom operations and the second, third, etc. equipment involved in tandem tillage and land forming operations. However, this column must be completed for hauling operations using trailers and carts pulled by a truck.

#### Column 7: Size Code

Enter the code for the unit of width associated with the swath size recorded in Column 7. The unit codes for width are:

Code 1 - **Feet** Code 2 - **Row** Code 3 - **Moldboard** (Bottoms)

For example, if a 4-bottom moldboard plow was used, record "4" as the equipment size in Column 6 and enter code "3" in Column 7.

Unit codes for hauling operations are:

Code 4 - **Pounds** Code 5 - **Bushels** Code 6 - **Tons** 

Unit codes 4, 5, and 6 should only be used for hauling operations using trucks, trailers, carts or wagons.

Leave this column blank for custom operations and the second, third, etc. equipment involved in tandem tillage and land forming operations. However, this column must be completed for hauling operations using trailers and carts pulled by a truck.

#### Column 8: Tractor Used

Enter the line number of the tractor (from the Tractor Table - Item 1) that was used to pull the equipment. If a self-propelled harvester was used identify the harvester from those listed in item 1. If equipment other than a harvester was self-propelled, enter code 99. If two tractors were used simultaneously to pull one piece of equipment, identify both tractors and write a note at the bottom of the page. If horses, mules or other draft animals were used to pull the equipment, enter code 66. If it was pulled by a pick-up, enter code 77. If a truck other than a pick-up was used to pull the piece of equipment, enter code 88. If trucks are used for hauling operations, enter code "99" and the appropriate truck code in column 4.

For the first implement in a tandem or multiple hookup, record the Item 1 line number of the tractor used in Column 8. Leave this column blank for the second and subsequent implements in tandem operations.

Also leave this column blank for custom operations.

# Column 9: Acres Covered

Record the number of acres covered for this operation on the selected field. Enter the number of acres covered on a single pass of the equipment over the field, not the total for multiple passes of the same equipment over the field. Multiple passes of the same equipment should be recorded on separate lines as separate operations in the correct sequence.

If only part of the field was covered, enter the number of acres in the part of the field covered. If more than one piece of equipment operated on the field at the same time, such as more than one combine doing harvesting, enter each piece of equipment on separate lines, along with the acres covered by each.

Record acres covered to the nearest TENTH of an acre.

Land forming equipment includes machines used to make or close ditches, or to change the slope of the land. The field acreage covered is not a good indicator of total machine use. For land forming equipment, Column 9 should be completed by recording the **total hours** that the equipment was used in the corn production. Then leave Column 10 blank.

When recording information about equipment used in hauling operations, such as carts and wagons, Column 9 should be completed by recording the total hours that the hauling activity took for the selected field. Then leave Column 10 blank.

Leave this column blank for the second and subsequent equipment lines involved in tandem operations.

#### Column 10: Acres Covered Per Hour

This information will be used along with the tractor information to compute per acre labor, machine, and fuel costs.

Record the acres covered per hour for this operation on the selected field. Operators usually know this as the equipment speed. They usually know the speed at which the tractor used pulled the specific implement on a given field, saying something like "Well, this tractor pulling that piece of equipment on that land (or the type of land in that field, such as hills, flats, etc.) goes about X acres per hour."

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If the operator does not know this precisely, obtain a best estimate. Ask how long this operation took on this field. If the total hours is unknown, ask for an estimate of how long it would normally take to do this operation. Then divide this total or estimated time <u>into</u> the number of acres covered:

Acres Per Hour =  $(Acres Covered) \div (Hours to Complete Operation)$ .

Record acres per hour to the nearest TENTH of an acre.

If the respondent will not or cannot do this, leave Column 10 blank and write DK (for "don't know") in notes near the item cell.

An alternative method of estimating acres per hour is possible if the operator knows the machine width in feet and the speed that was traveled. Then use the following formula:

Acres Per Hour = (Machine Width in Feet) x (Speed in MPH)  $\div$  10.

Leave this column blank for the second and subsequent equipment lines involved in tandem operations.

# Column 11: Month and Year of Operation

This information is needed to allow wind erosion to be considered in identifying the tillage system.

Record the number of the month and year when the operation was performed. Use the four digit MM YY format for recording the month and year number. For example, operations completed in April 2001 are recorded as  $0 \ 4 \ 0 \ 1$ .

## **How to Record Tandem Field Operations**

Often farmers perform two or more field operations at the same time. A common example of this is a spike tooth harrow connected to a regular tandem disk, pulled by one tractor.

Equipment used for fertilizer and chemical applications included in the Field Operations Table may also be commonly done as tandem operations with another operation. Each separate item of equipment must be identified to calculate costs or identify the tillage system used.

When a farmer reports a tandem field operation:

- Record the first piece of equipment just like any single machine field operation. Record the SEQUENCE NUMBER in Column 2 in order from the previous operation. Enter the data for all remaining columns on that line.
- 2. On the next line, record the tandem operation in Column 3 and the machinery code of the second piece of equipment in Column 4.

  Record the same SEQUENCE NUMBER as the operation entered on the previous line in Column 2.

For equipment other than trailers and carts pulled behind trucks, skip Columns 5, 6, 8, 9, 10, and 11 and go to the next operation in sequence. For trailers and carts pulled behind trucks, skip column 5, enter the size and units of the trailer or cart in columns 6 and 7, skip columns 8, 9, 10, and 11 and go to the next operation.

3. If more than two pieces of equipment were used in tandem, repeat step 2 for each additional piece of equipment.

Be sure each required column is completed for every piece of tillage and/or planting equipment used to prepare and plant the corn on the selected field.

**NOTE**: Columns 6-10 are skipped for custom operations.

# **Example 1: Field Operations, Tandem and Custom**

The following example illustrates how tandem operations would be recorded in the FIELD OPERATIONS TABLE. In this example, you should note that:

- operation 1 occurred in 1999 because the field was fallow in 2000.
- operations 3, 4, and 7 are tandem operations. Columns 5 11 are left blank for tandem tillage and chemical application operations.

- operations 4 and 9 are custom operations, leave columns 6 10 blank, fertilizer and pesticide applications accounted for (operations 7, 9), hours (not acres) are entered in column 9 for the hauling operations (operations 11, 12) and acres per hour column 10 is left blank.

2	3	4	5	[If CUSTOM (Column 5 = code 6), skip columns 6-10.]					11
SEQUENCE	What [Record	Who was the machine operator [Enter code from above.]	6 What was the size or swath of the [machine] used?	7 [Record size code.] 1 Feet 2 Row 3 Moldboard (bottoms) Hauling 4 Pounds 5 Bushels 6 Tons	8 Which tractor was used? [Record line number from Item 1.] 66 Animal Drawn 77 Pick-Up 88 Other Trucks 99 Self-Propelled	9 How many acres were covered?	What were the acres covered per hour?	In what month was this	
No.		CODE	CODE		CODE	CODE	ACRES	HOUR	MMYY
1	stubble mulch	7	1	16	1	<u> </u>	165.6	18.0	10 99
2	stubble-mulch	7	1	16	1	1	165.6	18.0	03 01
3	chisel	1	1	16	1	1	165.6	20.0	03 01
3	stubble mulch	7							
3	cond. harrow	31							
4	subsoiler	8	6						04 01
4	stubble mulch	7							
4	cond. harrow	31							
5	heavy cult.	26	1	12	1	1	165.6	15.0	04 01
6	heavy cult.	26	1	12	1	1	165.6	15.0	04 01
7	heavy cult.	26	1	12	1	1	165.6	12.0	05 01
7	fert. attach.	72							
8	corn planter	114	1	6	2	1	165.6	10.5	05 01
9	airplane spray	91	6						05 01
10	combine	121	1	20	1	99	165.6	10.0	10 01
11	truck	301	3	150	5	99	18.0		10 01
12	grain wagon	194	3	200	5	88	18.0		10 01

# **Example 2: Field Operations, Target Crop Abandoned**

The following example illustrates coding operations for a corn field that is planted, replanted, and then abandoned when corn is grown in the selected field. In this example, you should note that:

- all field operations and chemical operations up to the time the decision was made to abandon the field are included.
- the operation of plowing down the crop is not included (this would be the first operation of preparing the field for the next or replacement crop).

2	3	4 ,	5	[,	[If CUSTOM (Column 5 = code 6), skip columns 6-10.]				11
S E Q U E N C E	What operation or equipment was used?	[Record machine code from Respondent Booklet.]	Who was the machine operator [Enter code from above.]	6 What was the size or swath of the [machine] used?	7 [Record size code.] 1 Feet 2 Row 3 Moldboard (bottoms) Hauling 4 Pounds 5 Bushels 6 Tons	8 Which tractor was used? [Record line number from Item 1.] 66 Animal Drawn 77 Pick-Up 88 Other Trucks 99 Self-Propelled	9 How many acres were covered? 1/	10 What were the acres covered per hour? ACRES PER	In what month was this operation done?
No.		CODE	CODE		CODE	CODE	ACRES	HOUR	MM YY
1	stubble mulch	7	1	16	1	1	165.6	18.0	10 00
2	stubble-mulch	7	1	16	1	1	165.6	18.0	03 01
3	chisel	1	1	16	1	1	165.6	20.0	03 01
3	stubble mulch	7							
3	cond. harrow	31							
4	subsoiler	8	6						04 01
4	stubble mulch	7							
4	cond. harrow	31							
5	heavy cult.	26	1	12	1	1	165.6	15.0	04 01
6	heavy cult.	26	1	12	1	1	165.6	15.0	04 01
7	heavy cult.	26	1	12	1	1	165.6	12.0	05 01
7	fert. attach.	72							
8	corn planter	114	1	6	2	1	165.6	10.5	05 01
9	airplane spray	91	6						05 01

Field abandoned in May.

#### Item 3: Labor Used on the Field

This item collects wage rates for ALL paid workers that worked on the field, including workers who only operated machinery and those who did not.

Acres covered and acres per hour from the Field Operations Table will be used to calculate labor hours spent operating machines for each field operation. This data will be combined with non-machine labor hours collected in Item 3 to estimate the total labor hours used to produce the target commodity on the selected field. These calculations save us from having to itemize labor hours for all field activities.

The Labor Table, Item 3, is the only place where labor hours spent on the selected field for activities *other than* operating machines is collected.

**Important**: All workers, including those who only operated machinery, must be listed in this item. For *workers who operated machinery only*, the number of workers; whether they were paid or unpaid; part-time, full-time, or seasonal; and average wage must be completed. The column for hours of non-machinery labor will be blank for workers who only operated machinery.

#### Column 1: Workers

First, ask the operator to identify and list **all** the workers that worked on the selected field. Include workers who operated machinery *in addition to* those who did not. Exclude custom and contract workers. Then ask how many hours each worker or each group of workers spent doing various activities (other than operating machinery accounted for in the field operations table) on that field to produce the corn crop in 2001. **Note:** you must list all workers even though some may not have performed any non-machine labor.

It would be helpful to refer the respondent to the list of non-machinery work in the Respondent Booklet.

If the operator, partners, or the operator's spouse worked on the selected field, check the check-boxes in Column 1 and continue.

List workers using whatever identifier is comfortable for the respondent. If names are used, record first names only. Workers may be identified by their relation to the operator, or by the type of work. For example, the operator may identify a daughter, a grandson, a hired hand, and the tractor driver as workers on the field.

If several workers of the same type were used, they may be grouped and listed on a single line. Workers may be grouped in any manner convenient for the respondent. For example, the respondent may group workers by type of work, such as all workers hauling grain away from the field.

Data recorded in Columns 3, 4, and 5 must be the same for all workers grouped together. For example, a paid part-time worker making \$8.00 per hour should be listed on a separate line from another paid part-time worker making \$6.50 per hour. Also, if the same worker routinely worked both paid and unpaid hours, record these on separate lines.

Be sure to include ALL workers that worked on the selected field to produce the 2001 corn crop. Be sure to include machinery operators and workers that did activities other than driving tractors or operating equipment on the selected field. Probe to include workers who worked on the field during the fall of 2000 (and earlier if the field was left fallow during 2000) to prepare for the 2001 crop.

**Exclude** contract or custom laborers.

#### Column 2: Number of Workers

After completing the list of **all** workers in Column 1, proceed to complete Columns 2-6 of the table for each worker or group of workers listed. It is important to identify all workers in Column 1 first before asking additional questions, because the respondent may decide to leave out some workers to avoid the additional questions you'll be asking about each one.

Enter the number of workers in the group listed in Column 1. If an individual worker is recorded in Column 1, enter the number "1." If the PARTNERS box in Column 1 is checked, enter only the number of partners working on the selected field, not the total number of partners.

#### Column 3: Paid or Unpaid Worker

Record whether the worker or group of workers listed in Column 1 was:

Code 1 - **Paid** Code 2 - **Unpaid** 

If the box for the operator's SPOUSE is checked in Column 1, determine if he/she is a PAID or UNPAID worker on the operation.

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Workers receiving only a "draw" or "allowance" should be considered unpaid.

For PAID workers (code 1), complete Columns 4, 5 and 6.

For UNPAID workers (code 2), skip to Column 6.

# Column 4: Type of Paid Worker

If Column 3 is Code "1" (PAID), determine whether each PAID worker or group of PAID workers listed in Column 1 is:

Code 1 - Full Time

Code 2 - Part Time

Code 3 - Seasonal.

# Column 5: Wage Rate For Paid Workers

For PAID workers only (Column 3 is Code "1"), record the cash wage rate paid for ALL the work performed on this field by each worker or group of workers listed in Column 1. Enter the wage rate in dollars and cents per hour.

Include wages paid for operating machinery and for work other than operating machinery, such as loading materials into equipment, etc.

If multiple workers are recorded in Column 2, the wage rate entered in Column 5 should be the same for all workers. Enter the average wage per hour paid to each worker in Column 5. Do not multiply the average wage per worker times the number of workers! If two workers are paid \$5.00 each per hour, enter 5.00, not 10.00 in Column 5.

If the worker is paid by the week or month, or is paid an annual salary, you will need to probe for an estimate of the average number of hours worked per week, month, or year. Then calculate the hourly wage. For example, if a worker is paid \$1500 per month and works an average of 200 hours per month, then compute the hourly wage rate as  $1500 \div 200 = $7.50$  per hour, and enter "7.50" in Column 5.

If the worker is paid by the job, probe to be sure this worker is NOT a custom or contract laborer. **Custom and contract work is excluded** from this table.

## Column 6: Non-Machinery Hours Worked

For each worker or group of workers listed in Column 1, record the total hours worked on this corn field in ALL activities other than operating machines (already reported in Item 2). This includes, but is not limited to:

- scouting,
- irrigation,
- drying,
- time spent moving machinery and equipment to and from the field,
- time spent repairing farm machinery and equipment,
- time spent loading materials into equipment,
- time spent on manual weeding and manual thinning,
- time spent on manual rock picking,
- management activities associated with the selected field only, and
- other hours working in the field but not operating equipment.

Most respondents fail to recall these hours without additional prompting by the interviewer. Use the notes in column 6 to jog their memory.

Report the **total hours** worked by each worker or group of workers listed in Column 1, **only for activities done on this field**.

**Note:** It is possible for the total hours worked to be zero for a worker or group of workers if they only operated machinery on the selected field. In these cases, columns 1, 2, 3, 4 and 5 should still be completed, with a dash entered in Column 6 and a note to explain the worker(s) only operated machinery.

If multiple workers are recorded in Column 2, enter the total non-machinery hours worked by all the employees in Column 6. If two workers worked in the field (not operating machinery), one for a total of 8 hours and the second for a total of 4 hours, enter "12" in Column 6.

#### Items 3a & 3a(1): Contract Labor Costs

If any contract labor was used in the selected corn field, enter code "1" for YES and ask what was the average cost per acre for contract labor on this field. Enter the average cost per acre in dollars and cents. Include costs paid by landlords and contractors.

## Item 4: Percent of Unpaid Work Done by Those Under 16

Considering the total hours worked by unpaid workers on this field (Column 1 workers with Code "2" (UNPAID) in Column 3 of Item 3), enter the percent of those hours worked by unpaid workers who were under 16 years old.

Remember that this question is about the percent of ALL the hours worked on this field by UNPAID workers, not just the hours recorded in Column 3 of Item 3 (which accounts for only non-machine hours).

We will value unpaid labor hours dedicated to the target crop with an appropriate wage rate to estimate the economic cost of unpaid labor. Since younger workers are often paid less than more experienced workers, we want to separate unpaid labor hours for workers under 16 so we can value their labor at a different wage rate.

#### Item 5: Custom and Technical Services

Custom operations and/or technical services performed on the field in 2000 for the 2001 crop should be included. Exclude custom fertilizer and chemical applications, and the costs of scouting for pests. These have been recorded in Sections C, D, or E.

Sometimes farmers rent and operate machines themselves. This isn't custom service, it's machinery rental. Exclude machinery rental from this item. Exclude "swap" labor (work done on the selected operation by a friend or neighbor in return for the selected operator's working on the friend or neighbor's operation).

#### Column 1: Custom or Technical Service

Several custom or technical services are listed. Since ALL custom machinery operations were obtained in the Field Operations Table, refer back to the Field Operations Table and identify which custom or technical services listed in Column 1 were performed on the selected field. Mark the check box in Column 1 for each custom operation reported in the Field Operations Table. Ask Column 2 for each item marked.

#### Column 2: Cost Per Acre For the Custom/Technical Service

Record the operation's cost per acre for each custom operation or agricultural service done on the field. Include all custom work or technical service fees paid by landlords and contractors. Record the cost in dollars and cents per acre.

#### Item 6: Yield Monitor

Determine if the harvesting equipment (combine) used or to be used on the selected field has (had) a yield monitor.

A yield monitor is a piece of equipment mounted on harvesting equipment (e.g., combine) to measure the yield at regular intervals as the combine moves through the field. These yield measurements may or may not be tied to specific locations in the field through a global positioning system (GPS), which uses information from satellites to pinpoint field locations. If the yield measurements are tied to specific locations using the GPS, a map can be produced of yields across the field using the information.

If a yield monitor was (will be) used, continue with Item 6a. If a yield monitor was not used, go to Item 7.

# Item 6a: Yield Map

If the harvesting equipment has (had) a yield monitor (Item 6 coded YES = 1), then ask if a yield map was or will be produced from the data obtained by the monitor. If YES, enter code "1".

A yield map is a map prepared from information collected by a yield monitor tied into a GPS. It shows how yields vary for small areas within a field. A yield map can help the farm operator decide about any changes in management practices within the field, such as changes in fertilizer, lime or pesticide application rates.

#### Item 6a(1): Custom Service/Consultant Used

If a yield map was prepared (Item 6a coded YES = 1), then ask if a custom service/consultant was hired to produce this map. If YES, enter code "1".

# Item 7: Soil Properties or Soil Types Measured and Geo-Referenced Using Global Positioning Satellite Systems (GPS) Technologies

Ask the respondent if the different soil properties or soil types on this field have ever been sampled and mapped using GPS. This is a process of taking soil samples from precise locations across the field or using a machine to measure soil electrical conductivity for the purpose of creating a geo-referenced 'map' of conditions across the field. Using GPS while collecting the data on soil properties allows a geo-referenced map to be produced and stored.

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The information derived from a geo-referenced map includes soil nutrient levels, soil conditions, and soil type that may vary across the field. This information can then be used by equipment outfitted with (GPS) to apply specific nutrients or chemicals to specific areas of the field.

Although such data collection can be expensive and labor intensive, the benefits can include reducing chemical applications and costs, and improving yields. Grid sampling, when properly used in conjunction with variable rate chemical applications, mayhelp reduce the expense and use of crop chemicals by applying only what is needed where it is needed.

## Item 7a: Map Produced Based on Soil Tests

**New question this year.** This question is intended to refine the type of precision agriculture technologies that farmers are adopting. If a map was produced based on soil tests linked to a GPS unit, enter code '1' for YES and continue.

#### Item 7b: Map Produced Based on Electrical Conductivity of the Soil

**New question this year.** Again, this question is intended to refine our basic understanding of precision agriculture technologies that farmers are adopting. Soil electrical conductivity (EC) can be used to identify and quantify contrasting soil attributes within a field. Machines/monitoring devices (Veris is the brand name of one such machine) are commercially available which use GPS and soil EC to generate a detailed map of these soil attributes. If a map was produced based on EC, enter code '1' for YES and continue.

# Item 8: Use of Remote Sensing

Remote sensing is an emerging technology available to field crop producers. While aerial photography is the most common form of commercial remote sensing currently available, on-the-go sensors and satellites have or will soon have the same capability. As the number of producers using various aspects of precision agriculture technologies grows, they will also become aware of the potential for remote sensing.

Enter a code "1" for YES if the field was remotely sensed (by airplane or satellite) and an image produced either before or during the 2001 growing season.

#### Item 8a: Custom Service/Consultant Used

If the field was remotely sensed (by airplane or satellite) and an image produced (Item 8 coded YES = 1), then ask if a custom service/consultant was hired for this service. If YES, enter code "1".

## Items 9a, 9b and 9c: Use of Variable Rate Technology

Ask if variable rate technology was used to fertilize the field (Item 9a), seed the target corn crop (Item 9b), or to apply pesticides (Item 9c).

Some producers have purchased equipment which allows them to easily vary seeding rates or application rates of fertilizers or pesticides across the field. Custom operators may also have this type of equipment. Use of variable rate technology may be used along with, but does not require, a geo-referenced map (i.e., a map produced using a GPS unit) of soil or plant conditions.

#### Items 9a(1), 9b(1) and 9c(1): Custom Service/Consultant Used

For each of the Items 9a, 9b and 9c. If variable rate technology was used ask if a custom service/consultant was hired to perform the service. If YES, enter code "1".

#### Item 10: Use of a Guidance or Parallel Swathing System

**New question this year.** Parallel swathing and guidance systems are devices linked to GPS signals that allow drivers of tractors and self-propelled machines to accurately navigate fields in parallel swaths. Such devices can be used during planting, tillage, and chemical applications to minimize double applications and skipped areas. If a guidance or parallel swathing system was used on the selected field, enter code "1" for YES.



# **Section G - Irrigation**

#### What is Section G for? How is the information used?

These questions are designed to identify operating characteristics of irrigation system(s) and the amount and source of water used on the selected commodity field.

There can be more than one type of irrigation system used on a particular crop field.

Most irrigation methods use either pressurized or gravity-flow systems. Pressurized systems use various sprinkler or low-flow drip/trickle systems. Gravity-flow systems use various flood or furrow irrigation systems and subirrigation systems.

How water is applied depends on the crop, the physical features of the land (slopes, hills, and gullies), the type of soil, the amount of water available, how well special equipment would work, and the cost. To conserve both water and money, farmers try to control the amount of water applied and the distribution of water across a field. When crops are over-watered, minerals are washed from the soil, salts build up and soil erodes. When water is not applied uniformly across a field, crop yield is reduced.

#### Item 1: Acres of Corn Crop Irrigated in this Field

The respondent should only report the number of acres of the selected crop in the field that were irrigated for the 2001 crop. Record irrigated acres to the nearest TENTH.

Acreage should be counted as irrigated if water was **applied at least once during the growing season** or if the acres were **irrigated before planting**. If only part of a field was irrigated, count only the acres that actually were irrigated. Even though the crop may have received water several times, count irrigated acres only once.

In some states, non-irrigated land may also be called "dryland".

#### Exclude from irrigated acreage:

- acreage in the selected field which could have been irrigated (facilities were available) but which were not irrigated for the 2001 crop.
- land in and around the selected field in irrigation ditches, trenches, borders, levees and skip rows.

• fringe areas of the selected field (generally in fields with sprinkler systems such as center pivot systems) which did not receive water.

# **Item 2: Irrigation Operations**

Include only the irrigation system(s) used to irrigate the selected field for the 2001 crop year.

You will record information for up to two systems used on the target crop for the 2001 crop year. If only one system was used on this field, then use only Column 1 for responses to Items 2b - 2k. Items 2b - 2k will apply only to the irrigation system types listed in Item 2a.

**NOTE:** Don't list any system or irrigation technology that wasn't used on the target crop in this field, even if it was used on other fields or other crops on the farm operation.

## Item 2a: Type of System

Refer the operator to the Irrigation System Types Codes in the Respondent Booklet and record the System Type Code for the irrigation system used to irrigate most of the acres of the target crop on the selected field during the 2001 growing season.

The Irrigation System Type Codes are:

#### **Pressure Systems**

- Code 1 Hand-move
- Code 2 Solid or Permanent Set
- Code 3 Side Roll or Wheel Line
- Code 4 Center Pivot or Linear Move With Sprinklers on Main Line
- Code 5 Center Pivot or Linear Move With Sprinklers below Main Line, But More than 2 Feet above Ground
- Code 6 Center Pivot or Linear Move With Sprinklers less than 2 Feet above Ground
- Code 7 Big Gun
- Code 8 Low-flow Irrigation (Drip, Trickle, or Micro Sprinkler)
- Code 9 Other Pressure System -- Specify Type

#### **Gravity Systems**

Code 10 - Siphon-tube System from Unlined Ditches

Code 11 - Siphon-tube System from Lined Ditches

Code 12 - Portal- or Ditch-gate System from Unlined Ditches

Code 13 - Portal- or Ditch-gate System from Lined Ditches

Code 14 - Poly-pipe System

Code 15 - Gated Pipe (Not Poly Pipe)

Code 16 - Improved Gated Pipe (Surge Flow or Cablegation, Not Poly Pipe)

Code 17 - Subirrigation

Code 18 - Open Discharge from Well or Pump

Code 19 - Other Gravity System -- Specify Type

Each of these irrigation systems is described in Exhibits 5.1 and 5.2 at the end of this section. The descriptions are designed to explain system characteristics and how the system applies the water to the field.

These systems are on-farm, field-level irrigation technologies and do not describe the water distribution systems of an irrigation district or company.

Exhibit 5.1 includes descriptions of end-tow sprinkler and carousel sprinkler-traveler systems. If either of these systems are used on the field, enter them as a side roll/wheel line system using a code "3".

Also provided are descriptions of several big-gun systems, including self-propelled big-gun system, reel-type hose pull and reel-type cable pull systems that use large gun-type sprinklers. Each of these systems should be entered as a big-gun system using a code "7".

Flood irrigation is a gravity based irrigation system where the water applied is allowed to flow across all or part of a field between levees, dikes, or borders. However, for water management and cost reasons, it is important to recognize how the water is applied to the field. Therefore, the flood irrigation system type can vary depending on how the water is applied to the field. A flood irrigation system can consist of any gravity system type, except system type 17 (and it is also unlikely for system type 16).

For example, if the field was flood irrigated (the water applied is allowed to flow across the field between levees, dikes, or borders), but the water was applied to the field using a siphon tube or portal/ditch-gate system,

then record the flood irrigation system type as either a 10, 11, 12 or 13. Record a code 10 if the field was flood irrigated by applying water from an unlined ditch using a siphon tube system, or a code 11 if the siphon tube system applied water from a lined ditch. Record a code 12 if the field was flood irrigated by applying water from an unlined ditch using a portal/ditch-gate system, or a code 13 if the portal/ditch-gate system applied the water from a lined ditch. If water is applied to the field through a single discharge from a well or pump and allowed to flood all or part of the field (between levees, dikes, or borders), code the irrigation system as 18.

## Item 2b: Total Quantity of Water Applied

Record the total quantity of water applied to the target commodity in the selected field during the entire 2001 crop year either (1) in inches per acre, or (2) total acre feet applied to the selected field. **Include** water that was applied during pre-plant irrigations either to soften the soil for planting or to improve the soil profile.

Record this separately for each Irrigation System Type recorded in Item 2a. Include any pre-plant water application.

# Item 2b(1) & 2b(2): Estimating Water Applied

These items are asked *only* if the operator cannot provide a response to Item 2b.

#### Item 2b(1): Total Hours Water Applied

The operator should estimate the total hours that water was applied to the selected crop in the field during the 2001 growing season. This should be obtained separately for each irrigation system. The total hours the system operated may range from one to greater than 1000 hours.

Irrigation may occur continuously for days, or even weeks. For example, if the irrigation occurs continuously (every day for 5 weeks), then the total number of hours this system irrigated the field was 840. This is computed as follows:

(5 weeks) \* (7 days/week) \* (24 hours/day) = 840 hours

Another example, if a system was used to irrigate a field three different times during the growing season - once continuously for six days, the second time for eight days (but only from 8 p.m. to 8 a.m. daily), and the third time continuously for six more days - then the total number of hours this system irrigated this field was 384. This is computed as follows:

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First irrigation: 6 days (irrigation non-stop, day  $6 \times 24 = 144$  hours

and night)

Second irrigation: 8 days (irrigation from 8 p.m. to 8  $\times$  8 x 12 = 96 hours

a.m. daily)

Third irrigation: 6 days (irrigation non-stop, day  $6 \times 24 = 144$  hours

and night)

Total = 384 hours

## Item 2b(2): Average Gallons Per Minute

Record the operator's best estimate of the average gallons per minute that the irrigation system(s) applied water to the selected field during the hours of irrigation reported in Item 2b(1). Convert cubic feet per second (cfs) to gallons per minute (gpm) by multiplying by 450, therefore 1 cfs = 450 gpm. NOTE: This value may be the same as the value in Item 2j.

#### Item 2c: Percent Surface Water Used

Water sources can involve surface water and/or ground water (water from wells). Sometimes the same acres are irrigated using more than one source of water. Record the operator's best estimate of the percent of all the water used to irrigate the selected field from surface water sources.

Sometimes a single irrigation system uses more than one source of water. For each system type reported in Item 2a, record the operator's best estimate of the percent of the total water the system used to irrigate the selected field from surface water sources. The percent for each system can range from zero to 100 percent.

Surface water is water stored in natural ponds or lakes, flowing in streams and rivers, and water stored in man-made reservoirs. Surface water can originate on the farm or from off-farm sources. Water sources are different from water suppliers. Here, it does not matter who supplied the water to the farm.

## Item 2d: Number of Times Field Was Irrigated

The number of times a field is irrigated during the growing season will vary across farms depending upon the system, and other characteristics such as soil type and season weather. The number of times a field is irrigated during the crop year can be useful in estimating both the total quantity of water applied and total costs of irrigation for the field.

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Record the number of times the selected field was irrigated during the 2001 crop year. One "irrigation time" is an uninterrupted period the system was actively irrigating the field. Include all applications of water made to benefit the 2001 target crop for the selected field. Include any pre-plant water applications.

For each irrigation system reported in Item 2a, record the number of times each system was used to irrigate the selected field for the 2001 crop.

For example, if a system was actively irrigating a field first for 6 days, later for 8 more days, later still for 5 more days, and finally later for 4 more days, then this system irrigated this field 4 times during the growing season.

If the system operated continuously during the crop season, this would be counted as only 1 irrigation.

The number of times a field is irrigated can also be estimated by the number of times the irrigation system covers the entire field. For example, if a field is irrigated continuously until the entire field is irrigated (that is, it takes 2 days to cover the entire field), and then the irrigation system set still (not in operation) for a period of time, the number of times the field is irrigated during the crop year can be recorded as the number of times the irrigation system covered the field during the crop year.

## Item 2e: Pump Type

To apply water to a field, some irrigation systems may have to lift the water from a well and/or put the water under pressure to distribute it across the field. Pressurized systems must use a pump. For each system reported, identify and record the code for the most common pump type used to lift and/or distribute water across the field.

The Codes for Pump Types Are:

Code 1 - **Turbine** 

Code 2 - Submersible

Code 3 - Centrifugal

Code 4 - Booster

Code 5 - **Siphon** 

Code 99 - No Pump

If more than one pump is used with a single system, such as a booster pump, etc., record the pump type for the pump closest to the water source for the field.

**Exclude** pumps owned and operated by an irrigation company or district even if the respondent is part-owner of the irrigation company.

Sprinkler irrigation systems generally use **centrifugal**, deep well turbine, or **submersible** type pumps. Turbine and submersible pumps are generally used where it is necessary to lift the water more than 25 feet.

**Turbine** and **centrifugal** pumps differ in the design of the impellers used to move water through the pump. Impellers are devices internal to the pump used to create water force (or pressure) to lift and/or distribute the water across a field or block.

For an ordinary deep-well **turbine pump**, the impeller is suspended vertically on the end of a drive shaft (submerged below the water level) within a long discharge pipe, but the motor is above ground.

A **submersible pump** is a deep-well pump, usually turbine, with a direct-connect electric motor placed below the submerged impeller. This pump eliminates the need for a long drive shaft required for ordinary turbine pumps. Its principle advantage is that this pump type can be used in very deep wells or crooked wells where long drive shafts for ordinary turbine pumps are impractical. For the pump type, the motor is submerged.

A **booster pump** is generally a relatively small horsepower pump used to provide added lift or pressure when the pump at the water source does not provide adequate pressure for field or block water distribution.

A **siphon pump** is generally used to prime a large siphon tube that transfers water from a mainline water-supply to a ditch or canal providing water to the field or block.

If no pumps were used to draw or apply water to the field (for example water flows by gravity only) enter code "99" and go to Item 2g.

## Item 2f: Average Pumping Rate

For each system reported, enter the average pumping rate in gallons per minute (GPM) for the pump type recorded for that system. Report the pumping rate(s) used during normal operation. NOTE: This may be the same value as entered in item 2b(2) above.

## **Item 2g: System Operating Pressure**

If the system type recorded in Item 2a is NOT a Pressure System (codes 1 through 9), skip to Item 2h.

Only ask this item whenever a pressure irrigation system is used (Item 2a is code 1-9). Enter the average system operating pressure in pounds per square inch (PSI). Report the system operating pressure used during normal operation.

## Item 2h: Pump Motor Type

Systems using a pump to deliver water to the field require a motor. Enter the code to identify the fuel or power type for the pump motor type entered in Item 2e.

If a tractor was used, enter the motor type of the tractor.

The codes for motor type are:

Code 1 - **Diesel** 

Code 2 - Gasoline

Code 3 - LP Gas

Code 4 - Natural Gas

Code 5 - Electricity

Code 6 - Solar Power

## Item 2i: Average Pump Motor Size

Enter the average horsepower rating of the motor type recorded in Item 2h. For tractors enter the PTO horsepower.

# Item 2j: Average Flow Rate

This item is asked only for the system(s) where the respondent indicates that **NO PUMP** was used (code 99 entered in Item 2e).

If no pump was used with a system, then the respondent should estimate the average flow rate in gallons per minute when the irrigation system applied water to the selected field. NOTE: This may be the same value as entered in item 2b(2) above.

Convert responses in cubic feet per second (cfs) to gallons per minute (gpm) by multiplying by 450, therefore, 1 cfs = 450 gpm.

#### Item 2k: Other Acres Irrigated Using System(s)

Sometimes an irrigation system is moved during the irrigation season and used to irrigate more than one field or for other crops. For each system type reported in Item 2a, record the **other** acres on this operation irrigated with the irrigation system(s) used to irrigate the selected field during the 2001 irrigation season. Record the number of other acres irrigated to the nearest TENTH.

**Exclude** the acres for this field.

#### Item 3: Purchased Water

If any water was purchased to irrigate the selected field, enter code "1" for YES and continue. If no water was purchased, go to Item 4.

Water is considered purchased if the operator and/or landlord paid a fee for water used on the selected field AND the water originates from an off-farm source. Do not consider water pumped from on-farm sources to be purchased water.

Water may be purchased from many sources, including:

- The U.S. Bureau of Reclamation,
- An irrigation district,
- Mutual, private, cooperative, or neighborhood ditch associations or canal companies, and
- Commercial or municipal water systems.

The purchase fee may be a yearly fee or charges for each application of irrigation water.

Water that comes from an irrigation district, water-supply ditch association, or canal company should be considered purchased water no matter where the off-farm water supplier got the water. These water suppliers generally provide water through canals which are served with water from lakes, reservoirs, or rivers and streams. All water supplied by these organizations should be listed as purchased water. Even if an irrigation district, water-supply ditch association, or canal company does not charge a water fee, but only charges the producer for the cost of water delivery or for the maintenance cost of water delivery facilities, **report the water as purchased water**.

Sometimes a farmer near an area served by an irrigation district is charged a fee by the irrigation district even if the farm doesn't get any water from

that district. The fee may be charged because there is a value attached to the groundwater recharge which occurs due to the use of irrigation district water by other irrigators in the area. When the operator pays a fee of this sort, but doesn't irrigate using irrigation district water, do not record the field as being irrigated with purchased water.

#### Item 3a: Percent Purchased Water

If water was purchased (Item 3 = "1"), record the operator's best estimate of the percent of all the water applied to the selected field during the 2001 growing season that was purchased from off-farm water sources. The percent may range from zero to 100.

#### Item 3b: Purchased Water Cost

Record either: (1) the per acre cost for purchased water; or (2) the total cost of ALL water purchased from off-farm water sources that was used to irrigate the **selected target commodity** in **the selected field** for the 2001 growing season. Purchased water costs include water fees and costs to deliver off-farm water to this field.

**Include** in the expenses associated with purchasing the off-farm water used on the selected field:

- fees associated with the water quantity;
- all fees not associated with water quantities, such as fees charged on a per acre basis to cover water delivery and maintenance costs incurred by the off-farm water supplier; and
- any purchased water costs paid for by the landlord or contractor.

**Exclude** any costs associated with pumping or distributing the water on the farm or the selected field.

## **Item 4: Replacement Cost for Siphon Tubes**

Ask this item only if a siphon-tube gravity system was used to irrigate the selected field of the target crop during the 2001 growing season (either column of Item 2a is code 10 or 11).

Record the operator's best estimate of the total cost to replace all of the siphon tubes used on the selected field. This item provides data to calculate a cost for the irrigation system.

#### Item 5: Cost for Poly Pipe

Ask this item only if poly pipe was used to irrigate the selected field of the target crop during the 2001 growing season (either column of Item 2a is code 14).

Record the total expense for poly pipe used to irrigate the selected field. This item is used to calculate a cost for the irrigation system.

#### **Item 6: Gated Pipe System Used**

Ask Items 6a and 6b ONLY if a gated-pipe system was reported (either column of Item 2a is code 15 or 16).

#### Item 6a: Average Diameter of Gated Pipe

Record the average diameter of the gated pipe used for irrigating the selected field during the 2001 growing season.

#### Item 6b: Total Length of Gated Pipe for Field

Record the total length (in feet) of all the gated pipe used to irrigate the selected field during the 2001 growing season.

#### Item 7: Water From Wells

If water from wells (ground water) was used to irrigate the selected target commodity field for the 2001 crop, enter code "1" for YES and continue. If water from wells was not used to irrigate the selected field, go to Item 8.

#### Item 7a: Number of Wells

Record the number of wells used to irrigate the selected field during the 2001 growing season. The wells could have irrigated other fields, but they must have at least partly irrigated this field.

#### Item 7b: Average Well Casing Diameter

Record the average diameter of the outer well casing of all wells that irrigated the selected field during 2001. The average diameter of the outer well casing will probably be between 12 and 36 inches; 20 inch casings are relatively standard throughout much of the West. Do not record the average diameter of the well column pipes (the well pipes pumps are attached to).

#### **Item 7c: Average Pumping Depth**

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Record the average pumping depth (in feet) of wells that irrigated the selected field during 2001.

Well pumping depths depend on the water table level and the amount of draw down on the water table during pumping. In other words, pumping depth is the depth to water at the start of the irrigation season, plus an average decline in the water level caused by pumping during the irrigation season.

# Item 7d: Other Acres Irrigated From These Wells

Often a well may be used to supply water to more than one field. Record the number of other acres (for fields other than the selected target commodity field) that were irrigated using water pumped from the well(s) that supplied water to the target field.

# Item 8: Additional Pipe Used

This question finds out if any other pipes besides pipe that was part of the irrigation system itself was used to irrigate the selected field during 2001. Additional pipe includes mainline or lateral pipe but not the pipe that is in the system itself. If additional pipe was used on the selected field, enter code "1" for YES and continue. If no additional pipe was used, go to Item 9.

A mainline pipe connects the pump or water source and the field or the lateral pipes. Mainline pipes can be either portable or buried in the ground.

Lateral pipes are pipes that carry water from the mainline pipe to the discharge or distribution point in the field. There can be more than one lateral pipe, and they can be permanent or portable.

# Item 8a: Most Common Type of Additional Pipe Used

Enter the code for the most common type of mainline or lateral pipe used. Exclude pipe that is part of the irrigation system, such as gated pipe, sprinkler pipe, etc.

# Item 8b: Average Diameter of Additional Pipe Used

Record the diameter in inches of the additional mainline or lateral pipe used. If there are different diameters of pipe used, record the average diameter in inches.

#### Item 8c: Feet of Additional Pipe Used

Enter the total feet of mainline or lateral pipe used to carry water to the selected field during 2001. Exclude pipe that is part of the irrigation system, such as gated pipe, sprinkler pipe, etc.

#### Item 9: Field Run-Off

Refer the operator to the list of field fun-off options in the Respondent Booklet and record the code the operator indicates best describes what happens to the **majority** of the run-off from irrigation for the selected field.

Field run-off is the portion of the irrigation water applied to the field that does not soak into the soil where the crop is growing. This 'extra' water flows across a field and either collects to form a pool at the end of the field, or it flows off the field. The pool of extra water is not large enough or doesn't last long enough to prevent normal farming operations for the field.

This question is a multiple choice question. Be sure to read ALL of the items in the Run-Off Code List before accepting an answer from the respondent. The respondent may want to answer before hearing all the possible answers, and one of the later codes may be the best answer.

Do not ask "Was there any run-off from this field?" or "What happens to the run-off from this field?". These questions are not correct. Many operators will say there is no run-off when, in fact, one of the other codes is what really happens. The respondent will not know that these codes are acceptable answers if you don't read ALL of them before accepting an answer.

The codes describing field run-off are:

**Code 1** - Retained at the End of the Field: This is when the pool of extra water is held at the end of the field because the field is bordered or there is a natural basin at the end of the field. The run-off is not reused for irrigation.

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- **Code 2** Reused to Irrigate on the Farm: Extra irrigation water from the field collects in an on-farm lake, pond, or pit below the field, and is re-used to irrigate the same field or another field on the farm.
- **Code 3** Collected in Evaporation Ponds on the Farm: The extra irrigation water collects in an on-farm pond or pit below the field and is not re-used for irrigation. Instead, it remains in the pond or pit until it evaporates. Evaporation ponds are sometimes used for disposal of poor quality drainage flows.
- **Code 4** Drains from the Farm: Run-off drains off the field and away from the farm through man-made drainage ditches or natural water courses. Run-off drained from a farm may be recovered by another farm or it may re-enter the water supply downstream as return flow.
- **Code 5** No Run-off: Irrigation water is applied to the field so that no extra water collects at the end of the field or drains from the field.

# **Exhibit 5.1: Types of PRESSURE Irrigation Systems**

Hand-move Sprinkler System (Code 1)  Solid-set or Permanent Sprinkler Systems	Portable pipe system, usually aluminum pipe, which must be moved by hand one or more times per day during irrigation periods. Irrigation requirements of the field are met by successive moves of the system to water one strip of the field at a time (an irrigation set). The system's sprinklers can use a variety of orifice sizes and configurations. The system may be adapted to most soil types, topography, field size and shapes; however, it is not suited for all crops since tall crops, such as corn, hinder pipe movement. The sprinkler line(s) are served water by mainlines of aluminum or PVC that may be buried or above ground.  A buried pipe system with only the risers and sprinklers above ground, or a portable pipe system which is placed in the field at the start of the irrigation season and left in place to the season end. Both of these system types require no
(Code 2)	labor to move the system to a new location once established for the irrigation season. Adapted to most crops, soil types, topography, field sizes and shapes.
Side-roll or Wheel- line Sprinkler Systems (Code 3)	A wheel-move, lateral-line system which moves as a unit in fixed increments (irrigation sets) across the field. The system is powered by a small gasoline engine that is manually operated. The system is stationary while irrigation is taking place. Some variations of the system may have tow lines trailing the main lateral line with additional sprinklers on each tow line. Tow line systems irrigate a wider strip at each set, up to 180 feet compared to the 60-foot strip of standard side-roll systems. Wheels are generally spaced 40 feet apart and are 5-7 foot in diameter, with the main system pipe serving as an axle in the middle of the wheel. The system is designed for reasonably flat, rectangular or square fields and is suited to crops less than 4 feet in height. The sprinkler may use flexible hose, aluminum pipe, or PVC pipe to connect to mainlines (above or below ground) or on-site pressurization pumps.
End-tow Sprinkler System INCLUDE as a side- roll system (Code 3)	Wheel or skid, lateral-line system which is end-towed via tractor to new locations in the field. The system is stationary while irrigation is taking place. System is designed for reasonably flat or slightly rolling, rectangular or square fields with an alley through the center of the field. Designed for hay and pasture irrigation, the system may be used on some row crops and orchards.
Carousel Sprinkler-traveler System INCLUDE as a side- roll system (Code 3).	Wheel-mounted system with a rotating boom that sprinkles or sprays water. The system may be self-propelled with a mounted engine, or towed via pick-up or tractor to the next field location (irrigation set). Water is supplied to the system by hose or supply ditch.

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# **Center Pivot or** Linear Move with Line

(Code 4)

Self-propelled, continuous-move sprinkler system that either travels in a circle (center pivot) or laterally (linear move) across a field. Sprinklers are located **Sprinklers on Main** directly on the system's main water-supply pipe, which is supported by A-frame towers. Some circle systems have features that provide coverage of most of the corners on a square field. Some systems may be towed to adjacent fields to increase system use by irrigating a different crop with different timing of water needs. Water is delivered to a fixed center point for center-pivot systems and by hose or supply ditch for lateral move systems. Center-pivot systems have been developed for areas from 40 to 240 acres, but most systems irrigate 128-132 acres of a square 160 acre field. Lateral moves require a square or rectangular field of 40 to 240 acres. These systems may be adapted to most crops, soil types, and level to gently-rolling topography. Systems with sprinklers directly on the main watersupply line will tend to be **medium to higher pressure** (above 30 psi) and use impact sprinklers.

### **Center Pivot or** Linear Move, with **Sprinklers below** the Main Line, but More than 2 Feet above the Ground

(Code 5)

Self-propelled, continuous-move sprinkler system that either travels in a circle (center pivot) or laterally (linear move) across a field. Sprinklers or sprayers are located on drop-tubes or booms suspended below the system's main water-supply pipe, but more than 2 feet above the ground. This includes most standard droptube sprinkler systems. Some circle systems have features that provide coverage of most of the corners on a square field. Some systems may be towed to adjacent fields to increase system use by irrigating a different crop with different timing of water needs. Water is delivered to a fixed center point for center-pivot systems and by hose or supply ditch for lateral move systems. Center-pivot systems have been developed for areas from 40 to 240 acres, but most systems irrigate 128-132 acres of a square 160 acre field. Lateral moves require a square or rectangular field of 40 to 240 acres. These systems may be adapted to most crops, soil types, and level to gently-rolling topography. Systems with sprinklers below the main water-supply line will tend to be **lower pressure** (below 30 psi), with spray nozzles rather than impact sprinklers.

### **Center Pivot or** Linear Move, with Sprinklers less than 2 Feet above the Ground

(Code 6)

Self-propelled, continuous-move sprinkler system that either travels in a circle (center pivot) or laterally (linear move) across a field. Sprinklers or sprayers are located on drop-tubes suspended below the system's main water-supply pipe and are located less than 2 feet above the ground. This includes low pressure precision application systems (LEPA) and other below-the-crop-canopy systems. Some circle systems have features that provide coverage of most of the corners on a square field. Some systems may be towed to adjacent fields to increase system use by irrigating a different crop with different timing of water needs. Water is delivered to a fixed center point for center-pivot systems and by hose or supply ditch for lateral move. Center-pivot systems have been developed for areas from 40 to 240 acres, but most systems irrigate 128-132 acres of a square 160 acre field. Lateral moves require a square or rectangular field of 40 to 240 acres. These systems may be adapted to most crops, soil types, and level to gently-rolling topography. Systems with sprinklers suspended to within 2 feet of the ground tend to be very low pressure (below 15 psi) and use spray nozzles and bubblers. Some units may run water directly on the ground using a cloth-like extension attached to the drop tube.

#### **Big Gun**

(Code 7)

A single, large gun-type sprinkler mounted on a trailer, carriage, or skid. Water is supplied to the sprinkler through a flexible hose. The mounted gun sprinkler is either pulled across a field or moved across a field using a self-propelled drive system for each irrigation set. An irrigation set is the area of the field that is irrigated by the gun sprinkler as it moves across the field. When an irrigation set is completed, the entire system is moved and the process repeated. The system is designed for straight rows, flat topography, and medium to high infiltration soils. It is best suited for crops that can withstand heavy bursts of water. Systems are high pressure, greater than 60 psi. Three specialty-type big-gun systems are defined below, including a self-propelled gun traveler system, a reel-type hose pull system, and a reel-type cable pull system.

#### Self-propelled Gun Traveler

Single, large gun on a four-wheel trailer. Self propelled by a separate engine or a hydraulic continuous move. Water is supplied through a flexible hose. Systems are high pressure, greater than 60 psi.

INCLUDE as a big gun system (Code 7).

# Reel-type Hose Pull

INCLUDE as a big gun system (Code 7).

Single, large gun-type sprinkler on a carriage. A flexible, but noncollapsible hose is attached to a large reel at one end of the field. The carriage and sprinkler is attached to the unrolled hose and stationed at the other end of the field. Water movement through the hose activates a drive system that rolls the hose on the reel, drawing the sprinkler and carriage across the field. When an irrigation set is completed, the reel, sprinkler, and carriage may be moved and the process repeated. Systems are high pressure, greater than 60 psi.

#### Reel-type Cable Pull

INCLUDE as a big gun system (Code 7).

Similar to hose-pull system, except a cable is used to reel the gun-type sprinkler and carriage across the field. This enables a flexible, collapsible hose to be pulled behind the carriage. When an irrigation set is completed, the cable, reel, hose, sprinkler, and carriage may be moved and the process repeated. The system often requires a grass strip to operate on since the hose is pulled behind the unit. Systems are high pressure, greater than 60 psi.

#### Low-flow Irrigation System (Drip, Trickle, Micro Sprinkler)

(Code 8)

Low-pressure systems designed for frequent water applications using small-diameter tubing and low-volume emitters to distribute water directly to the crop root zone. Tubing and emitters can be installed below ground, under plastic or mulch, or above ground, and alternatively, tubing may be installed below ground with emitters on risers above ground. While used primarily on trees, vines, and vegetable crops, these systems are only in limited use on field crops due to the high initial capital costs. Drip and trickle systems have been adapted to all crop types; micro-sprinklers are generally used on perennial crops where a larger wetted area is needed to encourage root development. These systems are adaptable to most soils and may be used on topography where slope prevents irrigation from other system types.

	Damble 2.2. Types of Glat vit I 120 vv irrigation by stems
Siphon-tube System with Unlined Ditches (Code 10)	System uses short curved tubes, usually aluminum or plastic, to siphon water onto a field from an <b>unlined</b> ditch across the head of the field. Siphon tubes are curved to fit over the ditch bank and most range from 1 to 3 inches in diameter and from 3 to 5 feet in length. Water, once on the field, may flow down furrows, between borders or dikes, or in corrugations. The unlined ditch is formed with mechanical operations using only the soil on the field. The ditch may be reformed each year or reused with maintenance.
Siphon-tube	System uses short curved tubes, usually aluminum or plastic, to siphon water onto
<b>System with Lined</b>	a field from a <b>lined</b> ditch across the head of the field. Siphon tubes are curved to
Ditches	fit over the ditch bank and most range from 1 to 3 inches in diameter and from 3 to 5 feet in length. Water, once on the field, may flow down furrows, between
(Code 11)	borders or dikes, or in corrugations. The ditch may be lined with concrete, plastic, clay, or other nonporous material. The ditch is permanent and is reused each year.
Portal- or Ditch-	System uses openings in the ditch bank, either portals with covers or tubular
gate System with	openings closed with a gate, to discharge water onto a field from an <b>unlined</b> ditch
<b>Unlined Ditches</b>	across the head of the field. Portals in the ditch bank can be of any diameter and
	are covered with a metal, plastic, or wood cover to regulate water flow onto the
(Code 12)	field. Ditch openings can be any size, including openings for the entire flow of the
(	ditch, and water-flow control gates can be made of wood, metal, plastic, or canvas.
	Water, once on the field, may flow down furrows, between borders or dikes, or in
	corrugations. The unlined ditch is formed with mechanical operations using only
	the soil on the field. The ditch may be reformed each year or reused with
	maintenance.
Portal- or Ditch-	System uses openings in the ditch bank, either portals with covers or tubular
gate System with	openings closed with a gate, to discharge water onto a field from a <b>lined</b> ditch
Lined Ditches	across the head of the field. Portals in the ditch bank can be of any diameter and
Linea Ditenes	covered with a metal, plastic, or wood cover to regulate water flow onto the field.
(Code 13)	Ditch openings can be any size, including openings for the entire flow of the ditch,
(Code 13)	and water-flow control gates can be made of wood, metal, plastic, or canvas.
	Water, once on the field, may flow down furrows, between borders or dikes, or in
	corrugations. The ditch may be lined with concrete, plastic, clay, or other
	nonporous material. The ditch is permanent and is reused each year.
Dala Dina Castona	· · · · · · · · · · · · · · · · · · ·
Poly Pipe System	A system using a flexible, collapsible, plastic (polyethylene) tube up to 18 inches
(C-1-14)	in diameter. The poly-tubing is unrolled along the head of the field and holes
(Code 14)	punched or closeable gates installed to match furrow, border, or dike width. A
	well or supply canal provides water to the tube. The tube is installed at the
	beginning of the irrigation season, and since it lays flat when not in use, can
	remain in the field the entire season. The tubing may be reused for more than one
C / LP! (N/	year, but single season use is most common.
Gated Pipe (Not	A system using rigid PVC plastic or aluminum pipe with manually-operated
Poly)	closeable gates at regular intervals. The pipe is installed at the head of the field,
(0.1.45)	but may need to be removed for cultural operations or moved to new field
(Code 15)	locations through the season. The gates usually match row widths so water can
	flow directly into rows. Gated-pipe systems may also be used on flood or
	corrugation water-control systems. The pipe is reused for many years.

Improved Gated Pipe System (Surge Flow or Cablegation, Not Poly) (Code 16)	A system using rigid PVC plastic or aluminum pipe with manually-operated closeable gates at regular intervals, but with an <b>automated water-control system</b> . Automated water control is achieved by (1) using a surge valve to alternate pipe sets receiving water, (2) using a moveable plug inside the gated pipe, controlled by a cable, to adjust the water flow from open gates, or (3) other automated methods using gated pipe to control water flow and improve the uniformity of water applications, such as pneumatically controlled bladders to regulate water flow on individual gates. Gated pipe is installed across the head of the field, but may need to be removed for cultural operations or moved to new field locations through the season. The gates usually match row widths so water can flow directly into rows. Improved gated pipe is very unlikely to be used for flood irrigation. It would
	defeat the purpose of the improved system. The pipe is reused for many years.
Sub-irrigation	Maintenance of a water table at a predetermined depth below the field surface by using ditches or sub-surface drains and water-control structures. Water is added
(Code 17)	or removed as needed to maintain the water level of the water table at a specific depth using the ditches or drains. Lateral movement of water through the soil provides water to the crop root zone. Conditions for use of this system are limited. Land must be flat and suitable for rapid lateral water movement. The irrigation system may also be used as a drainage system.
Open discharge	Open discharge from well or pump occurs where there is only one point of
from well or pump	discharge into the field. This system is often used in conjunction with levees or dikes to maintain an even water depth throughout the field. The water remains on
(Code 18)	the soil until irrigation needs are meet, at which time the water is either drained from the field or allowed to infiltrate the soil. Land forming is often required with this system.



# **Section H - Drying**

#### What is Section H for? How is this information used?

Engineering relationships are used to estimate the operating and ownership costs of drying facilities. Drying systems use various fuels as a heat source and electricity to power the fans that force the air through the grain or seed. These costs are added to other costs such as fuels and electricity, repairs, etc.

The costs of drying and storing the crop are significant variable costs that must be accounted for to accurately compute the cost of producing the 2001 crop.

#### **Item 1: Moisture Content of Corn**

Record the moisture content of the corn grain harvested from the selected field at the time of its harvest. If the moisture content varied across the field, report the moisture level at which the majority of the corn was harvested. Enter the moisture content as a whole percent. If none of the corn in the selected field has been or will be harvested for grain or seed (Section B, Item 19a), then go to Section I.

If harvest of the selected field has not yet been completed, use the alternative wording in parentheses and obtain the operator's best estimate of the expected moisture content of the corn at harvest.

#### Item 2: Drying Method - Operator's Share

Record whether the operator's share of corn from the selected field was (or will be, if harvest is not complete) dried and how it was dried by entering one of the following codes:

Code 1 = **Dried Using Operation's Facilities** 

Code 2 = **Dried Using Landlord's or Contractor's Facilities** 

Code 3 = Custom Dried

Code 4 = Not Dried

If more than one of these choices apply for the corn harvested from the selected field, use the code for the choice that applies to the largest portion of the crop harvested from this field.

Custom drying may also be called **commercial drying**. If drying facilities on another operation were used to dry the corn from the selected field, record this as custom dried.

If custom dried, continue with Item 2a.

If dried other than custom dried, skip to Item 3.

### Item 2a: Custom Drying Cost - Operator's Share

If the corn from this field was custom dried (Item 2 = "3"), record the amount paid by the operator in either cents per bushel or total dollars for custom drying the corn from the selected field.

If drying facilities on another operation were used to dry the crop from the selected field, include any rent paid for using the drying facilities. Record the operator's estimate of the value of the drying if no direct cash payment was made (for example, if the commodity was dried free-of-charge on another operation).

**Exclude** the landlord's and Contractor's share of the cost of drying the crop.

### Item 3: Drying Method - Landlord's or Contractor's Share

If the field is share rented, record whether the landlord's share of the corn from the selected field was or will be dried and how it was dried using one of the following codes:

Code 1 = **Dried Using Operation's Facilities** 

Code 2 = **Dried Using Landlord's or Contractor's Facilities** 

Code 3 = Custom Dried

Code 4 = Not Dried

Landlord's facilities may be used for drying corn when land is rented under a CASH rent, a SHARE rent, or even a RENT-FREE arrangement.

Custom drying may also be called commercial drying. If drying facilities on another operation were used to dry the corn from the selected field, record this as custom dried. **Exclude** operator's share.

### Item 3a: Custom Drying Cost - Landlord's or Contractor's Share

If the landlord's or contractor's share of corn from the selected field was custom dried (Item 3 = code'3'), record the amount paid by the landlord in either cents per bushel or total dollars for custom drying the corn from selected field. If drying facilities on another operation were used to dry the corn from the selected field, record any rent paid for drying the corn from the selected field. Record the operator's estimate of the value of the drying if no direct cash payment was made (for example, if the corn was dried free-of-charge on another operation). **Exclude** operator's share.

#### Item 4: Enumerator Instruction for Skip

If ANY of the corn from the selected field was dried using the operator's or the landlord's/contractor's facilities (Items 2 and 3 = Code 1 or 2), then continue with item 5.

If both the operator's share and the landlord's/contractor's share were Custom Dried (Code = 3) or Not Dried (Code = 4), then go to Section I.

#### Items 5& 6: Description of the Facilities Used to Dry Corn

These items provide a picture of the type of drying facilities and methods used by the operation to dry corn from the selected field.

It should be asked only if drying of any portion of the corn from the selected field was done using this operation's facilities or the landlord's facilities. Include only those facilities used to remove moisture from corn by drying with heat (Item 5, column 1) or by cooling or aeration (Item 6, column 1). The main type of drying facility for each of these methods will be identified in Column 1. In Column 2, for facilities using heat, the drying method will be further specified.

**DRYING METHODS** are high temperature, low temperature, and cooling or aeration drying:

**High temperature drying** involves removing moisture by blowing air heated 100-200 degrees through the corn. If the air blown through the corn is heated to 25 or more degrees above the temperature around the dryer, the drying method should be considered high temperature.

**Low temperature drying** involves using fans to blow air (heated to 5 - 10 degrees higher than the temperature around the dryer) through the corn.

**Cooling or aeration drying** involves no heating, just removal of moisture by blowing air through the corn.

**FACILITY TYPES** are bin, continuous flow and batch:

**Bin dryers** are facilities attached to a grain bin which dry corn added to the bin. Bin dryers are commonly used with all drying methods listed above.

Continuous flow dryers are facilities outside a bin in which wet corn is continuously added at the top and is dried as it moves down through the facility. These dryers are used almost exclusively for high temperature drying, although they may have stages where the corn is cooled.

**Batch dryers** are facilities outside of a bin into which a batch of wet corn is added and dried. The batch dryer is then emptied and another batch is added. These dryers are used almost exclusively with high temperature drying, but may also be used for cooling.

# **Column 1: Type of Drying Facility**

The codes in this column represent the type of drying facility used:

Code 1 = Bins

Code 2 = Continuous Flow Dryers

Code 3 = Batch Dryers

These facility types are defined above in the general instructions for Items 5 & 6.

Operators may report doing batch or continuous flow drying in a bin; the key to deciding how to record it is the description of the facility. If it's done in a bin, it should be considered bin drying.

### **Column 2: Main Drying Method Using Heat**

For drying facilities that use heat, determine the main drying method used: High Temp (Code =1) or Low Temp (Code=2). These methods are defined above in the general instructions for Items 5 & 6.

If more than one heating method was used, select the method used to dry by heating most of the corn from the selected field.

#### Column 3: Number of Facilities Used

Record the number of facilities of the type recorded in column 1 which were used to dry corn from the entire 2001 crop, that is, corn grown in any field for the 2001 crop. This should be the total number of facilities that are part of the total drying system.

If a facility was used to both dry with heat or cool/aerate the grain, Item 5 should be completed for use of the facility to dry with heat, and 6 should exclude that facility. For example, if a bin is used to dry corn and then to cool the corn, report it only once for drying and omit this bin from Item 6 for cooling. Report other bins used strictly for cooling in Item 6. **Each facility must be counted only once.** 

Usually operators have only one continuous flow or batch dryer, but they may have and use several bins, especially for cooling or aeration drying.

### **Column 4: Total Holding Capacity**

Record the TOTAL holding capacity (in bushels) for the total number of driers reported in column 3. If two or more dryers were used, sum the holding capacities of each dryer. For example, if 3 bins were used for drying with heat, each with a 10,000 bushel capacity, report 30,000 bushels as the total capacity in column 4 of Item 5. Report the one-time holding capacity of bin, continuous flow or batch dryers.

#### Column 5: Power Source

Record the main fuel or power source used to dry the crop from the selected field if high or low temperature drying was reported in Item 5 column 2. Choices are:

Code 1: **Diesel**Code 2: **Gasoline**Code 3: **LP Gas** 

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Code 4: **Natural Gas**Code 5: **Electricity**Code 6: **Other** 

If more than one fuel type was used to dry the crop from the selected field, enter the code for the type of fuel used to dry the largest portion of the crop.

### Item 7: Corn as a Percent of All Crop Dried

Operators may use their drying facilities to dry crops other than corn, such as sorghum (milo) or soybeans. The information obtained from this item will be used to determine the share of the total cost of the facility which should be allocated to the corn enterprise.

For this item, the total bushels of all crops which were dried in the facilities should be considered, including crop dried for your operation, other operations, and landlord(s)/contractor(s). Record the percent of this total number of bushels dried that were corn. If no other crop was dried using the facilities, enter 100.

#### Section I - Landlord/Contractor Costs

#### What is Section I for? How is the information used?

If the selected field was rented, the landlord may have paid some of the variable costs associated with producing the crop. Sharing costs is more common with share-rented land, but it can happen in cash or rent-free rental arrangements.

This section obtains variable expenses paid by landlords and/or contractors to produce the target crop. Landlord and/or contractor costs should have been included in the variable expense items asked in the previous sections. This section identifies how much of that cost was paid by the landlord or contractor. This information is primarily used to establish the value of land under share rental arrangements. In share rental arrangements, the value of the land for crop production is computed as the value of the landlord's share of the crop less costs paid by the landlord.

#### Item 1: Landlord and Contractor Share of Expenses

Ask the respondent which of the expenses listed that the landlord(s) or contractor(s) paid all or part of for the 2001 target commodity crop. Check each item and enter the amount the landlord(s) or contractor(s) paid in 1a-1u.

If the field has more than one landlord or contractor, record the total dollars or percent paid by all landlords.

If landlords or contractors did NOT pay any of these costs, enter a code "3" in Item 1 then skip to the conclusion section on the back page.

Before completing any of column 2 dollars or percents for items 1a-1tu, check the column 1 box next to each item the landlord paid a share of. This will help you and the respondent ensure that the most common landlord contributions are considered.

#### Item 1a: Purchased Seed Cost

Record the landlord's or contractor's share of the seed cost for the corn planted in the selected field, either in percent or in total dollars. Refer to Section B, Item 11 for the total cost for purchased seed, including the landlord's or contractor's share.

#### **Item 1b: Custom Fertilizer Application Cost**

Record the landlord's or contractor's share of the cost of custom fertilizer application services on the selected field for the 2001 crop of the target commodity. Exclude material costs; these should be recorded in Item 1e. Enter percent or total dollars. Refer to Section C, Item 4 for the total cost, including the landlord's or contractor's share. Exclude lime and gypsum.

#### Item 1c: Fertilizer Materials Cost

Record the landlord's or contractor's share of the cost of fertilizer materials (fertilizers, soil conditioners, and micronutrients) applied to this field for the 2001 crop. Exclude application costs; these should be recorded in Item 1b. Enter percent or total dollars. Refer to Section C, Item 5 for the total cost, including the landlord's or contractor's share. Exclude lime and gypsum.

### **Item 1d: Custom Chemical/Pesticide Application Cost**

Record the landlord's or contractor's share of the cost of custom chemical/pesticide application services on the selected commodity field for the 2001 crop. Exclude material costs; these should be recorded in Item 1e. Record percent or total dollars. Refer to Section D, Item 3b for the total cost, including the landlord's or contractor's share.

#### Item 1e: Chemical/Pesticide Materials Cost

Record the landlord's or contractor's share of the cost of chemical/pesticide materials applied to the selected commodity field for the 2001 crop. Exclude application costs. Record percent or total dollars. Refer to Section D, Item 4 for the total cost, including the landlord's or contractor's share.

#### Item 1f: Soil/Plant Test Cost

Record the landlord's or contractor's share of the costs of soil/plant tests for the selected field, either in percent or in total dollars. Refer to Section C, Item 12 for the total cost, including the landlord's or contractor's share.

### **Item 1g: Scouting Cost**

Record the landlord's or contractor's share of the cost of scouting services for the selected field, either in percent or in total dollars. Refer to Section E, Item 3 for the total cost, including the landlord's or contractor's share.

### **Item 1h: Biological Pest Control Cost**

Record the landlord's or contractor's share of the cost of biological pest controls for the selected field, either in percent or in total dollars. Refer to Section E, Item 27a for the total cost, including the landlord's or contractors' share.

#### **Item 1i: Custom Land Preparation Cost**

Record the landlord's or contractor's share of the cost of custom land preparation for the target commodity in the selected field, either in percent or in total dollars. Refer to Section F, Item 5a to see if any per acre amounts were entered.

#### **Item 1j: Custom Cultivating Cost**

Record the landlord's or contractor's share of the cost of custom cultivating for the target commodity in the selected field, either in percent or in total dollars. Refer to Section F, Item 5b to see if any per acre amounts were entered.

#### **Item 1k: Custom Planting Cost**

Record the landlord's or contractors' share of the cost of custom planting for the target commodity in the selected field, either in percent or in total dollars. Refer to Section F, Item 5c to see if any per acre amounts were entered.

#### **Item 1I: Custom Harvesting Cost**

Record the landlord's or contractor's share of the cost of custom harvesting of the target commodity in the selected field, either in percent or in total dollars. Refer to Section F, Items 5d to see if any per acre amounts were entered.

### **Item 1m: Custom Hauling Cost**

Record the landlord's or contractor's share of the cost of custom hauling of the target commodity from the selected field, either in percent or in total dollars. Refer to Section F, Item 5e or 5f to see if any per acre amounts were entered.

#### **Item 1n: Custom Drying Cost**

Record the landlord's or contractor's share of the cost of custom drying the target commodity, either in percent or in total dollars. Refer to Section H, Item 2 or 3 to see if any of the target crop was custom dried.

#### Item 1o: Custom Harvesting and Hauling

Record the landlord's or contractor's share of the cost of custom harvesting and hauling of corn from this field. Refer to Section F, item 5f to see if any costs including landlord's costs were reported.

### Item 1p: Contract and Other Paid Labor

Record the landlord's or contractor's share of the cost of contract and other paid labor for the selected corn field. Refer to Section F, Item 3a(1) to see if any costs were reported. Landlords rarely, if ever, pay for the labor used on the field. However, if any labor expenses were paid by the landlord or under contract for custom workers, report either in percent or in total dollars.

### **Item 1q: Other Custom Service Cost**

Record the landlord's or contractor's share of the cost of any other custom or technical services not already reported in items 1j-1p. Refer to Section F, Item 5g to see if any other custom or technical services were provided on this field.

### Item 1r: Fuel and/or Electricity Cost for Drying

Record the landlord's or contractor's share of the cost of any fuel and/or electricity cost for drying corn from the selected field. Since the operator's fuel and power expenses for drying are not asked directly in Section H, there is no specific item to refer to when asking for landlord share of this expense.

#### Item 1s: Landlord Repair Cost for Drying Facilities

Record the landlord's or contractor's share of the cost (either percent or total dollar amount) to repair drying facilities used for drying the corn from the selected field. Since the operator's fuel and power expenses for drying are not asked directly in Section H, there is no specific item to refer to when asking for landlord share of this expense.

#### Items 1t&1u: Irrigation and Water Management Costs

In Items 1t & 1u, enter the landlord's or contractor's share of irrigation costs incurred only for the selected field of the target commodity during the 2001 irrigation season. If a landlord owns and maintains the irrigation system and pays all costs, enter 100 percent.

Refer to Section G, Item 1 to see if any of the target crop was irrigated. If not, skip to the Conclusion on the back page.

#### **Item 1t: Purchased Irrigation Water Expense**

Record the landlord's or contractor's share of the cost of purchased irrigation water used to irrigate the selected field of the target commodity for the 2000 irrigation season. Enter the landlord's or contractor's share in either percent or total dollars. (Purchased water is water purchased from an off-farm water source as defined for Section G, Item 3.) Refer to Section G, Item 3b to see if any water was purchased to irrigate this field.

#### Item 1u: Irrigation Fuel Expense

Record the landlord's or contractor's share of total expenses for fuels, lubrication, and electricity used to irrigate the selected field of the target commodity for the 2001 irrigation season. Enter the landlord's or contractor's share in either percent or total dollars. Since the operator's fuel and power expenses for irrigation are not asked directly in Section G, there is no specific item to refer to when asking for landlord share of this expense.



#### **Back Cover - Conclusion**

#### Item 1: Location of Selected Field

Tell the respondent that you need to mark the location of the selected field of the target commodity on a map.

Ask the respondent what county the selected field is located in, and record the county name in the space provided.

A field description box has been added for your use in better describing the location of the field.

### **Location of the Selected Field - North Carolina Only**

Field location boxes for your use in recording the Latitude and Longitude of the field. To obtain the latitude and longitude, enter the sample field 15 paces. Using the GPS unit, record the latitude and longitude.

If the latitude and longitude readings are NOT recorded, the field MUST be mapped on a county map as described in Item 2 below. If the latitude/longitude is recorded, DO NOT mark the corn field on the county map.

### Item 2: Marking Field Locations on the Map

NOTE: If you do not have a map for the county the field is located in, you should contact the State Office or your Supervisor to obtain the correct map. Be sure to record enough information (such as legal description, township, range, section, etc..) from the respondent to allow you to locate the field on the correct map when you receive it.

Mark the location of the selected field of the target commodity with an "X" on the county maps provided by the Office. Verify with the respondent that you have located the field correctly. Be sure that the "X" you mark on the map is in the county named in Item 1.

Next to the "X", record "1-" followed by the sequence (sample) number that appears on the label on the Face Page of the questionnaire. The "1" indicates that this is an ARMS survey sample. This identification code is needed to link the "X" on the map with the data in the completed questionnaire.

NASS will use this "X" to determine the longitude and latitude in degrees, minutes and seconds for the selected target commodity field for each sampled operation. ERS will use this information to access the Natural Resources Conservation Service's (NRCS, formerly Soil Conservation Service) Soils V Database. This data base contains soil type, slope, leaching characteristics and other geologic information used for analysis.

# Item 3: Re-contact in the Spring 2002

Inform respondents that they will be re-contacted in February or March of 2002 to collect additional information to complete the profile of their operations for the Agricultural Resource Management Study. Explain that you will be asking about entire year and year-end information at that time, and it will be easier to collect these figures when their records for 2001 are complete.

It is important that you leave the interview on a good note and that you put the Spring contact in as positive light as possible. After the first of the year, when records are complete and individual receipts and record book line items have been summarized, collecting the information will be easier and take less time. It would be difficult to answer the Spring questions right now, because records are incomplete.

It is important to retain the respondent's cooperation for the Spring interview, because very limited use of the respondent's Production Practices and Costs data can be made if data from the Spring interview is not available. Information would be lost to the ARMS, and this operation would not be represented in the full Agricultural Resource Management Study. More importantly, the hundreds of similar operations the selected farm represents would not be reflected in official USDA estimates.

Emphasize that you will call to make an appointment for a time convenient to the respondent for conducting the Spring interview.

# Item 4: Survey Results or Other Agency Publications

After completing the interview, offer the results of the survey or other Agency or State Office publications to the respondent. A number of publications will result from the ARMS, and they will be published in a variety of sources. Many of these are explained in Chapter 1 of this Manual. In addition, there may be other releases from NASS or your State Office that responding farm operators may be interested in. We would like to serve the respondents better by providing survey results and other information that they will find useful and interesting.

Your Survey Statistician will explain which publications from Headquarters or from your State Office to offer to participants in the ARMS. The Survey Statistician will instruct you how to record requests for information from each respondent, if any Release order forms need to be filled out, or if any additional coding is required on the questionnaire.

If the respondent would like a free copy of the survey results, enter a code '1' in cell 0099.

#### Items 5a, 5b, 5c: Records Use

Do not ask these remaining questions of the Respondent. They are only for administrative purposes and analysis. You should fill them out after the interview is completed.

Analysts and other data users are interested in comparing reported data with the use of records. The use of records should indicate data are of a higher quality. Enter a code "1" to indicate the respondent referred to and used written records when reporting the indicated items.

#### Item 5a: Fertilizer Data

If farm records were used for completing the majority of the **fertilizer** data items in the questionnaire, enter code "1"=YES in cell 0011.

#### Item 5b: Pesticide Data

If farm records were used for completing the majority of the **pesticide** data items in the questionnaire, enter code "1" in cell 0012.

#### Item 5c: Expense Data

Indicate whether farm/ranch records were used for the completing most of the **expense** items in the questionnaire. Enter "1" in cell 13 for YES.

#### **Item 6: Supplements Used**

Record the total number of each type of supplement used in completing this interview in the designated cell. These items are important to provide a means to check for misplaced or lost supplement sheets during the computer edit. Be sure all of the supplements are inside the questionnaire before mailing the questionnaire or turning it over to a supervisor.

#### **Administrative Items**

#### **Respondent Code**

The respondent code identifies the person who was interviewed. Enter the code identifying the person who provided most of the data in cell 0101.

Code 1 = Operator or Manager Code 2 = Operator's Spouse Code 3 = Accountant or Bookkeeper Code 4 = Someone Other than Code 1, 2, 3, or 9 Code 8 = Office Hold

Code 9 = **Partner** 

Record the respondent's name and phone number.

### **Ending Time**

Record the ending time of the interview in cell 0005. If more than one person was interviewed or it took more than one appointment to complete the interview, times should reflect the approximate total time for the questionnaire. Exclude the time you spend reviewing the questionnaire or verifying calculations by yourself after you have completed the interview. Be sure the ending time is after the beginning time entered on the face page. Use military time.

#### **Date**

Record the date the questionnaire was completed. Enter the date in MMDDYY format on the lines provided in cell 0007. For example, if the interview was completed on November 6, 2001, enter the month and day  $\underline{1} \ \underline{1} \ \underline{0} \ \underline{6} \ 01$  in the date cell. The 2-digit year is already preprinted on the questionnaire.

#### **Enumerator Name**

Sign the questionnaire and record your enumerator ID number in cell 0098.

Review the entire questionnaire before forwarding it to your Supervisor. Make sure all items are complete, including 'Yes' and 'No' boxes checked, and dashes are entered in cells when the response is 'None' or 'No' as appropriate. Make sure notes are present and complete for unusual situations.

Agricultural Resource Management Study for 2001 Phase II - Production Practices Interviewer's Manual